

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

FINANCIAL SERVICES ROUNDTABLE

2. Address:
805 15TH ST NW #600, WASHINGTON, DC 20005

3. Principal place of business (if different from line 2):

4. Contact Name: JONATHAN SMITH
Telephone: 202-289-4322
E-mail (optional): jonathan@fsround.org

Senate ID #: 5290-12
House ID #: 30821000

7. Client Name: Self

TYPE OF REPORT

8. Year 2000 Midyear (January 1 - June 30): **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report: => Termination Date: _____ 11. No Lobbying Activity:

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more: => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more: => Expenses (nearest \$20,000): 250,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- Method A.** Reporting amounts using LDA definitions only
 Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
 Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FIN (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted:

Congressional Budget Office (CBO)
Executive Office of the President
Federal Deposit Insurance Commission (FDIC)
Federal Reserve System
Federal Trade Commission (FTC)
General Accounting Office (GAO)
HOUSE OF REPRESENTATIVES
Housing & Urban Development, Dept of (HUD)
Labor, Dept of (DOL)
Office of Management & Budget (OMB)
Office of the Vice President of the United States
President of the U.S.
SENATE
Treasury, Dept of
Vice President of the U.S.
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARTLETT, STEVE
Covered Official Position (if applicable): N/A
Name: DOREMUS, TED
Covered Official Position (if applicable): N/A
Name: MCGREEVY, LISA S.
Covered Official Position (if applicable): N/A
Name: SOLOMAN, MAURA
Covered Official Position (if applicable): N/A
Name: TALBOTT, SCOTT E.
Covered Official Position (if applicable): N/A
Name: WHITING, RICHARD M.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 13, 2001

Printed Name and Title: RICHARD WHITING - EXECUTIVE DIRECTOR AND GENERAL COUNSEL