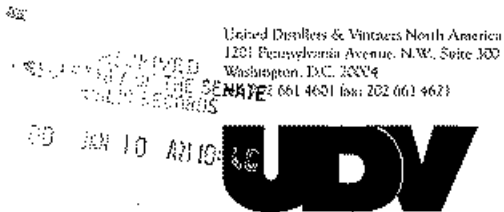


Mike Bertman
Director, Federal & International Affairs



January 6, 2000

Senate Office of Public Records
232 Hart Senate Office Building
Washington, DC 20510

Please find attached the delinquent lobbying report for United Distillers and Vintners, North America. I apologize for our tardiness. Our company is the product of a merger that was finalized in the middle of 1998 and we filed papers early last year. At that time our Washington office's status was in flux and numerous important procedures were being hashed out. One of which was the responsibility of filing this report. We apologize and pledge never to be late again.

Thank you for your consideration.

Sincerely,

A handwritten signature in dark ink, appearing to read "Mike Bertman", is written over the word "Sincerely,".

Mike Bertman
Director, Federal and International Affairs

00 JAN 10 AM 10:40

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name <u>United Distillers + Vintners North America</u>			
2. Address ☒ Check if different than previously reported <u>1301 K Street NW, East Tower</u>			
3. Principal Place of Business (if different from line 2) City: <u>Stanford</u> State/Zip (or Country) <u>CT 06901</u>			
4. Contact Name <u>Mike Bertman</u>	Telephone <u>(202) 7151115</u>	E-mail (optional)	5. Senate ID # <u>49830-12</u>
7. Client Name ☒ Self	6. House ID # <u>34572000</u>		

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ → Termination Date _____ 11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 ☐ \$10,000 or more ☐ → \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 ☐ \$10,000 or more ☒ → \$ <u>200,200</u> Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options ☐ Method A. Reporting amounts using LDA definitions only ☐ Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code ☐ Method C. Reporting amounts under section 162(e) of the Internal Revenue Code
--	--

Signature Mike Bertman
Printed Name and Title Mike Bertman Director Federal + International Affairs

LD-2 (REV. 6/98)

PAGE 1 of 1

Registration Name UDVNA Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the representee engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ALC (one per page)

16. Specific lobbying issues

ONDCP appropriations

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House

Senate

Office of National Drug Control Policy

Department of Health and Human Services

National Institute on Alcohol Abuse & Alcoholism

Substance Abuse and Mental Health Services Administration

National Highway Traffic Safety Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	
<u>Peter Seremet</u>	<u>SVP - External Affairs</u>	☐
<u>Carolyn Panzer</u>	<u>SVP - Public Policy</u>	☐
<u>Mike Bertman</u>	<u>Director Federal Affairs</u>	☒
		☐
		☐
		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature

Mike Bertman

Date

Printed Name and Title Mike Bertman Director Federal + International Affairs

Form F D-7 (Rev 6/08)

Registrant Name: UDVNA Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ALC (one per page)

16. Specific lobbying issues

Distilled spirits product information
Drunk driving/transportation issues
Alcohol research issues

17. House(s) of Congress and Federal agencies contacted

House
Senate
White House Executive
Office of Domestic Policy
Office of National Drug Control Policy
Department of Health and Human Services
National Institute on Alcohol Abuse & Alcoholism
Substance Abuse and Mental Health Administration

☐ Check if None

Food and Drug Administration
National Highway Traffic Safety Admin.
Federal Trade Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	
Peter Seremet	SVP - External Affairs	☐
Carolyn Panzer	SVP - Public Policy	☐
Mike Bertman	Director Federal Affairs	☒
		☐
		☐
		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature

Mike Bertman

Date

Printed Name and Title Mike Bertman Director Federal International Affairs

Form 12-7 (Rev. 4/02)

Registrant Name UDVNA Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CAW (one per page)

16. Specific lobbying issues

H.R. 2314 to amend the Clean Air Act with regard to emissions from aging warehouses

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House

Senate

Environmental Protection Agency

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position, if applicable	
Peter Seremet	SVP - External Affairs	☐
Carolyn Panzer	SVP - Public Policy	☐
Mike Bertman	Director Federal Affairs	☒
		☐
		☐
		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature Mike Bertman Date _____

Printed Name and Title Mike Bertman Director Federal International Affairs

Registrant Name UDVNA Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

S.434 - Distilled Spirits Tax Simplification Act

H.R.716 - Distilled Spirits Tax Simplification Act

Simplify taxes on alcohol used in manufacture of non-beverage products (drawback); no specific bill

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House
Senate
White House
Department of Treasury
Bureau of Alcohol, Tobacco and Firearms

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>Peter Sennet</u>	<u>SVP - External Affairs</u>
<u>Carolyn Panzer</u>	<u>SVP - Public Policy</u>
<u>Mike Bertman</u>	<u>Director Finance Affairs</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature

Mike Bertman

Date

Printed Name and Title Mike Bertman Director Federal International Affairs

Registrant Name UDVNA Client Name Self

LOBBYING ACTIVITY: Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

Eliminating foreign barriers for U.S. distilled spirits exports including Korea; WTO complaint against liquor tax discrimination; China: import tariffs and distribution rights; APFC: regional tariff, tax and other barriers to distilled spirits; Chile: WTO complaint against liquor tax discrimination; Latin America: regional tariff, tax and other barriers to distilled spirits; Russia: removal of restrictions on imported distilled spirits; WTO: tariff elimination for spirits in agricultural market access negotiations; WTO geographical designations for distinctive distilled spirits; U.S. customs and administrative requirements for imported beverage alcohol products.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House
Senate
Department of Treasury
Department of Agriculture
Bureau of Alcohol, Tobacco & Firearms

Office of U.S. Trade Representative
Department of Commerce
Department of State
Department of Defense
U.S. Customs Service

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature _____ Date _____

Printed Name and Title Mike Bortman Director Federal + International Affairs

Form 17-7 (Rev. 10/81)

Registrant Name UDVNA Client Name Self

Information Update Page - Complete ONLY where registration information has changed.

19. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s).

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client
<u>Pierzo</u>	<u>8 Hemmick Place</u>	<u>London, England</u>	<u>-0-</u>	<u>100%</u>

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature

Mike Bert

Date

1/1/00

Printed Name and Title

Mike Bertman Director Federal + International Affairs

Form 1 (1/97) (Rev. 6/99)