Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

SECRETARY OF THE SENA

02 FEB 14 PM 12: 3

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

| 1. Registrant Name | |
|--|--|
| Cummins Inc f/k/a Cummins Engine Company, Inc. | |
| 2. Address | |
| 601 Pennsylvania Avenue, NW, North Building, Suite 625, Washing | ton, DC 20004 |
| 3. Principal Place of Business (if different from line 2) | |
| City: State | e/Zip (or Country) |
| 4. Contact Name Telephone | E-mail (optional) 5. Senate ID# |
| Steve May (202) 393-8585 | steve.may@cummins.com 11470-12 |
| 7. Client Name Self | 6. House ID# |
| | 11470-12 |
| TYPE OF REPORT 8. Year 2001 Midyear (Janu 9. Check if this filing amends a previously filed version of this report 10. Check if this is a Termination Report ☐ ⇒ Termination Date | |
| INCOME OR EXPENSES - Complete Either Lin | ne 12 OR Line 13 |
| 12. Lobbying Firms | 13. Organization |
| INCOME relating to lobbying activities for this reporting period was: | EXPENSES relating to lobbying activities for this repor were: |
| Less than \$10,000 | Less than \$10,000 |
| \$10,000 or more | \$10,000 or more |
| Provide a good faith estimate, rounded to the nearest \$20,000, of all | 14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of o |
| lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the | Method A. Reporting amounts used LDA defin |
| client). | Method B. Reporting amounts under section 60 of the Internal Revenue Code |
| | Method C. Reporting amounts under section 16 Internal Revenue Code |
| Signature Printed Name and Title Stephen L. May - Vice President, G | Date <u>2/14/2002</u> Sovernment Relations |

| Registrant Name | Cummins Inc | _ Client Name | Self |
|---|--|------------------------------------|--|
| ongaged in innohing | FIVITY. Select as many codes on behalf of the client during the ested. Attach additional page(s) | e reporting period. | flect the general issue areas in which to Using a separate page for each co |
| 15. General issue area | code <u>TRA</u> (or | ne per page) | |
| 16. Specific lobbying | issues | | |
| Development of D Vehicles | iesel Technology for Light Duty Tr | ucks; Next Generatio | on Truck Program; Emissions Standards fo |
| | ess and Federal agencies contacted | | Check if None |
| House of Represer Office of Manageme | ntatives; Senate; Department of Ener ent and Budget; Department of Tran | rgy; Environmental P sportation | Protection Agency |
| 18. Name of each indiv | vidual who acted as a lobbyist in thi | s issue area | |
| Steve May | Name | Cov | ered Official Position (if applicable) |
| Catherine Van Way | | | |
| Tina Vujovich | | | |
| 19. Interest of each fore | ign entity in the specific issues liste | d on line 16 above | ☑ Check if None |
| Signature | HICH | | Date 2/14/2002 |

Stephen L. May - Vice President, Government Relations
Filing #d17947e0-3575-4b51-bcca-0af16828462d - Page 3 of 16

Printed Name and Title

Page 2 of

| Registrant Name | Cummins Inc | Client Name | Self |
|--------------------------|--|--------------------------|--|
| engaged in lobbying | FIVITY. Select as many code on behalf of the client during ested. Attach additional page(s | the reporting period. | lect the general issue areas in which t Using a separate page for each cod |
| 15. General issue area | a code <u>BUD</u> (| one per page) | |
| 16. Specific lobbying | | | |
| Act of 2001. | appropriations for 2002, Energy e | officiency and emissions | s R&D programs; S.629, Economic Stimu |
| 17. House(s) of congr | ess and Federal agencies contacte | d | Check if None |
| U.S. House of Rep | presentatives; U.S. Senate; Depart | ment of Energy; Office | of Management and Budget; |
| 18. Name of each indi | ividual who acted as a lobbyist in | this issue area | |
| | Name | Cov | rered Official Position (if applicable) |
| Steve May | | | |
| Catherine Van Way | | | |
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| 19. Interest of each for | reign entity in the specific issues l | isted on line 16 above | Check if None |
| Signature | MIN | | Date 2/14/2002 |
| Printed Name and Title | Stephen L. May – Vice F | President, Government I | Relations |

| LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each coinformation as requested. Attach additional page(s) as needed. 15. General issue area code CAW (one per page) 16. Specific lobbying issues Vehicle emissions issues – no bill 17. House (s) of congress and Federal agencies contacted | Registrant Name | Cummins Inc | Client Name | Self | |
|---|-------------------------|------------------------------------|-----------------------------|--------------|--|
| Vehicle emissions issues — no bill 17. House(s) of congress and Pederal agencies contacted ☐ Check if None U.S. House of Representatives; U.S. Scnate; Department of Energy; Environmental Protection Agency Office of Management and Budget; Department of Transportation 18. Name of each individual who acted as a lobbyist in this issue area Name ☐ Covered Official Position (if applicable) Steve May Catherine Van Way 19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None Signature ☐ Date 2/14/2002 ☐ | engaged in lobbying | g on behalf of the client dur | ing the reporting period | flect the ge | eneral issue areas in which t separate page for each co |
| Vehicle emissions issues — no bill 17. House(s) of congress and Federal agencies contacted U.S. House of Representatives; U.S. Senate; Department of Energy; Environmental Protection Agency Office of Management and Budget; Department of Transportation 18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Position (if applicable) Steve May Catherine Van Way 19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None Signature Date 2/14/2002 | 15. General issue are | a code <u>CAW</u> | (one per page) | | |
| U.S. House of Representatives; U.S. Senate; Department of Energy; Environmental Protection Agency Office of Management and Budget; Department of Transportation 18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Position (if applicable) Steve May Catherine Van Way 19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None Signature Date 2/14/2002 | • | | | | |
| Office of Management and Budget; Department of Transportation 18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Position (if applicable) Steve May Catherine Van Way 19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None Signature Date 2/14/2002 | ,,, - | · | | _ | |
| Name Covered Official Position (if applicable) Steve May Catherine Van Way 19. Interest of each foreign entity in the specific issues listed on line 16 above □ Check if None □ Date 2/14/2002 | | | | | |
| Catherine Van Way 19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None Signature Date 2/14/2002 | 18. Name of each ind | lividual who acted as a lobbyis | t in this issue area | | |
| Catherine Van Way 19. Interest of each foreign entity in the specific issues listed on line 16 above | | Name | Co | overed Offic | ial Position (if applicable) |
| 19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None Signature ☐ Date 2/14/2002 | Steve May | | | | |
| Signature Date | Catherine Van Way | | | | |
| Signature Date | | | | | |
| Signature Date | | | | | |
| Signature Date | | | | | |
| Signature Date | | | | | |
| Signature Date | | | | | |
| Signature Date | | | | | |
| Signature Date | | | | | |
| Signature Date | | | | | |
| | 19. Interest of each fo | oreign entity in the specific issu | ues listed on line 16 above | Check | if None |
| Printed Name and Title Stephen L. May – Vice President, Government Relations | Signature | MATH | | Date | 2/14/2002 |
| | Printed Name and Titl | e Stephen L. May – V | ice President. Governmen | t Relations | |

| Registrant Name | Cummins Inc | Clien | Name | Self | |
|-------------------------|---|-----------------------|------------|--------------------------|--|
| engaged in lobbying | FIVITY. Select as many on behalf of the client duested. Attach additional p | ring the reportin | g period. | lect the ge Using a s | neral issue areas in which separate page for each co |
| 15. General issue area | a code <u>ENG</u> | one per page |) | | |
| | | AFE Act of 2001; I | H.R. 3406, | , Electric Su | apply and Transmission Act; I |
| ., | ress and Federal agencies cor presentatives; U.S. Senate; I tent and Budget | | gy; Enviro | | if None otection Agency |
| 18. Name of each ind | ividual who acted as a lobby | ist in this issue are | a | | |
| | Name | | Cor | vered Offici | al Position (if applicable) |
| Steve May | | | | | |
| Catherine Van Way | | | | | |
| 19. Interest of each fo | reign entity in the specific is | sues listed on line | 16 above | Check | if None |
| Signature | 1707 | | <u></u> | _ Date | 2/14/2002 |
| Printed Name and Title | Stephen L. May | Vice President, Go | vernment | Relations | |

| Registrant Name | Cummins Inc | Client Name | Self |
|---------------------------------------|--|--------------------------------|---|
| engaged in lobbying | FIVITY. Select as man s on behalf of the client dested. Attach additional | luring the reporting period | flect the general issue areas in which t . Using a separate page for each co |
| 15. General issue area | a code <u>TAX</u> | (one per page) | |
| 16. Specific lobbying | issues | | |
| S.629, Economic | Stimulus Tax Cut Act of 20 | 001 | |
| 17. House(s) of congr | ress and Federal agencies co | ontacted | Check if None |
| U.S. House of Re | presentatives; U.S. Senate | | |
| 18. Name of each ind | ividual who acted as a lobb | yist in this issue area | |
| Steve May | Name | Co | vered Official Position (if applicable) |
| Catherine Van Way | | | |
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| 19. Interest of each fo | reign entity in the specific i | issues listed on line 16 above | Check if None |
| Signature | HALL. | | Date <u>2/14/2002</u> |
| Printed Name and Title | e Stephen L. Mav – | Vice President, Government | Relations |

| Registrant Name | Cummins Inc | Client Name | Self | | | |
|--|--|---------------------------|---|--|--|--|
| engaged in lobbying | FIVITY. Select as many cogon behalf of the client durinested. Attach additional page | g the reporting period. | flect the general issue areas in which t . Using a separate page for each cod | | | |
| 15. General issue area | a code TRD | (one per page) | | | | |
| 16. Specific lobbying H.R. 3005, Bipart | issues | Act of 2001 | | | | |
| - | ress and Federal agencies contac presentatives; U.S. Senate; Depa | | ☐ Check if None | | | |
| 18. Name of each individual who acted as a lobbyist in this issue area | | | | | | |
| Steve May | Name | Co | vered Official Position (if applicable) | | | |
| Catherine Van Way | , | | | | | |
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| 19. Interest of each fo | reign entity in the specific issue | s listed on line 16 above | ☐ Check if None | | | |
| Signature | Affle | 7 | Date <u>2/14/2002</u> | | | |
| Printed Name and Title | Stephen L. May - Vice | President, Government | Relations | | | |

| Information Update Page - Complete ONLY where registration information has changed. 20. Client new address 21. Client new principal place of business (if different from line 20) City State/Zip (or Country) | |
|--|----------|
| 21. Client new principal place of business (if different from line 20) | |
| | |
| | |
| City State/Zip (or Country) | |
| | |
| 22. New general description of client's business or activities | |
| | |
| LOBBYIST UPDATE 23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client | • |
| Paul Malone | |
| ISSUE UPDATE | |
| 24. General lobbying issues previously reported that no longer pertain | |
| | |
| AFFILIATED ORGANIZATIONS | |
| 25. Add the following affiliated organization(s) | |
| Name Address Principal Place | |
| (city and state | or c |
| | |
| 26. Name of each previously reported organization that is no longer affiliated with the registrant or client | |
| FOREIGN ENTITIES 27. Add the following foreign entities | |
| Name Address Principal place of business Amount of contribution | |
| (city and state or country) for lobbying activities | F |
| | |
| 28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client affiliated organization | or |
| | |
| | |
| . Oll Mr. | |
| Signature Date 2/14/2002 Printed Name and Title Stephen I. May, Vice President Government Polations | <u> </u> |