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Washington, DC 20515

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Office of Public Records
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RECEIVED
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LOBBYING REP

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page 07 JUN 14 PM 2:01

1. Registrant Name <input type="checkbox"/> Organization <input checked="" type="checkbox"/> Individual	
Prefix <u>Mr.</u> First <u>Peter A.</u> Last <u>Carroll</u>	
2. Address <input checked="" type="checkbox"/> Check if different than previously reported	
Address1 <u>28691 Hope Circle</u> Address2 _____	
City <u>Easton</u> State <u>MD</u> Zip Code <u>21601</u> - Co _____	
3. Principal place of business (if different than line 2)	
City _____ State _____ Zip Code _____ - Co _____	
4a. Contact Name <u>Mr. Peter A. Carroll</u>	b. Telephone Number <input type="checkbox"/> International Number (410) 822-4830
	c. E-mail <u>pccarroll@goeaston.net</u>
7. Client Name <input type="checkbox"/> Self <u>Caterpillar INC</u>	5. Se <u>517</u> 6. Hc <u>348</u>

TYPE OF REPORT8. Year 2006Midyear (January 1-June 30) ☐

Year End (July 1-De

9. Check if this filing amends a previously filed version of this report ☒10. Check if this is a Termination Report ☒ Termination Date 12/31/2006

11. No Lobbying Act

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying INCOME relating to lobbying activities for this reporting period was: <u>Less than \$10,000</u> <input type="checkbox"/> <u>\$10,000 or more</u> <input checked="" type="checkbox"/> \$ <u>12,000.00</u> Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSE relating to lobbying activities for this were: <u>Less than \$10,000</u> <input type="checkbox"/> <u>\$10,000 or more</u> <input type="checkbox"/> \$ _____ 14. REPORTING Check box to indicate accounting method. See instructions for descriptive <input type="checkbox"/> Method A. Reporting amounts using LDA definitive <input type="checkbox"/> Method B. Reporting amounts under section 6033 Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code
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Signature

Peter Carroll

Date

6/1Printed Name and Title Peter A. Carroll

v5.0.0j

Registrant

Carroll

Client Name

Caterpillar INC

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code

ENG

Energy/Nuclear

(one per page)

16. Specific lobbying issues

Gas Engine Programs and Distributed Energy Systems

17. House(s) of Congress and Federal agencies

☐ Check if None☒ House☒ Senate

Department of Energy
Energy and Water Sub Committees
EPA

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
Peter A.	Carroll		

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Printed Name and Title Peter A. Carroll

v5.0.0j

