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SECRETARY OF THE SENATE
02 FEB 14 PM

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name International Business Machines Corporation (IBM)			
2. Address <input type="checkbox"/> Check if different than previously reported 1301 K Street, N.W., Washington, D.C. 20005-3307			
3. Principal Place of Business (if different from line 2) City: Armonk State/Zip (or Country) New York 10504			
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Marcus P. Williams	(202) 515-5522	marcwill@us.ibm.com	19877-1
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 3112300

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇒ Termination Date _____

11. No Lobbying Activities

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p align="center">12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center">13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>2,240,000</u> Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of methods.</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6011 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code</p>
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Signature _____

Printed Name and Title _____

See Page 48



Registrant Name IBM Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cod information as requested. Attach additional page(s) as needed.**

15. General issue area code BUD (one per page)

16. Specific lobbying issues

IBM has lobbied for various Government Programs, including Amtrak, Customs Modernization I Enterprise Resource Planning, and Government/Private Sector Research and Development Progr Please see General Lobbying Area Codes DEF, HCR, RRR, SCI, and TRD for the report on thes

17. House(s) of Congress and Federal agencies contacted Check if None

See General Lobbying Issue Area Codes DEF, HCF, RRR, SCI, and TRD

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
See General Lobbying Issue	
Area Codes DEF, HCR, RRR, SCI, and TRD	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

See General Lobbying Issue Area Codes DEF, HCR, RRR, SCI, and TRD

Signature _____ Date _____

Printed Name and Title _____ See Page 48



Registrant Name IBM Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code CPI (one per page)

16. Specific lobbying issues

- Freedom of Information Act (FOIA)
- Critical Infrastructure Information Sharing Exemptions
- H.R. 2435 Cyber Security Information Act
- H.R. 3162 USA Patriot Act of 2001
- H.R. 3482 Cyber Security Enhancement Act of 2001
- S. 1456 Critical Infrastructure Information Security Act of 2001

17. House(s) of Congress and Federal agencies contacted

- House of Representatives
- Senate
- Executive Office of the President
- National Security Council
- Office of Management and Budget
- Office of Homeland Security

- Check if None
- General Services Administration
- U.S. Department of Commerce
- Critical Infrastructure Assurance Office

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Patrick A. Hunnicutt	
Timothy B. Hackman	
Edmund F. Perry	
Brian J. Raymond	
Paul R. Redifer	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____ See Page 48



Continuation Sheet for Item 18 of
Lobbying Report Addendum for Issue Area CPI

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>N</u>
..... Adrienne G. Rhone
..... Timothy J. Sheehy
..... Susan M. Siemietkowski
..... Gregory W. Waddell
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Registrant Name IBM Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code CSP (one per page)

16. Specific lobbying issues

Tauzin/Stearns Draft Legislation on Privacy
Commercial Privacy
Internet Privacy

17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate
Federal Trade Commission
Department of Commerce
International Trade Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Patrick A. Hunnicutt	
Kathleen N. Kingscott	
Christopher J. Mustain	
Edmund F. Perry	
Brian J. Raymond	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____ See Page 48



**Continuation Sheet for Item 18 of
Lobbying Report Addendum for Issue Area CSP**

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>N</u>
..... Paul R. Redifer
..... Adrienne G. Rhone
..... Timothy J. Sheehy
..... Susan S. Siemietkowski
..... Gregory W. Waddell
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Registrant Name IBM Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

- Software Patents
- Business Methods Patents
- Reexamination
- Data Rights Management
- Patent and Trademark Office Procedures
- Database Protection (See Attached Continuation Sheet)

17. House(s) of Congress and Federal agencies contacted Check if None

- House of Representatives
- Senate
- Executive Office of the President
- Office of the United States Trade Representative
- Department of Commerce
- Patent and Trademark Office

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Cheryl L. Bruner	
Timothy B. Hackman	
Patrick A. Hunnicutt	
Edmund F. Perry	
Brian J. Raymond	
Paul R. Redifer	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____ See Page 48



**Continuation Sheet for Item 16 of
Lobbying Report Addendum for Issue Area CPT**

16. Specific lobbying issues

Security Systems Standards and Certification Act

H.Res. 110 Providing that it shall not be in Order in the House of Representatives to Consider Certain Funding Measures for the USPTO

H.R. 740 Patent and Trademark Office Reauthorization Act

H.R. 614 Copyright Technical Corrections Act of 2001

H.R. 615 Intellectual Property Technical Amendments Act of 2001

H.R. 741/S. 407 Madrid Protocol Implementation Act

H.R. 1332 Business Methods Patent Improvement Act of 2001

H.R. 1333 Patent Improvement Act of 2001

H.R. 1866 To Amend Title 35, United States Code, to Clarify the Basis for Granting Requests for Reexamination of Patents

H.R. 1886 To Amend Title 35, United States Code, to Provide for Appeals by Third Parties in Certain Patent Reexamination Proceedings

H.R. 2100 Twenty-First Century Distance Learning Enhancement Act

H.R. 2231 Patent Reexamination Enhancement Act of 2001

H.R. 2047/S. 1754 Patent and Trademark Office Authorization Act of 2002

S. 320 Intellectual Property and High Technology Technical Amendments Act of 2001

S. 487 Technology, Education, and Copyright Harmonization Act of 2001



Continuation Sheet for Item 18 of
Lobbying Report Addendum for Issue Area CPT

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>N</u>
..... Adrienne G. Rhone		
..... Susan M. Siemietkowski		
..... Timothy J. Sheehy		
..... Gregory W. Waddell		
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Registrant Name IBM Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

H.R. 3338 Department of Defense Appropriations Act, 2002
Science and Technology Funding

Navy Enterprise Resource Planning Funding

S. 1416 Defense and Military Construction Authorization Bill, FY2002

Procurement Management and Administration

H.R. 2586 National Defense Authorization Act for FY2002

DOD Contracting Reform Amendment

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Patrick A. Hunnicutt	
Edgar H. McCulloch III	
Edmund F. Perry	
Brian J. Raymond	
Paul R. Redifer	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____ See Page 48



Continuation Sheet for Item 18 of
Lobbying Report Addendum for Issue Area DEF

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>N</u>
..... Adrienne G. Rhone
..... Susan M. Siemietkowski
..... William H. Warner
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Registrant Name IBM Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (one per page)

16. Specific lobbying issues

H.R. 1 No Child Left Behind Act of 2001

S. 1 An Original Bill to Extend Programs and Activities Under the Elementary and Secondary Education Act of 1965

Education Reform

Educational Standards

Workforce Development

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives

Senate

Executive Office of the President

Domestic Policy Council

National Economic Council

Office of Science and Technology Policy

Department of Education

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Patrick A. Hunnicutt	
Kathleen N. Kingscott	
Edgar H. McCulloch III	
Edmund F. Perry	
Brian J. Raymond	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____ See Page 48



Continuation Sheet for Item 18 of
Lobbying Report Addendum for Issue Area EDU

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>N</u>
..... Paul R. Redifer
..... Adrienne G. Rhone
..... Susan M. Siemietkowski
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Registrant Name IBM Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cot information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific lobbying issues

- Electricity Restructuring
 - Energy Efficiency Programs for Office Equipment (Energy Star)
 - Energy Efficient Standby Power Devices
 - Low Frequency Emission Standards - European Union
 - H.R. 4 SAFE Act of 2001
 - H.R. 2587 Energy Advancement and Conservation Act of 2001
- (See Attached Continuation Sheet)

17. House(s) of Congress and Federal agencies contacted Check if None

- House of Representatives
- Senate
- Executive Office of the President
- Office of the United States Trade Representative
- Department of Energy
- Office of International Energy Policy
- Federal Energy Regulatory Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Edward A. Gillespie	
Patrick A. Hunnicutt	
Kathleen N. Kingscott	
Edmund F. Perry	
Brian J. Raymond	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____ See Page 48



Continuation Sheet for Item 16 of
Lobbying Report Addendum for Issue Area ENG

16. Specific lobbying issues

- S. 388 National Energy Security Act of 2001
- S. 389 National Energy Security Act of 2001
- S. 1766 Energy Policy Act of 2002
- Executive Order 13221



Continuation Sheet for Item 18 of
Lobbying Report Addendum for Issue Area ENG

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>N</u>
..... Paul R. Redifer
..... Adrienne G. Rhone
..... Susan M. Siemietkowski
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Registrant Name IBM Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code ENV (one per page)

16. Specific lobbying issues
Environmental Protection Agency Programs
Energy Star Program
Electronic Product Regulation
Recycling

17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate
Environmental Protection Agency

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Edward A. Gillespie	
Patrick A. Hunnicutt	
Kathleen N. Kingscott	
Edmund F. Perry	
Brian J. Raymond	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____ See Page 48



Continuation Sheet for Item 18 of
Lobbying Report Addendum for Issue Area ENV

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u> <u>N</u>
Paul R. Redifer	
Adrienne G. Rhone	
Susan M. Siemietkowski	



Registrant Name IBM Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code GOV (one per page)

16. Specific lobbying issues

H.R. 2458/S. 803 E-Government Act of 2001

European Standards for Electronics

H.R. 721/S. 1152 Truthfulness, Responsibility and Accountability in Contracting Act

H.R. 2227 To Amend Title 10, United States Code, to Give Certain Rights to Department of Defense Employees with Respect to Actions or Determinations Under Office of Management & Budget

Circular A-76

Accessibility - Section 508 Requirements

17. House(s) of Congress and Federal agencies contacted

Check if None

House of Representatives

Senate

Executive Office of the President

Office of the United States Trade Representative

Office of Management and Budget

Office of Federal Procurement Policy

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Edward A. Gillespie	
Patrick A. Hunnicutt	
Kathleen N. Kingscott	
Edgar H. McCulloch III	
Edmund F. Perry	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____ Date _____

Printed Name and Title _____ See Page 48



**Continuation Sheet for Item 18 of
Lobbying Report Addendum for Issue Area GOV**

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>N</u>
..... Brian J. Raymond
..... Paul R. Redifer
..... Adrienne G. Rhone
..... Susan M. Siemietkowski
..... William H. Warner
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Registrant Name IBM Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Health Care Reform Legislation

S. 1052 Bipartisan Patient Protection Act

S. 889 Bipartisan Patients' Bill of Rights Act of 2001

H.R. 526 Bipartisan Patient Protection Act of 2001

Life Sciences Policy

H.R. 602/S. 318 Genetic NonDiscrimination in Health Insurance and Employment Act

(See Attached Continuation Sheet)

17. House(s) of Congress and Federal agencies contacted

House of Representatives

Senate

Executive Office of the President

Office of Homeland Security

Council of Economic Advisors

Domestic Policy Council

Office of Management and Budget

Check if None

Department of Health and Human Services

National Institute of Health

Centers for Medicare and Medicaid

(See Attached Continuation Sheet)

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Geri J. Colombaro	
Patrick A. Hunnicutt	
Kathleen N. Kingscott	
Edgar H. McCulloch III	
Edmund F. Perry	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____ See Page 48



Continuation Sheet for Item 16 of
Lobbying Report Addendum for Issue Area HCR

16. Specific lobbying issues

Life Sciences Policy (Cont'd)

H.R. 3448/S. 1765 Bioterrorism Preparedness Act of 2001

H.R. 3061/S. 1536 Department of Health and Human Services Appropriations Act, 2002
Funding to Augment for Centers for Disease Control Biosurveillance Programs



**Continuation Sheet for Item 17 of
Lobbying Report Addendum for Issue Area HCR**

17. House(s) of Congress and Federal agencies contacted Check if None

Department of Health and Human Services (Cont'd)
Centers for Disease Control
Agency for Health Care Quality and Research



Continuation Sheet for Item 18 of
Lobbying Report Addendum for Issue Area HCR

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>N</u>
Brian J. Raymond		
Paul R. Redifer		
Adrienne G. Rhone		
Susan M. Siemietkowski		



Registrant Name IBM Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific lobbying issues

S. 684/H.R. 1362 Fair Pay Act of 2001
H.R. 781/S. 77 Paycheck Fairness Act
H.R. 2070 The Sales Incentive Compensation Act
Ergonomics Rulemaking
OSHA - Ergonomics Standard

17. House(s) of Congress and Federal agencies contacted

Check if None

House of Representatives
Senate
Department of Labor
Occupational Safety and Health Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Geri J. Colombaro	
Edward A. Gillespie	
Patrick A. Hunnicutt	
Kathleen N. Kingscott	
Edgar H. McCulloch III	
Edmund F. Perry	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____ Date _____

Printed Name and Title _____ See Page 48



Continuation Sheet for Item 18 of
Lobbying Report Addendum for Issue Area LBR

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>N</u>
..... Brian J. Raymond
..... Paul R. Redifer
..... Adrienne G. Rhone
..... Susan M. Siemietkowski
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Registrant Name IBM Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cod information as requested. Attach additional page(s) as needed.**

15. General issue area code MMM (one per page)

16. Specific lobbying issues

H.R. 3292/S. 824 Medication Errors Reduction Act of 2001
S. 1536/H.R. 3061 Department of Health and Human Services Appropriations Act, 2002
Funding for Medication Errors Reduction

- 17. House(s) of Congress and Federal agencies contacted
 - House of Representatives
 - Senate
 - Executive Office of the President
 - Office of Management and Budget
 - Council of Economic Advisors
 - Domestic Policy Council

Check if None
 Department of Health and Human Se
 Agency for Healthcare Research an
 The Centers for Medicare and Medi
 Health Resources and Services Adr

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Patrick A. Hunnicutt	
Kathleen N. Kingscott	
Edgar H. McCulloch III	
Edmund F. Perry	
Brian J. Raymond	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____ See Page 48



Continuation Sheet for Item 18 of
Lobbying Report Addendum for Issue Area MMM

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>N</u>
..... Paul R. Redifer
..... Adrienne G. Rhone
..... Susan M. Siemietkowski
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Registrant Name IBM Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code RRR (one per page)

16. Specific lobbying issues

Amtrak

H.R. 2299/S. 1178 Department of Transportation and Related Agencies Appropriations for Fiscal Year 2002

S. 250/H.R. 2329 High-Speed Rail Investment Act of 2001

17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Patrick A. Hunnicutt	
Edmund F. Perry	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
Susan M. Siemietkowski	
William H. Warner	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____ See Page 48



Registrant Name IBM Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific lobbying issues

H.R. 10 Comprehensive Retirement Security and Pension Reform Act of 2001
Raise 401(k) Limits

17. House(s) of Congress and Federal agencies contacted

Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Geri J. Colombaro	
Patrick A. Hunnicutt	
Kathleen N. Kingscott	
Edmund F. Perry	
Brian J. Raymond	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____ Date _____

Printed Name and Title _____ See Page 48



Continuation Sheet for Item 18 of
Lobbying Report Addendum for Issue Area RET

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>N</u>
Paul R. Redifer		
Adrienne G. Rhone		
Susan M. Siemietkowski		



Registrant Name IBM Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page)

16. Specific lobbying issues

Government/Private Sector R&D Partnerships

H.R. 2311/S. 1171 Energy and Water Development Appropriations Act, 2002

Accelerated Strategic Computer Initiative (ASCI)

Physical Science Research

(See Attached Continuation Sheet)

17. House(s) of Congress and Federal agencies contacted

House of Representatives

Senate

Executive Office of the President

Office of Science and Technology Policy

Office of the Vice President

Office of Management & Budget

Check if None

Department of Commerce

Department of Energy

National Science Foundation

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Patrick A. Hunnicutt	
Kathleen N. Kingscott	
Edmund F. Perry	
Edgar H. McCulloch III	
Brian J. Raymond	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____ Date _____

Printed Name and Title _____ See Page 48



**Continuation Sheet for Item 16 of
Lobbying Report Addendum for Issue Area SCI**

16. Specific lobbying issues

H.R. 2500/S. 1215 Departments of Commerce, Justice, and State Appropriations Act for Fis
2002

Advanced Technology Program

H.R. 2620/S. 1216 Veterans Affairs and Housing and Urban Development Appropriations
Act, 2002

National Science Foundation Funding

Life Sciences Research Funding



Continuation Sheet for Item 18 of
Lobbying Report Addendum for Issue Area SCI

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>N</u>
..... Paul R. Redifer
..... Adrienne G. Rhone
..... Susan M. Siemietkowski
..... William H. Warner
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Registrant Name IBM Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cot** information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

- S. 801/H.R. 1600 Repeal Limitation on Use of Foreign Tax Credits Under the Corporate Alternative Minimum Tax
- H.R. 2520 Abusive Tax Shelter Shutdown Act of 2001
- Revision of Internal Revenue Code Subpart F on Deferral of Taxation
- Negotiation and Ratification of Bilateral Income Tax Treaties
 - U.S. - Japan Tax Treaty
 - U.S. - Australia Tax Treaty
 - U.S. - U.K. Tax Treaty

(See Attached Continuation Sheet)

17. House(s) of Congress and Federal agencies contacted
- House of Representatives
 - Senate
 - Executive Office of the President
 - Office of the United States Trade Representative
 - Department of Treasury
 - Office of Tax Policy
 - Internal Revenue Service

- Check if None
- Department of Commerce
 - International Trade Administration
 - Department of State

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Linda C. Evans	
Patrick A. Hunnicutt	
Edmund F. Perry	
Brian J. Raymond	
Paul R. Redifer	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____ See Page 48



Continuation Sheet for Item 16 of
Lobbying Report Addendum for Issue Area TAX

16. Specific lobbying issues

Treasury Rules on Hybrid Branches

Treasury "Check-in-the-Box" Regulations

Treasury R&D Tax Credit Proposed Regulations

Taxation of Software Rules (Section 18) Regulations

Taxation of Internet Transactions and Software

Fundamental Tax Reform

Internet Taxation

European Union Proposal for Taxation of Electronic Commerce

H.R. 1836 Economic Growth and Tax Relief Act of 2001

Active Financing Exception to Subpart F

H.R. 41/S.1 To Amend the Internal Revenue Code of 1986 to Permanently Extend the
Research Credit and to Increase the Rates of the Alternative Incremental Credit

Research and Development Credit Regulation

H.R. 2695 To Amend the Internal Revenue Code of 1986 to Clarify the Treatment of Incent
Stock Options and Employee Stock Purchase Plans

Stock Options and Payroll Taxes

IRS Notice 2001-72

IRS Notice 2001-73

IRS Notice 2001-14

H.R. 3529 Economic Security and Worker Assistance Act of 2001



Continuation Sheet for Item 18 of
Lobbying Report Addendum for Issue Area TAX

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>N</u>
..... Adrienne G. Rhone
..... Timothy J. Sheehy
..... Susan M. Siemietkowski
..... Gregory W. Waddell
.....
.....
.....
.....
.....



Registrant Name IBM Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code TEC (one per page)

16. Specific lobbying issues

- International Telecommunications
- WTO Basic Telecommunications Agreement Implementation
- Asia Pacific Economic Cooperation (APEC) Telecommunications Policies
- Regulatory Treatment of Internet Service Providers
- International Charging Arrangements for Internet Services
- Electronic Commerce Trade Policy

(See Attached Continuation Sheet)

17. House(s) of Congress and Federal agencies contacted

Check if None

- House of Representatives
- Senate
- Executive Office of the President
- Office of the United States Trade Representative
- Department of Commerce
- Technology Administration
- National Telecommunications and Information Administration

(See Attached Continuation Sheet)

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Aaron W. Cross	
Patrick A. Hunnicutt	
Edmund F. Perry	
Brian J. Raymond	
Paul R. Redifer	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____ Date _____

Printed Name and Title _____ See Page 48



Continuation Sheet for Item 16 of
Lobbying Report Addendum for Issue Area TEC

16. Specific lobbying issues

Implementation of Telecommunications Act of 1996

FCC Proceedings

Deployment of Advanced Telecommunications Services

Access Charges

Universal Service

North American Numbering Plan

E-Rate

Spectrum allocation

H.R. 1542 Internet Freedom and Broadband Deployment Act of 2001

Ultra-Wideband



Continuation Sheet for Item 17 of
Lobbying Report Addendum for Issue Area TEC

17. House(s) of Congress and Federal agencies contacted Check if None

Department of State

International Communications and Information Policy (CIP)

Federal Communications Commission



**Continuation Sheet for Item 18 of
Lobbying Report Addendum for Issue Area TEC**

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>N</u>
..... Adrienne G. Rhone
..... Susan M. Siemietkowski
..... Steven W. Stewart
.....
.....
.....
.....
.....
.....



Registrant Name IBM Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

H.R. 2590 Treasury and General Government Appropriations Act for
Fiscal Year 2002 (Customs Modernization)
Trade Financing
Customs Classification
Information Technology Agreement Negotiations
Emerging Markets

(See Attached Continuation Sheet)

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate
Executive Office of the President
Office of the United States Trade Representative
National Security Council
National Economic Council

(See Attached Continuation Sheet)

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Aaron W. Cross	
Patrick A. Hunnicutt	
Edmund F. Perry	
Brian J. Raymond	
Paul R. Redifer	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None
See Addendum

Signature _____ Date _____

Printed Name and Title _____ See Page 48



Continuation Sheet for Item 16 of
Lobbying Report Addendum for Issue Area TRD

16. Specific Lobbying Issues

Electronic Commerce Trade Policy
S.Con.Res. 37/H.Con.Res. 132 To Stress Importance of Promoting E-Commerce
WTO Work Program on E-Commerce
WTO Negotiations Objectives Regarding E-Commerce
WTO Trade Classification
Asia Pacific Economic Cooperation
Ministerial and Heads of State Meetings
Free Trade Area of the Americas
Agenda and Issue Development
GATT Uruguay Round Implementation
GATT Government Procurement Code
Membership Expansion
Adherence to the GATT Government Procurement Code
Trade Agreements Act
North America Free Trade Agreement (NAFTA)
Implementation and Preservation
U.S.-Vietnam Bilateral Trade Agreement
U.S.-Jordan Free Trade Agreement
U.S.-Singapore Free Trade Agreement
U.S.-Chile Free Trade Agreement
U.S.-Australia Free Trade Agreement
U.S.-New Zealand Free Trade Agreement
Export Controls Legislation and Regulations
Deemed Export Regulations
S. 149 Export Administration Act of 2001
H.R. 2568 Export Administration Act of 2001
H.R. 1553 To Repeal Export Controls on High Performance Computers
WTO-GATS-Services 2000
WTO-New Round
OECD Guidelines for Multinational Enterprises
Taiwan's Accession to the WTO
China's Accession to the WTO
H.J. Res. 50 Disapproving Extension of Nondiscriminatory Treatment
(Normal Trade Relations Treatment) to the Products of the People's Republic of China
H.R. 1467 To Withdraw Nondiscriminatory Treatment (Normal Trade Relations) from the
People's Republic of China
H.R. 1497 To Revoke the Authority to Extend Permanent Normal Trade Relations to the
People's Republic of China
H.Con.Res. 73/S.Con.Res. 27 Opposing Beijing's Bid to Host 2008 Olympic Games



**Continuation Sheet for Item 16 of
Lobbying Report Addendum for Issue Area TRD**

16. Specific lobbying issues (Cont'd)

H.R. 2149/S. 1104 Trade Promotion Authority Act of 2001

H.R. 3005 Bipartisan Trade Promotion Authority Act of 2001

S. 599 Permanent Trade Promotion Authority and Market Access Act of 2001

H.R. 1446 Standard Trade Negotiating Authority Act of 2001

S. 136 Fast Track Trade Negotiating Authority Act

H.J.Res.55 Disapproving the Extension of Waiver Authority Contained in Section 402(c) of Trade Act of 1974 with Respect to Vietnam

S. 643/H.R. 1484 United States-Jordan Free Trade Area Implementation Act

S. 935 United States-Commonwealth of Australia Free Trade Agreement Act of 2001

S. 943 United States-New Zealand Free Trade Agreement Act of 2001

S. 944 United States-Republic of Korea Free Trade Agreement Act of 2001



Continuation Sheet for Item 17 of
Lobbying Report Addendum for Issue Area TRD

17. House(s) of Congress and Federal agencies contacted Check if None

National Security Agency
Central Intelligence Agency
Department of Commerce
 Bureau of Export Administration
 International Trade Administration
Department of Defense
 Policy
Department of State
 Bureau of Western Hemisphere Affairs
 Bureau of Economic and Business Affairs
 Bureau of Political-Military Affairs
 Bureau of East-Asian and Pacific Affairs
 Bureau of European and Canadian Affairs
 Bureau of Inter-American Affairs
 Office of Under Secretary for Arms Control and International Affairs
Department of the Treasury
 International Affairs
 United States Customs Service
 Internal Revenue Service



Continuation Sheet for Item 18 of
Lobbying Report Addendum for Issue Area TRD

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>N</u>
..... Adrienne G. Rhone
..... Susan M. Siemietkowski
..... Steven W. Stewart
..... Susan C. Tuttle
..... William H. Warner
..... Paul Forlenza X
.....
.....
.....



Lobbying Report Addendum for Item 19
Issue Areas TAX and TRD

19. For registrants identifying foreign entities in the Lobbying Registration (Form LD-1, line 14) or any updates: Int of each such foreign entity in the specific lobbying issues listed on line 16 above

Regarding Lines 14(a) and 14(b) of Form LD-1: None. Regarding Line 14(c) of Form LD-1, the term "direct interest" is not defined in the statute and its meaning remains ambiguous. IBM believes that its foreign subsidiaries have only an indirect and derivative interest in the lobbying activities covered by this Addendum. IBM's foreign subsidiaries do not have the predominant interest in the matters for which IBM has engaged in lobbying activities since the parent company initiates, directs, finances and controls such activities and does not act merely as an agent for one or more of its subsidiaries. However, because the interpretation of "direct interest" remains uncertain we include a list of the major worldwide non-U.S. subsidiaries of the company. Depending on the meaning finally given to the statutory terms, one or more of these subsidiaries might be viewed as having a direct interest in one or more of the activities covered by this Addendum.

IBM World Trade Subsidiaries

IBM Argentina Sociedad Anonima	IBM Korea, Inc.
IBM Australia Limited	IBM de Mexico, S.A.
IBM Oesterreich Internationale Bueromaschinen Gesellschaft m.b.H.	IBM Nederland N.V.
International Business Machines of Belgium S.A.	IBM New Zealand Limited
IBM de Bolivia, S.A.	International Business Machines A/S (Norway)
IBM Brasil-Industria, Maquinas e Servicos Limitada	IBM del Peru, S.A.
IBM Bulgaria Ltd.	IBM Philippines, Inc.
IBM Canada Limited - IBM Canada Limitee	IBM Polska Sp.z.o.o.
IBM de Chile, S.A.C.	Companhia IBM Portuguesa, S.A.
IBM China Company Ltd.	IBM Romania Srl
IBM de Colombia, S.A.	IBM East Europe/Asia Ltd.
IBM Croatia Ltd.	IBM Singapore Pte. Ltd.
IBM Ceska Republika spol. s.r.o. (Czech Republic)	IBM Slovensko spol.s.r.o. (Slovak Republic)
IBM Danmark A/S	IBM Slovenija d.o.o. (Slovenia)
IBM Middle East FZE	IBM South Africa (Pty) Ltd.
IBM del Ecuador, C.A.	International Business Machines, S.A. (Spain)
Oy International Business Machines AB (Finland)	IBM Svenska Aktiebolag
Compagnie IBM France, S.A.	IBM(Schweiz)-IBM (Suisse)-IBM(Svizzera)- IBM (Switzerland)
IBM Deutschland GmbH	IBM Taiwan Corporation
IBM Hellas Information Handling Systems S.A. (Greece)	IBM Thailand Co. Ltd.
International Business Machines Corporation Magyarorszagi Kft (Hungary)	IBM (International Business Machines) Turk Limi Sirketi
IBM Ireland Limited	IBM United Kingdom Holdings Limited
IBM Israel Limited	IBM del Uruguay, S.A.
IBM SEMEA S.A. (Italy)	IBM de Venezuela, S.A.
IBM Japan, Ltd.	



Registrant Name IBM

Client Name Self

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

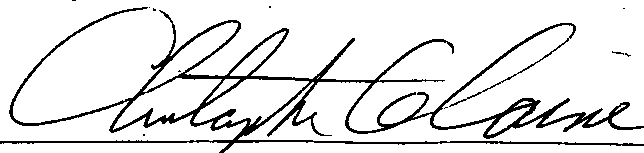
FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant or affiliated organization

Signature



Date

2/13/02

