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Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515 Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

Registrant Name			
Caterpillar Inc.			
***************************************	than previously reported		***************************************
100 NE Adams Street	. , ,		
	ut from line 2)		
•			
Peoria, Illinois 61629-1430 City:		(or Country)	
. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Douglas P. Crew	(309) 675-5248	crew_douglas_p@cat.com	8475-12
, Client Name 🛛 Self	***************************************	<u> </u>	6. House ID #
		* P	31319000
Check if this filing amends a p	n Report 🗋 🖙 Terminatio	report 🗋	11. No Lobbyin
. Check if this filing amends a p 0. Check if this is a Termination INCOME OR EXPE	n Report □	report n Date er Line 12 OR Line 13	
CYPE OF REPORT 8 Check if this filing amends a p O. Check if this is a Termination INCOME OR EXPERIMENTAL ASSESSMENT OF THE PORT OF THE	n Report □	report n Date er Line 12 OR Line 13	ganizations
. Check if this filing amends a p 0. Check if this is a Termination INCOME OR EXPERIMATE 12. Lobbying a	n Report □	report n Date er Line 12 OR Line 13	ganizations
. Check if this filing amends a p 0. Check if this is a Termination INCOME OR EXPE	n Report □	report n Date er Line 12 OR Line 13 13. Or EXPENSES relating to lobbyin	ganizations
. Check if this filing amends a position of the control of the con	n Report □	report n Date er Line 12 OR Line 13 13. Or EXPENSES relating to lobbyin period were:	ganizations g activities for this report \$720,000.00
Check if this filing amends a provide a good faith estimate, re-	NSES - Complete Eithing Firms activities for this reporting Income (nearest \$20,000)	report	ganizations g activities for this report \$720,000.00 Expenses (nearest \$20,000) Check box to indicate ex
O. Check if this filing amends a property of the content of the co	NSES - Complete Eithing Firms activities for this reporting Income (nearest \$20,000) ounded to the nearest \$20,000, from the client (including all any other entity for lobbying	report	\$720,000.00 Expenses (nearest \$20,000) Check box to indicate extions for description of oppounts using LDA definition ounts under section 6033(

Signature

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PAGE 1 of

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Client Name —

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Caterpillar Inc.

Registrant Name -

Page 2

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Page _____4

Client Name —

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Registrant Name -

Signature _____

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Form LD-2 (Rec. 4/03)

Page _____5

Caterpillar Inc.

information as requested. Attach additional page(s) as needed.

Registrant Name -

15. General issue area code _

16. Specific lobbying issues

·	
17. House(s) of Congress and Federal agencies contacted	☐ Check if None
U. S. House and Senate Environmental Protection Agency	
	·
18. Name of each individual who acted as a lobbyist in this is	ssue area
Name	Covered Official Position (if applicable)
Carl M. Volz	Washington Manager, Governmental Affairs
	ı
19. Interest of each foreign entity in the specific issues listed on	line 16 above
Signature	Date

_____ Client Name ____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod

Opposed to any changes in the Clean Air Act to postpone or change the Low Sulfur Diesel fuel rules promulgated by the

___ (one per page)

Self

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Form LD-2 (Rec. 4/03)

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Client Name —

Self

Caterpillar Inc.

Registrant Name _

Printed Name and Title

Client Name

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code

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Caterpillar Inc.

information as requested. Attach additional page(s) as needed.

Registrant Name .

Signature _

___ Client Name _

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the

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Registrant Name -

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Form LD-2 (Rec. 4/03)

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Caterpillar Inc.

Printed Name and Title

Page ____10__

Client Name —

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code

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Caterpillar Inc.

information as requested. Attach additional page(s) as needed.

Registrant Name -

Signature ____

Client Name _

Self

Caterpillar Inc.

Printed Name and Title

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____ Client Name _____

Self

Caterpillar Inc.

Registrant Name _

Printed Name and Title

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Self

Caterpillar Inc.

Printed Name and Title

Page	14

Registrant Name	Caterpillar Inc.	Client Name	Self
LOBBYING ACT engaged in lobbying information as reque		ring the reporting period. e(s) as needed.	ect the general issue areas in which the Using a separate page for each code
15. General issue an	ta code (on	o per page,	
16. Specific lobbyin	g issues		
Support HR 2830 investment choice	- The Pension Protection Act of s, strengthening enforcement o	f 2005 - to give workers more f federal pension protection l	e freedom to diversify, better access to aws.
17. House(s) of Cor	ngress and Federal agencies	contacted	neck if None
U. S. House and	I Senate adividual who acted as a lob	byist in this issue area	
	Name		Covered Official Position (if applicable)
Kathryn S. Himes		Washingto	n Manager, Governmental Affairs
			· .

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19. Interest of each	foreign entity in the specific i	issues listed on line 16 abo	ve
Signature		Man Caracita	Date

Page _____15

Douglas P. Crew, Manager, Governmental Affairs

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. Client Name ____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the

Self

Caterpillar Inc.

Registrant Name -

Signature

Printed Name and Title

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