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Legislative Resource Center
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Washington, DC 20515

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Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SEN

02 AUG 13 PM 1:

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Alliance of American Insurers			
2. Address <input type="checkbox"/> Check if different than previously reported 1211 Conn. Ave. NW, Suite 400, Washington DC 20036			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name David M. Farmer	Telephone (202) 822-8811	E-mail (optional)	5. Senate ID # 1012-1
7. Client Name <input type="checkbox"/> Self			6. House ID # 1012-1

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____

11. No Lobbying Act ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>125,000.00</u> Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of options.</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definition:</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b) Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code</p>
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Signature

David M. Farmer

8-13-02

Printed Name and Title

David M. Farmer, Senior Vice President, Federal Affairs

Registrant Name Alliance of American Insurers Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code AUT (one per page)

16. Specific lobbying issues

Highway safety funding issues in Transportation Appropriations measure
competitive auto parts
NAFTA border opening--safety related issues.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives
Senate
NHTSA and DOT

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kenneth D. Schloman	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature _____ Date _____

Printed Name and Title David M. Farmer, Senior Vice President, Federal Affairs

Registrant Name Alliance of American Insurers Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENV (one per page)

16. Specific lobbying issues

General liability issues related to the Superfund program
Liability issues related to asbestos

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
Senate
EPA

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kenneth D. Schloman	
David M. Farmer	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____

Printed Name and Title David M. Farmer, Senior Vice President, Federal Affairs

Registrant Name Alliance of American Insurers Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific lobbying issues

General issues related to OSHA reform
ergonomics

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
Senate
OSHA

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kenneth D. Schloman	
David M. Farmer	
Keith D. Lessner	
Deborah Shemo	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____

Printed Name and Title David M. Farmer, Senior Vice President, Federal Affairs

Registrant Name Alliance of American Insurers Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HOU (one per page)

16. Specific lobbying issues

HUD utilization of funding to engage in insurance related studies
mold

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives
Senate
HUD

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kenneth D. Schloman	
Nelson Garcia	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature _____ Date _____

Printed Name and Title David M. Farmer, Senior Vice President, Federal Affairs

Registrant Name Alliance of American Insurers Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRA (one per page)

16. Specific lobbying issues

DOT funding of safety programs
TREAD implementation
NAFTA truck safety related issues

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
Senate
DOT
NHTSA

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kenneth D. Schloman	
David M. Farmer	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____

Printed Name and Title David M. Farmer, Senior Vice President, Federal Affairs

Registrant Name Alliance of American Insurers Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues

General health care issues as they apply to the property and casualty insurance industry
 general issues related to the taxation of the property and casualty industry
 HR 1428 and HR 1551 relating to flood insurance and mitigation
 mitigation issues related to natural disasters
 managed care reform as it relates to utilization by property and casualty insurers
 confidentiality of medical records
 Terrorism reinsurance legislation —HR 3210
mold issues

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
 Senate
 Department of the Treasury
 FEMA
 HHS
 HCFAA
 IRS

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
David M. Farmer	
Kenneth D. Schloman	
Nelson Garcia	
Deborah Shemo	
Lamar Whitman	
Hon Dale Bumpers (Arent, Fox, Plotkin, & Kahn)	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____

Printed Name and Title David M. Farmer, Senior Vice President, Federal Affairs

Registrant Name Alliance of American Insurers Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Continued implementation of Gramm, Leach Bliley
Financial services modernization
HR 1408 dealing with fraud in the financial services industry
terrorism reinsurance legislation--- HR 3210, **S2600**
money laundering issues related to the Patriot Act

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
Senate
Department of the Treasury
FTC

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
David M. Farmer	
Kenneth D. Schloman	
Nelson Garcia	
Deborah Shemo	
Hon Dale Bumpers (Arent, Fox, Plotkin, & Kahn)	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____

Printed Name and Title David M. Farmer, Senior Vice President, Federal Affairs

Registrant Name Alliance of American Insurers Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TOR (one per page)

16. Specific lobbying issues

Class Action Jurisdiction reform---HR 2341,S 1712
Asbestos liability

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
David M. Farmer	
Kenneth D. Schloman	
Nelson Garcia	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____

Printed Name and Title David M. Farmer, Senior Vice President, Federal Affairs

Registrant Name Alliance of American Insurers Client Name _____

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities:

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

Hon. Dale Bumpers (Arent, Fox, Plotkin, & Kahn)

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Own perc clier

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, c
affiliated organization

Signature

David M. Farmer

Date 8/13/02

Printed Name and Title David M. Farmer, Senior Vice President, Federal Affairs

