

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**NORTHWEST AIRLINES, INC.**

2. Address:

901 15TH ST NW #310, WASHINGTON, DC 20005

3. Principal place of business (if different from line 2):

City: ST. PAUL State/Zip(or Country): MN 55111

4. Contact Name: NANCY DUKE

Telephone: 202-842-4185

E-mail (optional): nancy.duke@nwa.com

Senate ID #: 29573-12

House ID #:

7. Client Name: ☒ Self

## TYPE OF REPORT

8. Year 2001 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

## INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): \_\_\_\_\_

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 1,185,000.00

### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- ☐ **Method A.** Reporting amounts using LDA definitions only  
☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code  
☒ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: AVI (one per page)

16. Specific lobbying issues:

HR 142 Airline Competition Preservation Act of 2001 HR 204 Airline Competition and Fairness Act of 2001 HR 299 Aircraft Noise Reduction Act of 2001 HR 332 Aviation Consumer Right to Know Act of 2001 HR 384 Airline Passenger Fair Treatment Act of 2001 HR 448 A bill to limit the age restriction imposed by the administrator of the Federal Aviation Administration for the issuance or renewal of certain airman certificates, and for other purposes. HR 571 Airline Customer Notification Act HR 757 Airport Congestion Relief Act of 2001 HR 761 Airliner Merger Moratorium Act of 2001 HR 903 Commission for Comprehensive Review of the Federal Aviation Administration Act HR 907 Airline Competition and Passenger Rights Act of 2001 HR 1074 Consumer-Friendly Airline Ticket Transfer Act HR 1288 A bill to amend title 49, US Code, relating to the airport noise and access review program HR 1407 A bill to amend title 49, US Code, to permit air carriers to meet and discuss their schedules in order to reduce flight delays, and for other purposes HR 1686 A bill to amend title 49, US Code, to limit the Federal Aviation Administration's authority to establish examination requirements for pilots, other than pilots involved in commercial operations HR 1734 Airline Passenger Bill of Rights Act HR 1741 A bill to direct the secretary of Transportation to prohibit the commercial operation of supersonic transport category aircraft that do not comply with stage three noise levels HR 1792 Airline Customer Service Improvement Act HR 2107 End Gridlock at our Nation's Critical Airports Act of 2001 HR 2158 Aircraft Clean Air Act of 2001 HR 2387 A bill to amend title 49, US Code, to preserve nonstop air service to and from Ronald Reagan Washington National Airport for certain communities in cases of airline bankruptcy HR 2429 LAX Noise Community Accountability Act HR 2430 Aircraft Noise Compensation Act HR 2895 Aviation Security Enhancement Act of 2001 HR 2896 Anti-Terrorism Act of 2001 HR 2913 Aviation Security Improvement Act of 2001 HR 2926 Air Transportation Safety and System Stabilization Act HR 2951 Aviation Security Act HR 2975 Provide Appropriate Tools Required to Intercept and Obstruct Terrorist (PATRIOT) Act of 2001 HR 3064 Airline Security Act of 2001 HR 3101 Aviation Security Technology Enhancement Act HR 3110 Transportation Security Enhancement Act of 2001 HR 3120 Airline Check for Terrorist Act HR 3150 Airport Security Federalization Act of 2001 HR 3162 Uniting and Strengthening America by Providing Appropriate Tools Required to Intercept and Obstruct Terrorism (USA PATRIOT) Act of 2001 HR 3165 Aviation Security Act HR 3479 National Aviation Capacity Expansion Act H Res. 274 Providing for consideration of the bill (HR 3150) to improve aviation security and for other purposes S 199 Airline Competition Preservation Act of 2001 S 200 Air Travelers Fair Treatment Act of 2001 S 319 Airline Customer Service Improvement Act S 361 Age limitations for airmen S 415 Aviation Competition Restoration Act S 483 Fair Treatment of Airline Passengers Act S 578 Airliner Merger Moratorium Act S 633 Aviation Delay Prevention Act S 688 A bill to amend title 49, US Code, relating to the airport noise and access review program S 1133 A bill to amend title 49, US Code, to preserve nonstop air service to and from Ronald Reagan Washington National Airport for certain communities in case of airline bankruptcy S 1421 Emergency Aviation Security Act of 2001 S 1429 Airport and Seaport terrorism Prevention Act S 1444 Federal Air Marshal and Safe Sky Act S 1447 Aviation Security Act S 1461 Airline Passenger Safety Enhancement Act of 2001 S 1463 Airline Safety Act of 2001 S 1473 To amend title 49, US Code, to provide for the enhancement of security at airports in the United States S 1786 National Aviation Capacity Expansion Act ----- Aviation alliance/merger issues (AA/BA and US/UA) Predatory Pricing Guideline issues Government and Military travel contract issues and policies US/UK Open Skies E.C. Alliance Approval US-Hong Kong civil aviation negotiations US-China civil aviation negotiations US-Philippines civil aviation negotiations US-France civil aviation negotiations US-Russia civil aviation negotiations NW/KL Alliance issues Winter Slot Relief issues Narita Airport issues Japan Bilateral issues

17. House(s) of Congress and Federal agencies contacted:

Agriculture, Dept of (USDA)  
Air Force, Dept of  
Army, Dept of (Other)  
Commerce, Dept of (DOC)  
Defense, Dept of (DOD)  
Drug Enforcement Administration (DEA)  
Education, Dept of  
Energy, Dept of  
Environmental Protection Agency (EPA)  
Federal Aviation Administration (FAA)  
Federal Bureau of Investigation (FBI)  
Federal Deposit Insurance Commission (FDIC)  
General Services Administration (GSA)  
HOUSE OF REPRESENTATIVES  
Interior, Dept of (DOI)  
Justice, Dept of (DOJ)  
Nat'l Oceanic & Atmospheric Administration (NOAA)  
Nat'l Security Agency (NSA)  
Navy, Dept of  
Peace Corps  
SENATE

Registrant Name: NORTHWEST AIRLINES, INC. Client Name: Self

Securities & Exchange Commission (SEC)  
State, Dept of (DOS)  
Transportation, Dept of (DOT)  
Treasury, Dept of  
U.S. Customs Service  
U.S. Information Agency (USIA)  
U.S. Postal Service (USPS)  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BETHKE, CECILIA  
Covered Official Position (if applicable): N/A  
Name: CLIFFORD, DENNY  
Covered Official Position (if applicable): N/A  
Name: MISHKIN, DAVID  
Covered Official Position (if applicable): N/A  
Name: NEWMAN, ANDREA FISCHER  
Covered Official Position (if applicable): N/A  
Name: STEENLAND, DOUGLAS M.  
Covered Official Position (if applicable): N/A  
Name: VEITH, SALLY W.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NORTHWEST AIRLINES, INC. Client Name: Self

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

HR 1979 A bill to amend title 49, US Code, to provide assistance for the construction of certain air traffic control towers. HR 2140 A bill to amend section 13031 of the Consolidated Omnibus Budget Reconciliation act of 1985 to provide for a user fee to cover the cost of customs inspections at express courier facilities. HR 2216 2001 Supplemental Appropriations Act. HR 2299 Department of Transportation and Related Agencies Appropriations Act, 2002. HR 2500 Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002. HR 2590 Making appropriations for the Treasury Department, the US Postal Service, the Executive Office of the President, and certain Independent Agencies, for the fiscal year ending September 30, 2002, and for other purposes. HR 2926 Air Transportation and Safety and System Stabilization Act. HR 3338 Making appropriations for the Department of Defense for the fiscal year ending September 30, 2002, and for other purposes. S 871 Federal Air Traffic Controllers Annuity Computation Act of 2001. S 1124 A bill to amend section 13031 of the Consolidated Omnibus Budget Reconciliation Act of 1985 to provide for a user fee to cover the cost of customs inspections at express courier facilities.

17. House(s) of Congress and Federal agencies contacted:  
HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: NEWMAN, ANDREA FISCHER  
Covered Official Position (if applicable): N/A  
Name: STEENLAND, DOUGLAS M.  
Covered Official Position (if applicable): N/A  
Name: VEITH, SALLY W.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NORTHWEST AIRLINES, INC. Client Name: Self

## LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: LBR (one per page)

16. Specific lobbying issues:

HR 2957 Secure Aviation Employment and Training Enhancement Act of 2001 H Res. 241 Expressing the sense of the House of Representatives that the Administrator for the Federal Aviation Administration should consider hiring an employee whose employment with an air carrier was terminated as a result of the terrorist attacks that occurred on September 11, 2001, for a position with the Federal Air Marshal program of the Administration S 1327 A bill to amend title 49, U.S. Code, to provide emergency Secretarial authority to resolve airline labor disputes S 1544 To direct the Secretary of Transportation to give certain workers who have lost their jobs as a result of the terrorist attacks of September 11, 2001, priority in hiring for aviation related security positions

17. House(s) of Congress and Federal agencies contacted:

Federal Aviation Administration (FAA)

HOUSE OF REPRESENTATIVES

SENATE

Transportation, Dept of (DOT)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: NEWMAN, ANDREA FISCHER

Covered Official Position (if applicable): N/A

Name: STEENLAND, DOUGLAS M.

Covered Official Position (if applicable): N/A

Name: VEITH, SALLY W.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 05, 2002

Printed Name and Title: ANDREA FISCHER NEWMAN - SENIOR VP, GOVERNMENT AFFAIRS

### Information Update Page:

**Complete ONLY where registration information has changed.**

Client's new name

Northwest Airlines, Inc.

Client's old name

NORTHWEST AIRLINES

### LOBBYIST UPDATE

**23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client**

Name: AILOR, DIANE

Name: AMANTE, CAROL

Name: FOUSHEE, CLAY

Name: GRANESE, LARRY

Name: HIRST, RICHARD

Name: LIEN, RICHARD

Name: QUERN, DARRIN

Name: SEIDEN, ELLIOTT

Name: VAN DE WATER, READ

### ISSUE UPDATE

**24. General lobbying issues previously reported that NO LONGER pertain**

### AFFILIATED ORGANIZATIONS

**25. Add the following organization(s)**

**26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client**

### FOREIGN ENTITIES

**27. Add the following foreign entities**

**28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization**

Signature: ON FILE Date: Feb 05, 2002

Printed Name and Title: ANDREA FISCHER NEWMAN - Senior VP-Government Affairs