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### LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers are Required to Complete This Page **02 FEB 12**

1. Registrant Name <u>Capitol Associates, Inc.</u>			
2. Address <input type="checkbox"/> Check if different than previously reported <u>426 C Street, NE, Washington, DC 20002</u>			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name <u>Debra M. Hardy Havens</u>		Telephone <u>(202) 544-1880</u>	E-mail (optional) <u>dh@capitolassociates.com</u>
5. Senate ID # <u>8101-556</u>			
7. Client Name <input type="checkbox"/> Self <u>University of Pennsylvania School of Dental Medicine</u>			6. House ID # <u>3081 3031</u>

**TYPE OF REPORT** 8. Year 2001 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date \_\_\_\_\_ 11. No Lobbying Activity ☐

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
<b>INCOME</b> relating to lobbying activities for this reporting period was:	<b>EXPENSES</b> relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>60,000</u> Income (nearest \$20,000)	\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>14. REPORTING METHOD.</b> Check box to indicate accounting method: See Instructions for description of methods.
	<input type="checkbox"/> Method A. Reporting amounts using LDA definition
	<input type="checkbox"/> Method B. Reporting amounts under section 60 of the Internal Revenue Code
	<input type="checkbox"/> Method C. Reporting amounts under section 16 of the Internal Revenue Code

Signature

*Debra M. Hardy Havens*

Printed Name and Title Debra M. Hardy Havens, CEO



**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each c information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

H.R.3061, S.1536 - Departments of Labor, Health and Human Services and Education and Related Agenc Appropriations Act, 2002. Title II - funding for the National Institutes of Health.

HJ Res 65 - Continuing Appropriations for FY2002

HJ Res 68 - Continuing Appropriations for FY2002

HJ Res 69- Continuing Appropriations for FY2002

HJ Res 70 - Continuing Appropriations for FY2002

HJ Res 74 - Continuing Appropriations for FY2002

HJ Res 76 - Continuing Appropriations for FY2002

HJ Res 78 - Continuing Appropriations for FY2002

HJ Res 79 - Continuing Appropriations for FY2002

H.R.2216 - Supplemental Appropriations Act, 2001

H.R.2888 - 2001 Emergency Supplemental Appropriations Act for Recovery from and Response to Terror on the United States.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House  
Senate  
DHHS

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Edward Long	
Ronnie Tepp	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature



**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** provide information as requested. Attach additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues

H.Con.Res.17, Expressing the sense of the Congress supporting Federal funding of pluripotent stem cell research  
H.R.1260 - Ban on Human Cloning Act

H.R.1352 - Human Cloning Research Prohibition Act

S.732, H.R.2059, Stem Cell Research Act of 2001

H.R.2096, Responsible Stem Cell Research Act of 2001

S.790, H.R.1644, Human Cloning Prohibition Act of 2001

H.R.2172, Cloning Prohibition Act of 2001

H.R.2505 - Human Cloning Prohibition Act

H.R.2608 - Cloning Prohibition Act

H.R.2747 - Stem Cell Research for Patient Benefit Act of 2001

H.R.3495 - Human Cloning Prevention Act of 2001

S.704 - Human Cloning Prohibition Act

S.1349 - Responsible Stem Cell Research Act of 2001

S.1758 - Human Cloning Prohibition Act of 2001

Tracked and reported on Congressional hearings, White House, and Department of Health and Human Services activities regarding stem cell research

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House

Senate

DHHS

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ronnie Tepp	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature \_\_\_\_\_



**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

HJ Res38 - Disapproving the rule submitted by the Department of Health and Human Services on December 1, 1996, relating to standards for privacy of individually identifiable health information.

H.R.526, H.R.2563, S.283, S.872, S.1052 - Bipartisan Patient Protection Act of 2001

S.889 - Bipartisan Patients Bill of Rights Act of 2001

H.R.602, S.318 - Genetic Nondiscrimination in Health Insurance and Employment Act

H.R.1215 - Medical Information Protection and Research Enhancement Act of 2001

H.R.1975 - To modify the deadline for initial compliance with the standards and implementation specifications promulgated under section 1173 of the Social Security Act, and for other purposes.

S.1588, S.1684 - A bill to provide a one year extension of the date for compliance by certain covered entities with administrative simplification standards for electronic transactions and code sets issued in accordance with the Health Insurance Portability and Accountability Act of 1996.

Tracked and monitored clinical research subject protection initiatives of the Department of Health and Human Services, White House, and the National Bioethics Advisory Commission, including financial conflicts of interest and changes to the Declaration of Helsinki, research involving children, and research conduct.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House DHHS  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ronnie Tepp	
Andrew Brecher	
Debra Hardy Havens	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature \_\_\_\_\_





Registrant Name Capitol Associates, Inc. Client Name University of Pennsylvania School of Dent

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

S.10 - Medicare Prescription Drug Coverage Act of 2001

S.257 - Medicare Preservation and Improvement Act of 2001

S.358 - Medicare Prescription Drug and Modernization Act of 2001

S.836- A bill to amend Part C of title XI of the Social Security Act to provide for coordination of implementation of administrative simplification standards for health care information.

S.1135 - Medicare Reform Act of 2001

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House

Senate

DHHS

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Edward Long	
Ronnie Tepp	
Debra Hardy Havens	
Andrew Brecher	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature \_\_\_\_\_

Printed Name and Title Debra M. Hardy Havens, CEO



**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

Matthew Williams, Tricia Brooks

**ISSUE UPDATE**

24. General lobbying issues previously reported that no longer pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Owner: percent client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant or affiliated organization

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title

Debra M. Hardy Havens, CEO



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## LOBBYING REGISTRATION ADDENDUM

### Lobbying Disclosure Act of 1995 (Section 5)

Registrant Name Capitol Associates, Inc.

Client Name University of Pennsylvania School of Dental Medicine

Line #	Information to be included
16 (p.4)	<p>HCR (continued):</p> <p>H.R.3153, S.1520 - State Bioterrorism Preparedness Act</p> <p>H.R.3174 - Food Supply Protection Act</p> <p>H.R.3184, S.1551 - Protecting the Food Supply from Bioterrorism Act</p> <p>H.R.3242, S.1486 - Biological and Chemical Weapons Preparedness Act of 2001</p> <p>H.R.3255 - Bioterrorism Protection Act of 2001</p> <p>H.R.3310, S.1715 - Bioterrorism Preparedness Act of 2001</p> <p>H.R.3448 - Public Health Security and Bioterrorism Response Act of 2001</p> <p>S.1546 - A bill to provide additional funding to combat bioterrorism</p> <p>S.1747 - A bill to provide funding to improve the security of the American people by protecting against threat of bioterrorism</p>

Addendum

