Clerk of the House of Representatives Secretary of the Senate Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Office of Public Records 232 Hart Building Washington, DC 20510

SECRETARY OF THE SENATE 99 AUG 13 AM 9: 18

H.D.

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

Registrant Native Caterpillar Inc.		
Caterpilias tou.		
2. Address Check if different than previously reported		
100 N. E. Adams Street	W148FW81878W1FFL1878FF1177	
3. Principal Place of Business (if different from line 2)		
City: Peoria SancZ	ip (or Country) IL 61629-1430	
Contact Name ; Telephone	E-mail (optional)	5. Senate ID #
	w_douglas_p@CAT.com	8475-12
7. Client Name 🔯 Self		6. House 1D#
1. Flight Galler Feb. 2047		31319000
0. Check if this is a Termination Report □ ⇒ Termination INCOME OR EXPENSES - Complete Either		11. No Lobbying Activity
12. Lobbying Firms	13. Organi	zations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying ac period were:	tivities for this reporting
Less than \$10,000 🔲	Less than \$10,000 Q	:
	\$10,000 or more 20 => \$ 200	
\$10,000 or more	} .	penses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000,	14. REPORTING METHOD. Ch accounting method. See instructions	
of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying	Method A. Reporting amounts	using LDA definitions only
payments to the legistratic by any other entity for isologing activities on behalf of the client).	Method B. Reporting amounts Internal Revenue C	
~	Method C. Reporting amounts Internal Revenue C	
Signature Nau Caralle	-	
Printed Name and Title Bouglas P. Crew. Manager.	Governmental Affairs	
LD-2 (REV. 6/98)	-	PAGE 1 or <u>//3</u>

Registrant Name Solar Turbines Incorporate Chem Name Sell	_
LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.	‡
15. General issue area code (one per page)	
16. Specific lobbying issues	
Appropriations Bill for Interior and Related Agencies (MR 4602) - provisions relating to stationary power programs: Support	
Utility Restructuring Bill, Commerce Subcommittee on Energy and Power (RR 2050) - provisions relating to distributed energy: Support	
17. House(s) of Congress and Federal agencies contacted Check if None	
House of Representatives Senate EPA Department of Energy	
18. Name of each individual who acted as a lobbyist in this issue area	
Name Covered Official Position (if applicable)	Nes
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Peter Carroll	
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19. Interest of each foreign entity in the specific issues listed on line 16 above	0
19. Interest of each foreign entity in the specific issues listed on line 16 above	0

reporting period. Using a separate page for each code, princeded. ge) ed Agencies Fy ^{+r} oo tion/Transportation	iov me
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Covered Official Position (if applicable)	New
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ed on line 16 above	
Date	
	Covered Official Position (if applicable) Issues Analysis Manager ed on line 16 above

Registrant Name	Caterpillar Inc. Client	Name Self	
engaged in lobb	CTIVITY. Select as many codes as neces bying on behalf of the client during the report requested. Attach additional page(s) as nee	sary to reflect the general issue areas in which the registrar rting period. Using a separate page for each code, provid ded.	nt de
15. General iss	ue area code HAN (one per page)		
16. Specific lo	bbying issues		
P1.106-34	Fastener Quality Act Support the bill		
BR 2005	Workplace Goods Job Growth and C Oppose	competitiveness Act	
HR 1875	Class Action Disputes Support bill		
17. House(s) o	f Congress and Federal agencies contacted	Check if None	
US Senate	of Representatives		
18. Name of e	ach individual who acted as a lobbyist in th	is issue area	
	Name	Covered Official Position (if applicable)	3744
Rita L. C	Name RStle	Covered Official Position (if applicable)	***
Rita L. C		Covered Official Position (if applicable) Issues Analysis Manager	_
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19. Interest of a	astle	Issues Analysis Manager Line 16 above	
19. Interest of a	each foreign entity in the specific issues listed or	Issues Analysis Manager Line 16 above Check if None	

Registrant Name CATERPILLAR INC. Client	NameSelf
LOBBYING ACTIVITY. Select as many codes as necessengaged in lobbying on behalf of the client during the repoinformation as requested. Attach additional page(s) as necessitions are requested.	sary to reflect the general issue areas in which the registrant orting period. Using a separate page for each code, provide ded.
15. General issue area code <u>nep</u> (one per page)	
16. Specific lobbying issues Support Defense Appropriations (S.1122 -	. Done of Defence Annualistation (Art)
Support Neverse appropriations (5.1122	bept. of belease Appropriations Act)
17. House(s) of Congress and Federal agencies contacted	Check if None
F.S. House of Representatives U.S. Senate	
	•••
18. Name of each individual who acted as a lobbyist in thi	is issue area
Name	Covered Official Position (if applicable)
James F. Halioran	Washington Manager, Covernmental Affairs
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19. Interest of each foreign entity in the specific issues listed on	line 16 above Check if None
Signature	Date
Printed Name and Title	
Form I B-7 (Rev AIDR)	Dan- 5 no /2

	TOTAL THE	Client Name	Self		
LOBBYING ACTIVITY engaged in lobbying on be information as requested.	half of the client during th	te reporting period,	t the general issue areas in which Using a separate page for each	the registrant code, provide	
15. General issue area co	de <u>LBR</u> (one per	page)			
Support OSHA Ref Support OSHA Ref Support OSHA Ref	orm (S.385) Safety / orm (B.R. 1427)SSafe orm (B.R. 1434, B.R. orm (B.R. 987) Work	ety Advancement . 1436–39) place Preservat	Employees Act of 1999 for Employees Act of 199 ion Act Healing with Federal Cont		
17. House(s) of Congress	; and Federal agencies con	tacted	Check if None		
U.S. Nouse of Re					
U.S. Senate Office of Federa	i Procurement Policy	y			
Office of Manage	ment & Budget of the President		•		
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18. Name of each individ	inal who acted as a lobbyis	st in this issue area			
	Name		Covered Official Position (if applicable)] 1	Neu
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19. Interest of each foreign	entity in the specific issues if	isted on line I6 above	Check if None	;	
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Signature	-		Date		_
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Registrent Name	CATERPILLAR INC.	Client Name	Self	
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15. General issue a	area code HCR (one per	r page)		
16. Specific lobby:	ing issues			
Oppose Heal	Ith Care Reform (S.300/S th Care Reform (S.1344) Ith Care Reform (H.R. 20	Patients Bill o	f Rights	
17. House(s) of Co	ongress and Federal agencies cor	stacted .	Check if None	
U.S. House U.S. Senste	of Representatives	· -	•	
				•
18. Name of each	individual who acted as a lobbyi	st in this issue area		
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	Nemo		Covered Official Position (if applicable)	New
James P. Ha			Covered Official Position (if applicable) n Manager, Governmental Affairs	Ness CQ
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James P. Ha	lloran	1444	n Manager, Governmental Affairs	
James P. Ha	lloran		n Manager, Governmental Affairs	
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19. Interest of each f	Iloran	sted on line 16 above	B Manager, Governmental Affairs ☐ Check if None	00000000
19. Interest of each f	lloran	sted on line 16 above	□ Check if None	00000000

Registrant Name	CATERPILLAR	INC.	Client Name	Self	***************************************
LOBBYING ACT engaged in lobbyin information as req	ig on behalf of th	ie client durir	ig the reporting period.	et the general issue areas in which the registr Using a separate page for each code, prov	ant ride
15. General issue	area code TRD	(one	per page)		
Oppose Steel Support Nors Support Sill Support var	tions Proces: L Quota Bills anl Trade Rel c Road Strate Lous Bills to	(H.R. 975 ations wit gy Act of improve r	h China (annual a 1999 (S.579) ciations with Cut	•	i.92 6)
Senate White House State Depar Treasury De Commerce De USTR	oresentatives twent partwent partment		contacted by ist in this issue area	Check if None	₩.
	Nerpo]	Comment (1999 and Transition Comment on the	١
William C.			Machinet	Covered Official Position (frapplicable) on Director, Governmental Affairs	No.
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19. Interest of each	foreign entity in th	ie specific issui	es listed on line 16 above	Check if None	·
Signature	···			Date	
Printed Name and T	ide	·····			
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Registrant Name CATERPILLAR INC. Client	NameSelf
LOBBYING ACTIVITY. Select as many codes as neces engaged in lobbying on behalf of the client during the repoinformation as requested. Attach additional page(s) as nee	sary to reflect the general issue areas in which the registrant ring period. Using a separate page for each code, provide ded.
15. General issue area code Tax (one per page)	
Support the Export Source Rules Support Provisions Relating to Foreign S	concerning hybrid entities R. 760, S.195) visione (H.R. 2018, S.1164) s relating to increased taxes on exporters ales Corporations
17. House(s) of Congress and Federal agencies contacted	Check if None
House of Representatives Senate Treasury Department USTR Executive Office of the White House 18. Name of each individual who acted as a lobbyist in thi	5 issue area
Nazite	Covered Official Position (if applicable) New
John T. Disharoon	
	Washington Manager, Governmental Affairs
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19. Interest of each foreign entity in the specific issues listed on	. 1
Signature	.
Signature Printed Name and Title	Date
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Registrant Name	CATERPILLAR INC. Client	Name Self	
engaged in lobby	TIVITY. Select as many codes as nece ring on behalf of the client during the rep quested. Attach additional page(s) as ne	ssary to reflect the general issue areas in which the registrant orting period. Using a separate page for each code, provide eded.	; ;
15. General issu	e area code TRD (one per page)	
Support b Support A Support t OPIG, T		et (HR 434, S.666) 999 (MR 1993, S.688) including	
House of Senate USTR Commerce Executive	Congress and Federal agencies contacted Representatives Department Office of the White House		٠.
18. Name of eac	th individual who acted as a lobbyist in the	nis issue area	
	Name	Covered Official Position (if applicable)	New
John T. D	isharoon	Washington Manager, Governmental Affairs	
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19. Interest of eac	h foreign entity in the specific issues listed of	n line 16 above	0 0 0
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Registrant Name_	Caterpillar Inc.	Client Name	Self	
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General iss	ue area codeCPT	(one per page)		
6. Specific to	bbying issues			
BR 1907 -	 Patent Reform Bill Support All Section 	18		
	•			
17. House(s) o	of Congress and Federal ag	gencies contacted	Check if None	
US House US Senate	of Representatives			
18. Name of e	ach individual who acted	as a lobbyist in this issu	t àrea	
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19. Interest of	each foreign entity in the spe	cific issues listed on line I	6 above Check if None	
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	and Title			
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Registrant Name Caterpillar Inc. Client N	Name_ Self	
LOBBYING ACTIVITY. Select as many codes as necess ingaged in lobbying on behalf of the client during the repo- information as requested. Attach additional page(s) as need	ary to reflect the general issue areas in which the registrant cling period. Using a separate page for each code, provide ded.	-
15. General issue area code NAT (one per page)		
16. Specific lobbying issues		
HR 1480 - Water Resources Development Act. Section 503 - Support authorizat selection of new d	tion of \$2 M for testing and iredging technologies	
17. House(s) of Congress and Federal agencies contacted US House of Representatives US Senate US Department of Defense/ACOR	Check if None	
18. Name of each individual who acted as a lobbyist in thi	is issue area	
Name	g .cm/	!
	Covered Official Position (if applicable)	New
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Rita L. Castle 19. Interest of each foreign entity in the specific issues listed on	Issues Analysis Manager	
19. Interest of each foreign entity in the specific issues listed on Signature Way (2) False	Issues Analysis Manager line 16 above	
19. Interest of each foreign entity in the specific issues listed on Signature Way (2) False	Issues Analysis Manager line 16 above	