

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

ERISA INDUSTRY COMMITTEE

2. Address:

1400 L STREET, N.W., SUITE 350, WASHINGTON, DC 20005

3. Principal place of business (if different from line 2):

4. Contact Name: MARK J. UGORETZ

Telephone: 202-789-1400

E-mail (optional): mugoretz@eric.org

Senate ID #: 13803-12

House ID #: 32318000

7. Client Name: Self

TYPE OF REPORT

8. Year 2003 Midyear (January 1 - June 30): **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report: => Termination Date: _____ 11. No Lobbying Activity:

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more: => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more: => Expenses (nearest \$20,000): 180,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- Method A.** Reporting amounts using LDA definitions only
 Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
 Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: ERISA INDUSTRY COMMITTEE Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HCR (one per page)

16. Specific lobbying issues:

H.R. 1774 Small Business Health Fairness Act of 2001 H.R. 2036 Social Security Number Privacy and Identity Theft Prevention Act of 2001 H.R. 3009 Andean Trade Preference Expansion Act H.R. 3529 Economic Security and Worker Assistance Act of 2001 H.R. 4066 Mental Health Equitable Treatment Act of 2002 H.R. 4600 Help Efficient, Accessible, Low Cost, Timely Health Care Act of 2002 H.R. 4673 Medication Error Prevention Act of 2002 H.R. 4678 Consumer Privacy Protection Act of 2002 H.R. 4889 Patient Safety Improvement Act of 2002 H.R. 4954 Medicare Modernization and Prescription Drug Act of 2002 H.R. 5646 Stop Taking Our Health Privacy Act of 2002 S. 318 Genetic Nondiscrimination in Health Insurance and Employment Act S. 543 Mental Health Equitable Treatment Act of 2001 S. 710 Eliminate Colorectal Cancer Act of 2002 S. 812 Greater Access to Affordable Pharmaceuticals Act of 2002 S. 848 Social Security Number Misuse Prevention Act of 2002 S. 1135 Medicare Reform Act of 2001 S. 1209 Customs Border Security Act of 2002 S. 1995 Genetic Information Nondiscrimination Act of 2002 S. 2201 Online Personal Privacy Act S. 2590 Patient Safety and Quality Improvement Act S. 2625 Medicare Outpatient Prescription Drug Act of 2002 S. 2638 Efficiency in Health Care Act of 2002 S. 2729 21st Century Medicare Act S. 3018 Beneficiary Access to Care and Medicare Equity Act of 2002

17. House(s) of Congress and Federal agencies contacted:

Centers For Medicare and Medicaid Services (CMS)

Executive Office of the President

HOUSE OF REPRESENTATIVES

Health & Human Services, Dept of (HHS)

Internal Revenue Service (IRS)

Labor, Dept of (DOL)

Pension & Welfare Benefits Administration

SENATE

Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CHEN, LANHEE J.

Covered Official Position (if applicable): LEGISLATIVE REPRESENTATIVE

Name: KNETTEL, ANTHONY J.

Covered Official Position (if applicable): N/A

Name: UGORETZ, MARK J.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RET (one per page)

16. Specific lobbying issues:

H.R. 553 Protecting America's Savings Act of 2002 H.R. 586 Economic Growth and Tax Relief Reconciliation Act H.R. 622 Hope for Children Act H.R. 1322 Emergency Retiree Health Benefits Protection Act of 2001 H.R. 2695 To amend the Internal Revenue Code of 1986 to clarify the treatment of incentive stock options and employee stock purchase plans H.R. 2884 Victims of Terrorism Relief Act of 2001 H.R. 3005 Bipartisan Trade Promotion Authority Act of 2001 H.R. 3009 Andean Trade Preference Expansion Act H.R. 3090 Economic Security and Recovery Act of 2001 H.R. 3415 Employment Contract Protection Act of 2001 H.R. 3453 Pension Protection Act of 2002 H.R. 3509 Retirement Account Protection Act of 2001 H.R. 3622 Emergency Worker and Investor Protection Act of 2002 H.R. 3623 The Employee Savings Protection Act of 2002 H.R. 3657 Employee Pension Freedom Act of 2002 H.R. 3669 Employee Retirement Savings Bill of Rights H.R. 3762 Pension Security Act of 2002 H.R. 3763 Corporate and Auditing Accountability, Responsibility, and Transparency Act of 2002 H.R. 3763 Corporate and Auditing Accountability, Responsibility, and Transparency Act of 2002 H.R. 3763 Corporate and Auditing Accountability, Responsibility, and Transparency Act of 2002 H.R. 4931 Retirement Savings Security Act of 2002 H.R. 5095 American Competitiveness Act of 2002 H.R. 5095 American Competitiveness and Corporate Accountability Act of 2002 H.R. 5166 Tax Simplification Act of 2002 H.R. 5242 Workplace Employee Stock Option Act of 2002 S. 848 Social Security Number Misuse Prevention Act of 2002 S. 1677 Independent Investment Advice Act of 2001 S. 1838 Pension Protection and Diversification Act S. 1919 Retirement Security Protect Act S. 1921 Pension Plan Protection Act S. 1940 Ending the Double Standard for Stock Options Act S. 1969 Protecting Security Act of 2002 S. 1971 National Employee Savings and Trust Equity Guarantee Act S. 1992 Protecting America's Pensions Act of 2002 S. 2010 Corporate and Criminal Fraud Accountability Act of 2002 S. 2087 A bill to amend the Internal Revenue Code of 1986 to allow employers a credit against income tax for the provision of independent investment advice to employees S. 2190 Worker Investment and Retirement Education Act of 2002 S. 2673 Public Company Accounting Reform and Investor Protection Act of 2002 S. 2822 Prevention of Stock Options Abuse Act S. 2877 Rank and File Stock Option Act S. 2904 Emergency Retiree Health Benefits Protection Act of 2002

17. House(s) of Congress and Federal agencies contacted:

Centers For Medicare and Medicaid Services (CMS)
Equal Employment Opportunity Commission (EEOC)
Executive Office of the President
HOUSE OF REPRESENTATIVES
Labor, Dept of (DOL)
Pension & Welfare Benefits Administration
Pension Benefit Guaranty Corporation (PBGC)
SENATE
Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CURABBA, NICHOLAS S.
Covered Official Position (if applicable): N/A
Name: GREGORY, JANICE M.
Covered Official Position (if applicable): N/A
Name: UGDRETZ, MARK J.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Aug 14, 2003

Printed Name and Title: DEBORAH CHIN - DIRECTOR, ADMINISTRATION

Information Update Page:

Complete ONLY where registration information has changed.

LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

Name: DAVIS, ROBERT B.

Name: NORTH, NAN F.

ISSUE UPDATE

24. General lobbying issues previously reported that NO LONGER pertain

AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Feb 14, 2003

Printed Name and Title: DEBORAH CHIN - Director, Administration