

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE
07 FEB 23 AM
LOBBYING REP

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name Organization Individual

AMERICAN BANKERS ASSN SECURITIES (ABASA)

2. Address Check if different than previously reported

Address1 1120 CONNECTICUT AVENUE, NW

Address2

City WASHINGTON

State DC

Zip Code 20036

Cou

3. Principal place of business (if different than line 2)

City

State

Zip Code

Cou

4a. Contact Name

b. Telephone Number

c. E-mail

Ms. SARAH A. MILLER

International Number

(202) 663-5325

smiller@aba.com

5. Ser

44-1

7. Client Name Self

AMERICAN BANKERS ASSN SECURITIES (ABASA)

6. Ho

304

TYPE OF REPORT

8. Year 2006

Midyear (January 1-June 30)

Year End (July 1-Dec

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report Termination Date

11. No Lobbying Act

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000

\$10,000 or more

\$

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSE relating to lobbying activities for this were:

Less than \$10,000

\$10,000 or more

\$ 40,000.00

14. REPORTING

Check box to indicate accounting method. See instructions for descriptive

Method A. Reporting amounts using LDA definition

Method B. Reporting amounts under section 6033 Internal Revenue Code

Method C. Reporting amounts under section 162(e) Internal Revenue Code

Signature

Sarah A. Miller

Date

2

0000032912

Printed Name and Title

Sarah M. Miller, General Counsel

v5.0.0j

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code (one per page)

16. Specific lobbying issues

Implementing regulations for Public Law no. 106-102: Gramm-Leach-Bliley Financial Modernization Act of 1999 - Title A - Brokers and Dealers

Implementing regulations for Public Law no. 107-56: USA Patriot Act of 2001, Title III - Money Laundering Abatement

17. House(s) of Congress and Federal agencies Check if None House Senate

Department of Treasury, Federal Reserve Board, Office of the Comptroller of Currency, Federal Deposit Insurance Corp, Office of Thrift Supervision, National Association of Securities Dealers, Municipal Securities Rulemaking Board, Securities Exchange Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
Beth L.	Climo		
J. Kevin A.	McKechnie		
Sarah A.	Miller		

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

[Empty box for foreign entity interest]

0000032913

Printed Name and Title

Jason H. Miller, General Counsel

v5.0.0j

S. 1037, Mutual Fund Transparency Act of 2005, all provisions

S. 1566, CEA Reauthorization Act of 2006

Pub. Law No. 109-40, Military Personnel Financial Services Protection Act, all provisions

H.R. 1077, Realtime Investor Protection Act, all provisions

Pub. Law No. 109-390, Financial Netting Improvements Act of 2006, all provisions

H.R. 4473, CFTC Reauthorization Act of 2005

H.R. 4618, Compliance, Examinations, and Inspections Restructuring Act of 2005, all provisions

H.R. 5712, Securities and Exchange Commission Authority Restoration Act of 2006

Pub. Law No. 109-351, The Financial Services Regulatory Relief Act of 2005, section 101

300003291A

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Printed Name and Title

Sarah H. Miller, General Co

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An affiliate of the
AMERICAN BANKERS ASSOCIATION

1120 Connecticut Ave
Washington, DC 200

SECRETARY OF THE SENATE
202-663-5277
Fax: 202-828-4546
www.aba.com
07 FEB 23 AM 10:21

Sarah A. Miller
General Counsel
Smiller@aba.com

February 14, 2007

The Honorable Emily J. Reynolds
Secretary of the Senate
Office of Public Records
232 Hart Senate Office Building
Washington, D.C. 20510

RE: LD-2 for American Bankers Association Securities Association Senate
I.D. #44-12

Dear Ms. Reynolds:

Pursuant to the Lobbying Disclosure Act of 1995, I enclose the American Bankers Association Securities Association's LD-2, Lobbying Report, for the second half of 2006.

Sincerely,

Sarah A. Miller

Enclosure

cc: Dawn Causey
Kevin McKechnie

1000032915

