

00 FEB -1 AM 9:47

# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Higgins, McGovern & Smith			
2. Address <input type="checkbox"/> Check if different than previously reported 1620 L Street, N.W., Suite 1210			
3. Principal Place of Business (if different from line 2) City: Washington State/Zip (or Country) DC 20036			
4. Contact Name Lawrence Higgins		Telephone 202-955-6062	5. Senate ID # 18205-261
7. Client Name <input type="checkbox"/> Self Northwestern Mutual Life Insurance Company		6. House ID # 33582007	

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☒

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date \_\_\_\_\_

11. No Lobbying Activity ☐

## INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<b>12. Lobbying Firms</b>  INCOME relating to lobbying activities for this reporting period was:  Less than \$10,000 <input checked="" type="checkbox"/>  \$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)  Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>13. Organizations</b>  EXPENSES relating to lobbying activities for this reporting period were:  Less than \$10,000 <input type="checkbox"/>  \$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Expenses (nearest \$20,000)  <b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code
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Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

Registrant Name Higgins, McGovern & Smith Client Name Insurance Company

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues

see attachment - 2 a&b

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Lawrence Higgins	Partner	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

15. INS

H.R. 1415 Patient Access to Responsible Care Act of 1999; Privacy of Medical Records; Genetic Testing

H.R. 1515 Expansion of Portability and Health Insurance Coverage Act of 1999; Privacy of Medical Records; Genetic Testing

H.R. 1749 Managed Care Plan Accountability Act of 1999; Privacy of Medical Records; Genetic Testing

H.R. 52 Fair Health Information Practices Act of 1999; Privacy of Medical Records

S. 422 Genetic Confidentiality and Non-Discrimination Act of 1999; Privacy of Medical Records; Genetic Testing

S. 89 Genetic Information Non-Discrimination in Health Insurance Act of 1999; Privacy of Medical Records; Genetic Testing

H.R. 306 Genetic Information Non-Discrimination in Health Insurance Act of 1999; Privacy of Medical Records; Genetic Testing

H.R. 328 Genetic Information Health Insurance Non-Discrimination Act of 1999; Privacy of Medical Records; Genetic Testing

H.R. 1815 A Bill to Protect the Privacy of Health Information in the Age of Genetic and other New Technologies; Privacy of Medical Records; Genetic Testing

S. 1368 Medical Information Privacy & Security Act; Privacy of Medical Records

H.R. 2198 Genetic Privacy and Non-Discrimination Act of 1999; Privacy of Medical Records; Genetic Testing

H.R. 2216 Genetic Protection in Insurance Coverage Act; Privacy of Medical Records; Genetic Testing

H.R. 2215 Genetic Non-Discrimination in the Workplace Act; Privacy of Medical Records; Genetic Testing

S. 1045 Genetic Justice Act; Privacy of Medical Records; Genetic Testing

H.R. 2275 Genetic Employment Protection Act of 1999; Privacy of Medical Records; Genetic Testing

H.R. 2590 A Bill to require life and disability income insurers to disclose an insurance applicant's medical test results to the applicant

S. 600 Personal Information Privacy Act of 1999; Privacy of Medical Records

H.R. 1117 Victims of Abuse Insurance Protection Act; Risk Classification & Privacy

S. 467 Victims of Abuse Insurance Protection Act; Risk Classification & Privacy Draft Medical Information Protection Act of 1999; Privacy of Medical Records

H.R. 1813 Personal Information Privacy Act; Privacy of Medical Records

H.R. 4321 Financial Information Privacy Act of 1999; Financial Information Privacy

H.R. 4250 Patient Protection Act of 1999; Privacy of Medical Records

S. 2330 Patients' Bill of Rights Act; Privacy of Medical Records; Genetic Testing

S. 1890 Patients' Bill of Rights Act of 1999; Privacy of Medical Records; Genetic Testing

S. 1891 Patients' Bill of Rights Act of 1999; Privacy of Medical Records; Genetic Testing

H.R. 3605 Patients' Bill of Rights Act of 1999; Privacy of Medical Records; Genetic Testing

H.R. 3514 Violence Against Women Act; Risk Classification & Privacy

15.     INS - continued

S. 2110     Violence Against Women Act; Risk Classification & Privacy  
H.R. 3299     Family Genetic Privacy & Protection Act; Privacy of Medical  
              Records; Genetic Testing

2b

Registrant Name Higgins, McGovern & Smith

NORTHWESTERN MUTUAL LIFE  
Insurance Company

Client Name

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

H.R. 2014 Taxpayer Relief Act of 1999; Roth IRA & COLI  
S. 949 Revenue Reconciliation Act of 1999; Roth IRA & COLI  
S. 1096 Internal Revenue Service Restructuring and Reform Act  
of 1999; COLI  
H.R. 2676 The IRS Restructuring and Reform Bill of 1999; COLI  
Reg. 1.408A Proposed Regulations on Roth IRA's  
Reg. 1.7702B Regulations on Long Term Care  
Correction of Errors Revenue Procedure

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. Senate  
U.S. House of Representatives  
Internal Revenue Service  
Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Lawrence Higgins	Partner	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

Registrant Name Higgins, McGovern & Smith Client Name Northwestern Mutual Life Insurance Company

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TOR (one per page)

16. Specific lobbying issues

S. 1554 Fairness in Punitive Damages Awards Act; Tort Reform  
H.R. 3789 The Class Action Jurisdiction Act of 1999; Tort Reform  
S. 78 The Multiple Punitive Damages Fairness Act of 1999; Tort Reform  
S. 79 The Civil Justice Fairness Act of 1999; Tort Reform  
S. 1554 The Fairness in Punitive Damages Awards Act; Tort Reform  
H.R. 4785 The Fairness in Punitive Damages Awards Act; Tort Reform

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives  
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Lawrence Higgins	Partner	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

Registrant Name Higgins, McGovern & Smith Northwestern Mutual Life  
Not Name Insurance Company

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

H.R. 10 Financial Services Competition Act of 1999 Restructuring of the Financial marketplace; affiliations among banks, insurers and securities firms; function regulation of financial products

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. Senate  
U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Lawrence Higgins	Partner	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Lawrence P. Higgins Date 1/12/00

Printed Name and Title Lawrence Higgins, Partner

Form LD-2 (Rev. 6/98)

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