

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

RECEIVED
SECRETARY OF THE SENATE
01 FEB 13 AM 10:53

LOBBYING REPORT

Lobby Disclosure Act of 1995 (Section 5) — All Filers Are Required to Complete This Page

1. Registrant Name BANK ONE CORPORATION			
2. Address <input type="checkbox"/> Check if different than previously reported 1 Bank One Plaza			
3. Principal Place of Business (if different from line 2) Chicago Illinois 60670 City: State/Zip (or Country)			
4. Contact Name Carter K. McDowell		Telephone (614) 217-1668	E-mail (optional) Carter_K_McDowell@bankone.com
			5. Senate ID# S255-12
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID#
TYPE OF REPORT			
8. Year <u>2000</u> Midyear (January 1-June 30) <input type="checkbox"/> OR Year End (July 1-December 31) <input checked="" type="checkbox"/>			
9. Check if this filing amends a previously filed version of this report. <input type="checkbox"/>			
10. Check if this is a Termination Report <input type="checkbox"/> Termination Date _____ 11. No Lobbying Activity <input type="checkbox"/>			
INCOME OR EXPENSES - Complete Either Line 12 OR Line 13			
12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> \$ _____ Income (nearest \$20,000)		13. Organizations EXPENSES relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> \$ <u>120,000.00</u> Expenses (nearest \$20,000)	
Please provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).		14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options. <input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions only. <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code. <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code.	
Signature <u>Carter K. McDowell</u> Printed Name and Title: Carter K. McDowell, Legislative Counsel and Treasurer and Secretary			

Registrant Name **BANK ONE CORPORATION**

Client Name **Self**

LOBBYING ACTIVITY: Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code **BAN** (one per page)

16. Specific lobbying issues

ATM fees
Bank Secrecy Act
Anti-Money Laundering
Subprime Lending
Bank Capital Standards
Deposit Insurance

17. House of Congress and Federal agencies contacted ☐ Check if None :

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position	New
Barbara L. Stewart	Director, Government Relations	<input type="checkbox"/>
Carter K. McDowell	Legislative Counsel	<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific lobbying issues listed on line 16 above. ☐ Check if None

Signature *Carter K. McDowell* Date: February 12, 2001
 Printed Name and Title: **Carter K. McDowell, Legislative Counsel and Treasurer and Secretary**

Registrant Name **BANK ONE CORPORATION**

Client Name **Self**

LOBBYING ACTIVITY: Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code **BNK** (one per page)

16. Specific lobbying issues

Bankruptcy reform

17. House of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position	New
Barbara L. Stewart	Director, Government Relations	<input type="checkbox"/>
Carter K. McDowell	Legislative Counsel	<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific lobbying issues listed on line 16 above. ☐ Check if None

Signature *Carter K. McDowell* Date: February 12, 2001
Printed Name and Title: **Carter K. McDowell, Legislative Counsel and Treasurer and Secretary**

Registrant Name **BANK ONE CORPORATION**

Client Name **Self**

LOBBYING ACTIVITY: Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code **FIN** (one per page)

16. Specific lobbying issues

Privacy bills

Electronic signatures

17. House of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives

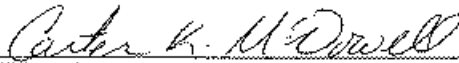
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position	New
Barbara L. Stewart	Director, Government Relations	<input type="checkbox"/>
Carter K. McDowell	Legislative Counsel	<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific lobbying issues listed on line 16 above. ☐ Check if None

Signature



Date: February 12, 2001

Printed Name and Title: **Carter K. McDowell, Legislative Counsel and Treasurer and Secretary**

Registrant Name BANK ONE CORPORATION

Client Name Self

LOBBYING ACTIVITY: Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Tax revisions

17. House of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position	New
Barbara L. Stewart	Director, Government Relations	<input type="checkbox"/>
Carter K. McDowell	Legislative Counsel	<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific lobbying issues listed on line 16 above. ☐ Check if None

Signature

Carter K. McDowell

Date: February 12, 2001

Printed Name and Title: **Carter K. McDowell, Legislative Counsel and Treasurer and Secretary**