

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

PRINCETON UNIVERSITY

2. Address:

444 NORTH CAPITOL STREET NW SUITE 351, WASHINGTON, DC 20001

3. Principal place of business (if different from line 2):

City: PRINCETON State/Zip(or Country): NJ 08544

4. Contact Name: JOYCE A. RECHTSCHAFFEN

Telephone: 2022201362

E-mail (optional): jrechtsc@princeton.edu

Senate ID #: 308695-12

House ID #: 38426000

7. Client Name: ☐ Self

PRINCETON UNIV

TYPE OF REPORT

8. Year 2006 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 60,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

☐ **Method A.** Reporting amounts using LDA definitions only

☒ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

HR 5672, House "Science, the Departments of State, Justice and Commerce and Related Agencies Appropriations Act, 2007" and Senate "Departments of Commerce and Justice, Science and Related Agencies Appropriations Act, 2007" - Title III pertaining to NSF funding. HR 5576, House "Transportation, Treasury, Housing and Urban Development, the Judiciary, the District of Columbia and Independent Agencies Appropriations Act, 2007" - Title VII related to funding for the National Historical Publications and Records Commission. HR 5631, "Department of Defense Appropriations Act, 2007" - Kennedy-Collins Amendment related to Title IV Research, Development, Testing and Evaluation funding for 6.1 basic research accounts, University Research Initiatives, the SMART National Defense Education Program and DARPA funding. H.J. Res. 100 and H.J. Res 102, "Amends the Continuing Appropriations Resolution, 2007" (HR 5631) - Sections pertaining to DOE Office of Science and NSF funding. HR 5386, "Department of the Interior, Environment, and Related Agencies Appropriations Act, 2007" - Title II Environmental Protection Agency related to EPA Star Fellowships Program funding. National Endowment for the Humanities - potential development of a new program for trainees. HR 5427, Energy and Water Development Appropriations Act, 2007 - Title III related to funding for Department of Energy's Office of Science; Fusion Energy Sciences Program; Biological and Environmental Research Program.

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CARTER, CHRISTOPHER
Covered Official Position (if applicable): N/A
Name: RECHTSCHAFFEN, JOYCE
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ECN (one per page)

16. Specific lobbying issues:

Liberty Corridor Advisory Committee participation, SAFETEA Section 130 (PL 109-59).

17. House(s) of Congress and Federal agencies contacted:

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CARTER, CHRISTOPHER

Covered Official Position (if applicable): N/A

Name: RECHTSCHAFFEN, JOYCE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENG (one per page)

16. Specific lobbying issues:

Notification of Congressional staff regarding the Department of Energy's intent to recompet the management contract of the Princeton Plasma Physics Laboratory (PPPL). Notification of Congressional staff regarding the visit of Sec. Samuel Bodman to PPPL and the Secretary's visit to PPPL (as part of general oversight of the laboratory). Discussions related to Congressional letter to Department of Energy regarding the proposed reorganization of the DOE Office of Environment, Safety and Health.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CARTER, CHRISTOPHER

Covered Official Position (if applicable): N/A

Name: RECHTSCHAFFEN, JOYCE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FOR (one per page)

16. Specific lobbying issues:

Princeton Project on National Security

17. House(s) of Congress and Federal agencies contacted: **None**

18. Name of each individual who acted as a lobbyist in this issue area:

Name: RECHTSCHAFFEN, JOYCE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above: **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: IMM (one per page)

16. Specific lobbying issues:

S. 2611, "Comprehensive Immigration Reform Act of 2006" - Title V, Sections 507 and 508 related to student and STEM visas.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area:

Name: RECHTSCHAFFEN, JOYCE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: SCI (one per page)

16. Specific lobbying issues:

HR 5356, "Research for Competitiveness Act", Section 7, Major Research Instrumentation Program. HR 4435, "Advanced Research Projects Agency-Energy Act". S 3936, "National Competitiveness Investment Act", Title V, Division B, Department of Energy, Sections 2005-2006 - ARPA-E, authorization of appropriations for basic research. HR 5139, "STEM Scholarship Database Act" - related to establishing a searchable public Web site database by the Department of Education, containing information on public and private programs of financial assistance for the study of post-secondary and graduate science, technology, engineering, and mathematics. HR 6164, "National Institutes of Health Reform Act of 2006", Title I NIH Reform, Section 103 Authorization of Appropriations. National Science Foundation reauthorization - general issues that may come up in a reauthorization. HR 810, the "Stem Cell Research Enhancement Act of 2005" - Stem cell research funding.

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CARTER, CHRISTOPHER
Covered Official Position (if applicable): N/A
Name: RECHTSCHAFFEN, JOYCE
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

HR 4, "Pension Protection Act of 2006", Section 1225 - provisions related to Unrelated Business Income Tax returns.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: RECHTSCHAFFEN, JOYCE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 09, 2007

Printed Name and Title: JOYCE A. RECHTSCHAFFEN, DIRECTOR, OFFICE OF GOVERN -