

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY OF THE S  
04 AUG 18 AM 11:3

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>Lehman Brothers, Inc.</b>			
2. Address <input type="checkbox"/> Check if different than previously reported <b>800 Connecticut Avenue, NW, Suite 1200 Washington, D.C. 20006</b>			
3. Principal Place of Business (if different from line 2) <b>New York</b> <b>New York</b> City: State/zip (or Country)			
4. Contact Name <b>Judith Winchester</b>	Telephone <b>(202) 452-4720</b>	E-mail (optional)	5. Senate ID # <b>22553-12</b>
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # <b>30105000</b>

**TYPE OF REPORT** 8. Year 2004 Midyear (January 1-June 30)  OR Year End (July 1-D

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇌ Termination Date \_\_\_\_\_ 11. No Lobby

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
<p><b>INCOME</b> relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇌ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>EXPENSES</b> relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇌ \$ <u>\$320,000.00</u> Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of</p> <p><input checked="" type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA defini</p> <p><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 602 Internal Revenue Code</p> <p><input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162 Internal Revenue Code</p>

Signature *Judith Winchester* Date 8/18/04

Printed Name and Title Judith Winchester, Managing Director, Government Affairs

FD-3 (REV. 4-2003)

PAGE 1

Registrant Name Lehman Brothers, Inc. Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Bankruptcy Abuse Prevention and Consumer Protection Act (H.R.975)  
Mutual Fund Investor Confidence Restoration Act (S.1971)  
Mutual Fund Integrity and Fee Transparency Act of 2003 (H.R.2420)  
Energy Market Oversight Act (S.509)  
Securities Fraud Deterrence and Investor Restitution Act (H.R.2179)  
Terrorism Insurance Backstop Extension Act (H.R.4634)

17. House(s) of Congress and Federal agencies contacted  Check if None

United States House of Representatives  
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Judith Winchester	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *Judith Winchester* Date 8/12/04

Printed Name and Title Judith Winchester, Managing Director, Government Affairs

Form LD-2 (Rec. 4/03)

Page 2

Registrant Name Lehman Brothers, Inc. Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

American Jobs Creation Act (H.R.4520/H.R.2896)  
Jumpstart Our Business Strength Act (S.1637)

17. House(s) of Congress and Federal agencies contacted  Check if None

United States House of Representatives  
United States Senate  
United States Department of the Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Judith Winchester	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *Judith Winchester* Date 8/18/04

Printed Name and Title Judith Winchester, Managing Director, Government Affairs

Form LD-2 (Rec. 4/03)

Page 3