Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

Secretary of the Senate Received: Feb 13, 2008

# LOBBYING REPORT

LOBBYING REPORT  Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page
1. Registrant Name:
HSBC GR-CORP
2. Address: 1401 EYE STREET, NW, #520, WASHINGTON, DC 20005
3. Principal place of business (if different from line 2):
4. Contact Name: J. DENIS O'TOOLE Telephone: 2024663561 E-mail (optional): j.denis.otoole@us.hsbc.com
Senate ID #: 18694-12 House ID #:
7. Client Name: Self
HSBC NORTH AMERICA
TYPE OF REPORT
8. Year <u>2007</u> Midyear (January 1 - June 30); 🔲 <b>OR</b> Year End (July 1 - December 31); 🔀
9. Check if this filing amends a previously filed version of this report:
10. Check if this is a Termination Report: => Termination Date: 11. No Lobbying Activity:
INCOME OR EXPENSES
Complete Either Line 12 <b>OR</b> Line 13
12. Lobbying Firms
INCOME relating to lobbying activities for this reporting period was:
Less than \$10,000:
\$10,000 or more: => Income (nearest \$20,000):
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).
13. Organizations
EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000:
\$10,000 or more: X => Expenses (nearest \$20,000): 1,320,000.00
14 Reporting Method

Check box to indicate expense accounting method. See instructions for description of options.

Method A. Reporting amounts using LDA definitions only
Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

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### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed

- 15. General issue area code: BAN (one per page)
- 16. Specific lobbying issues:

HR 41 Business Checking Fairness Act of 2007 HR 946 Overdraft Protection Fair Practices Act HR 1066 Depository Institution Community Development Investments Enhancements Act HR 5 College Student Relief Act of 2007

17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: FRAZIER, MONIQUE
Covered Official Position (if applicable): N/A
Name: O'TOOLE J. DENNIS
Covered Official Position (if applicable): N/A
Name: ROSENKEOTTER, THOMAS
Covered Official Position (if applicable): N/A
Name: ST. AMAND, JANET G.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. As the parent of HSBC-North America, HSBC Holding, plc, has interests in the issues lobbied.

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Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed

- 15. General issue area code: BNK (one per page)
- 16. Specific lobbying issues:

HR 3609 Emergency Home Ownership and Mortgage Equity Protection Act of 2007 S 2136 Helping Families Save Their Homes in Bankruptcy Act of 2007

17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: FRAZIER, MONIQUE
Covered Official Position (if applicable): N/A
Name: O'TOOLE J. DENNIS
Covered Official Position (if applicable): N/A
Name: ROSENKOETTER, TOM
Covered Official Position (if applicable): N/A
Name: ST. AMAND, JANET G.
Covered Official Position (if applicable): N/A

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- 15. General issue area code: CON (one per page)
- 16. Specific lobbying issues:
- S. 1782 the Arbitration Fairness Act of 2007 HR 3010 the Arbitration Fairness Act of 2007 HR 3013 the Attorney-Client Privilege Protection Act of 2007
- 17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE
- 18. Name of each individual who acted as a lobbyist in this issue area:

Name: FRAZIER, MONIQUE
Covered Official Position (if applicable): N/A
Name: O'TOOLE J. DENNIS
Covered Official Position (if applicable): N/A
Name: ROSENKOETTER, TOM
Covered Official Position (if applicable): N/A
Name: ST. AMAND, JANET G.
Covered Official Position (if applicable): N/A

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- 15. General issue area code: CPT (one per page)
- 16. Specific lobbying issues:
- HR 1908 Patent Reform Act S 1145 Patent Reform Act of 2007
- 17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE
- 18. Name of each individual who acted as a lobbyist in this issue area:

Name: FRAZIER, MONIQUE
Covered Official Position (if applicable): N/A
Name: O'TOOLE J. DENNIS
Covered Official Position (if applicable): N/A
Name: ROSEKOETTER, THOMAS
Covered Official Position (if applicable): N/A
Name: ST. AMAND, JANET G.
Covered Official Position (if applicable): N/A

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- 15. General issue area code: CSP (one per page)
- 16. Specific lobbying issues:

HR 1314 REAL ID Act HR 958 Data Accountability and Trust Act HR 948 Social Security Number Protection Act S 495 Personal Privacy and Security Act of 2007

17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: FRAZIER, MONIQUE
Covered Official Position (if applicable): N/A
Name: O'TOOLE J. DENNIS
Covered Official Position (if applicable): N/A
Name: ROSENKOETTER, TOM
Covered Official Position (if applicable): N/A
Name: ST. AMAND, JANET G.
Covered Official Position (if applicable): N/A

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- 15. General issue area code: FIN (one per page)
- 16. Specific lobbying issues:
- S 2856 Financial Services Relief Act of 2007 HR 755 Transparency in Financial Reporting Act
- 17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE
- 18. Name of each individual who acted as a lobbyist in this issue area:

Name: FRAZIER, MONIQUE
Covered Official Position (if applicable): N/A
Name: O'TOOLE J. DENNIS
Covered Official Position (if applicable): N/A
Name: ROSENKOETTER, TOM
Covered Official Position (if applicable): N/A
Name: ST. AMAND, JANET G.
Covered Official Position (if applicable): N/A

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- 15. General issue area code: GOV (one per page)
- 16. Specific lobbying issues:
- HR 2317 Lobbying Transparancy Act HR 2316 Honest Leadership and Open Government Act of 2007
- 17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE
- 18. Name of each individual who acted as a lobbyist in this issue area:

Name: FRAZIER, MONIQUE
Covered Official Position (if applicable): N/A
Name: O'TOOLE J. DENNIS
Covered Official Position (if applicable): N/A
Name: ROSENKOETTER, TOM
Covered Official Position (if applicable): N/A
Name: ST. AMAND, JANET G.
Covered Official Position (if applicable): N/A

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Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

- 15. General issue area code: HOU (one per page)
- 16. Specific lobbying issues:

HR 1427 Federal Housing and Reform Act of 2007 S 1100 GSE Reform S 1299 Borrowers' Protection Act HR 1852 Expanding American Home Ownership Act of 2007 (FHA reform)

17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: FRAZIER, MONIQUE
Covered Official Position (if applicable): N/A
Name: O'TOOLE J. DENNIS
Covered Official Position (if applicable): N/A
Name: ROSENKOETTER, TOM
Covered Official Position (if applicable): N/A
Name: ST. AMAND, JANET G.
Covered Official Position (if applicable): N/A

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- 15. General issue area code: INS (one per page)
- 16. Specific lobbying issues:

S 40/HR 3200 The National Insurance Act of 2007 HR 1682/3121 Flood Insurance Reform and Modernization Act of 2007 HR 2761 Terroism Risk Insurance Revision and Extension Act HR 4299/S 2285 The Terroism Risk Insurance Program Reauthorization Act of 2007

17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Covered Official Position (if applicable): FORMER LEGISATIVE DIRECTOR FOR REP. MIKE ROSS Name: O'TOOLE J. DENNIS
Covered Official Position (if applicable): N/A
Name: ROSENKOETTER, TOM
Covered Official Position (if applicable): N/A

Name: ST. AMAND, JANET G.

Name: FRAZIER, MONIQUE

Covered Official Position (if applicable): N/A

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- 15. General issue area code: SMB (one per page)
- 16. Specific lobbying issues:

HR 2 Small Business and Work Opportunity Act of 2007 S 1256 A Bill to Amend the Small Business Act HR 1332 National 7(a) and 504 Program Endorsements HR 3866 Reauthorize the Smaill Business Administration

17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: FRAZIER, MONIQUE
Covered Official Position (if applicable): N/A
Name: O'TOOLE J. DENNIS
Covered Official Position (if applicable): N/A
Name: ROSENKOETTER, TOM
Covered Official Position (if applicable): N/A
Name: ST. AMAND, JANET G.
Covered Official Position (if applicable): N/A

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- 15. General issue area code: TAX (one per page)
- 16. Specific lobbying issues:

HR 1677 Taxpayer Protection Act of 2007 S 1726 Business Activity Tax Simplification Act of 2007 HR 3996 Temporary Tax Relief Act of 2007 HR 3648 Mortgage Forgiveness Debt Relief Act of 2007

17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: FRAZIER, MONIQUE
Covered Official Position (if applicable): N/A
Name: O'TOOLE J. DENNIS
Covered Official Position (if applicable): N/A
Name: ROSENKOETTER, TOM
Covered Official Position (if applicable): N/A
Name: ST. AMAND, JANET G.
Covered Official Position (if applicable): N/A

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- 15. General issue area code: TRD (one per page)
- 16. Specific lobbying issues:
- S 1607 Currency Exchange Rate Oversight Reform Act of 2007 S 1677 Currency Reform and Financial Markets Access Act of 2007 HR 3920 Trade Adjustment Assistance Act reauthorization
- 17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE
- 18. Name of each individual who acted as a lobbyist in this issue area:

Name: FRAZIER, MONIQUE
Covered Official Position (if applicable): N/A
Name: O'TOOLE J. DENNIS
Covered Official Position (if applicable): N/A
Name: ROSENKOETTER, TOM
Covered Official Position (if applicable): N/A
Name: ST. AMAND, JANET G.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. As parent of HSBC-North America, HSBC Holdings, plc, has interests in the issues lobbied.

Signature: ON FILE Date: Feb 13, 2008

Printed Name and Title: JANET ST. AMAND, SENIOR VICE P -

### Information Update Page:

Complete ONLY where registration information has changed.

### LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

### ISSUE UPDATE

24. General lobbying issues previously reported that NO LONGER pertain

## AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

#### FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Feb 13, 2008

Printed Name and Title: -