letk of the House of Representatives ogistative Resource Center 106 Cannon Building /ashington, DC 20515

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

SECRETARY OF THE SENATE OF THE SENATE H. O.

## OBBYING REGISTRATION

bbying Disclosure Act of 1995 (Section 4)

eck if this is an Ame	nded Registration 🛄	1. Effective Date of Re	gistration
House Identification	Number 30821000	Senate Identification N	umber5290+12
EGISTRANT Registrant name	The Financial Servic	es Roundtable	
Address	805 15th Street, N.W		
Ciry	Wash.	State D.C.	Žip 20005
Principal place of bi City	usiness (if different from line 3)	State/Zip (or	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
Telephone number a	und contact name 89-4322 Contact		E-mail (optional)
General description	of registrant's business or activities		
	t firm is required to file a separate registratio    firm is required to line 10.	n for each client. Organizations en	playing in-house lobbyists should check the bax
Address			
City		State	Zip
Principal place of bu	ssiness (if different from line 7)	State/Zip (or	Country)
General description	of client's business or activities		
this section has ser	dual who has acted or is expected to a vert as a "covered executive branch of for the client, state the executive and	official" or "covered legislativ	identified on line 7. If any person listed in we branch official" within two years of first which the person served.
······································	Name	Covere	d Official Position (if applicable)
<u> Lisa S. M</u>	cGreevy		
Edward J.	Hill		
Scott E.	<u> </u>		
rm: Ш3-1 (Rav. 06/9∯)			Page 1

gistrant Nagge Financ:	ial Services Roundt. Clien	able rName <u>Self</u>			
OBBYING ISSUI	ES areas, Scient all applicable codes	listed in instructions and on	the reverse side of Form L	D-1, page 1.	
2. Specific lobbying issues	(current and anticipated)				
FILIATED ORG					
is there an entity other a semiannual period an	than the client that contributes of in whole or in major part pla	more than \$10,000 to the ans, supervises or controls	lobbying activities of the the registrant's lobbying	e registrant in g activities?	
☐ No ⇒ Go to line	e 14. 🔲 Ye	es # Complete the rest of t the criteria above, th	his section for each enti en proceed to line 14.	ty matching	
Name	,	Address	Principal Place of Business (city and state or country)		
<ul> <li>b) directly or ind activities of the</li> </ul>	•	eart, plans, supervises, confidentified on line 13; Or on identified on line 13 and	trols, directs, finances or	subsidizes	
- Programme	C the regionation.	Yes I Complete the matching the c registration.	riteria above, then sign	and date the	
Name	Address	Principal place of business (city and state or country	Amount of contribution for lobbying activities	Ownership percentage in client	
			77774		
nature Richard m		Date			
ited Name and Title R	ichard M. Whiting,	Executive Direct	or & General Co	บกระ1	
LD-8 (Rev. 96/98)				Page 2	
	·. · · · · · · · · · · · · · · · · · ·				

Reg	grant Name Financial Services Roundta	ble Name <u>Self</u>			
eng	BYING ACTIVITY. Select as many codes as necessed in lobbying on behalf of the client during the repmation as requested. Attach additional page(s) as necessarily	orting period. Using a			
15.	General issue area code BAN (one per page)	•			
16.	Specific lobbying issues				
	Senate Bills Nos: S.187, 761, 900, Rouse Bills Nos: N.R. 10,22,30,174 Executive Branch Actions: 1) finar insurance premiums; 2)regulatory a 4) tax legislation; 5)technology a	4,192,665,775, ncial moderniz celie£; 3) Gla	800,1714,2245,2488 ation leg and deposit ss Stegall Reform Act	on.	
	House(s) of Congress and Federal agencies contacted U.S. Senate, U.S. House or Represe COCC), FDIC, Justice Dept., HUD,	antatives, Dep	t of the Treasury (inclu	iđing	ors
18.	Name of each individual who acted as a lobbyist in th	is issue area			
	Name	Covere	i st Official Position (if applicable) l	Now	
	Steve Bartlett				
	Lisa S. McGreevy			<b>9</b> ~	
	Richard M. Whiting			ū	
	Edward J. Hill			ū	
	Sontt E. Talbott		174744761	<b>9</b>	
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				0	
			1	a	
19.	nterest of each foreign entity in the specific issues listed on	i line 16 above	Check if None	,	
signature Ruhay m little			Date D 2 - 14 - 00		
	d Name and Title Richard M. Whiting, Ex				
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1. Client sew principal place of business (if different from line 20)  Try State/Zip (on Causery)  2. New general description of cheat's business or servicing  3. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client  Todd J. Dorny  Raffred M. Pollard  SSUE UPDATE  4. General lobbying issues previously reported that no longer pertain  FFILIATED ORGANIZATIONS  5. Add the following affiliated organization(s)  Name  Address  Principal Place of Business (city and state or country)  10. Name of each previously reported organization that is no longer affiliated with the registrant or client  FOREIGN ENTITIES  7. Add the following foreign entities  Name  Address  Principal place of business (city and state or country)  28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, clie affiliated organization  Base 02-14-06  Date 02-14-06  Date 02-14-06  Tritted Name and Title Richard M. Whiting, Executive Director, 6 General Counsel	am Name Financial Servic	es Roundtab Client	le Name <u>Self</u>	   		
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