Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers are Required to Complete This Page 1. Registrant Name Capitol Associates, Inc. 426 C Street, NE, Washington, DC 20002 3. Principal Place of Business (if different from line 2) State/Zip (or Country) 5. Senate ID # E-mail (optional) Telephone 4. Contact Name 8101-315 dh@capitolassociates.com 202-544-1880 Debra M. Hardy Havens 6. House ID # Self Joint Council of Allergy, Asthma and Immunology 30813024 TYPE OF REPORT 8. Year 2000 Midyear (January) Func 30) OR Year End (July 1-December 31) 9. Check if this filing amends a previously filed version of this report 🖾 11. No Lobbying Activity 🔲 INCOME OR EXPENSES - Complete Either Line 12 OR Line 13 13. Organizations 12. Lobbying Firms EXPENSES relating to lobbying activities for this reporting INCOME relating to lobbying activities for this reporting period were: period was: Less than \$10,000 🔲 Less than \$10,000 🔲 \$10,000 or more ⇒ \$20,000 \$10,000 or more Expenses (nearest \$20,000) Income (nearest \$20,000) 14, REPORTING METHOD. Check box to indicate expense Provide a good faith estimate, rounded to the nearest \$20,000. accounting method.- See Instructions for description of options. of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client). Method A. Reporting amounts using LDA definitions only Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code Method C. Reporting amounts under section 162(e) of the Internal Revenue Code Printed Name and Title Debra M. Hardy Havens, CEO PAGE 1 of __12__ Form LD-2 (Rev. 06/98)

registrati name Capitos (Salassas Azar	Joint Council of Asinina, Altergy and Industrion	
LOBBYING ACTIVITY. Select as many codes as necessary to ref obbying on behalf of the client during the reporting period. Using a s Attach additional page(s) as needed.	lect the general issue areas in which the registrant eparate page for each code, provide information as	engaged in requested.
5. General issue area code <u>BUD</u> (one per page)		
6. Specific lobbying issues	•	
Funding for medical research, Asthma programs and ne	ediestick prevention programs.	
HR 4577. Making appropriations for the Department of Agencies for fiscal year ending September 30, 2001.	Labor, Health and Human Services, Education a	nd related
S. 2553, Making appropriations for the Department of Agencies for fiscal year ending September 30, 2001.	Labor, Health and Human Services, Education a	nd related
H.R. 4461 and S. 2536 - Making appropriations for Agricand Related Agencies programs for the fiscal year enditracked, monitored, reported consideration of legislation.	ng September 30, 2001, and for other perposes.	inistration Title VI-
	•	
17. House(s) of Congress and Federal agencies contacted	Check if None	
House Senate		
18. Name of each individual who acted as a lobbyist in this issue area Name	Covered Official Position (if applicable)	New
Marguerite Donoghue Baxter	13-41-41-41-4	521
Tricia Brooks		
Manhew Williams		
19. Interest of each foreign entity in the specific issues listed on line	16 above Check if None	
Signature		
Printed Name and Title Debra M. Hardy Havens, CEO		 ~
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Registrant Name Capitol Associates, Inc. C	lient Name Joint Council of Allergy, Asthma and Im-	nunology
LOBBYING ACTIVITY. Select as many codes as necessary to re lobbying on behalf of the client during the reporting period. Using a s Attach additional page(s) as needed.	flect the general issue areas in which the registrant e separate page for each code, provide information as	engaged in requested.
15. General issue area code <u>BUD cont</u> (one per page)		
16. Specific tobbying issues		
H.R.5656, Departments of Labor, Health and Human Service. Title II - Increased support for biomedical research	s and Education and Related Agencies Appropriations	Act. 2001
H.I. Res. 109, Making further continuing appropriations for the Entire bill - Tracked and monitored	he fiscal year 2001, and for other purposes.	
H.J. Res. 110, Making further continuing appropriations for t Entire bill — Tracked and monitored	he fiscal year 2001, and for other purposes.	
H.J. Res. 111, Making further continuing appropriations for t Entire bill - Tracked and monitored	he fiscal year 2001, and for other purposes.	
H.J. Res. 114, Making further continuing appropriations for t Entire bill – Tracked and monitored	he fiscal year 2001, and for other purposes.	
H.J. Res. 115, Making further continuing appropriations for Entire bill - Tracked and monitored	the fiscal year 2001, and for other purposes.	
H.J. Res. 116. Making further continuing appropriations for Entire bill - Tracked and monitored		
H.J. Res. 117, Making further continuing appropriations for Entire bill — Tracked and monitored	the fiscal year 2001, and for other purposes.	
H.J. Res. 118, Making further continuing appropriations for Entire bill - Tracked and monitored	the fiscal year 2001, and for other purposes.	
H.J. Res. 119, Making further continuing appropriations for Entire bill - Tracked and monitored	the fiscal year 2001, and for other purposes.	
17. House(s) of Congress and Federal agencies contacted	Check if None	
House Senate	•	
18. Name of each individual who acted as a lobbyist in this issue are		Name
Nami≐	Covered Official Position (if applicable)	New
Debra Hardy Havens	**************************************	
Man Williams		⊠
Tyjcia Brooks		42-1-11111111111111111111111111111111
19. Interest of each foreign entity in the specific issues listed on line	e 16 above 🖾 Check if None	
Signature		···-
Printed Name and Title Debra M. Hardy Havens, CEO		
F 1 To 2 /Pau 06/001	Page 3 o	f <u>12</u>

Registrant Name Capitol Associates, Inc. LOBRYING ACTIVITY. Select as many codes as necessary to lobbying on behalf of the client during the reporting period. Using Attach additional page(s) as needed.	Client Name Joint Council of Allergy, Asthma and Imreflect the general issue areas in which the registrant ea separate page for each code, provide information as	ngaged in
15. General issue area code <u>BUD cont.</u> (one per page)		
16. Specific lobbying issues		
H.I. Res. 120, Making further continuing appropriations f Entire bill - Tracked and monitored	for the fiscal year 2001, and for other purposes.	
H.J. Res. 121, Making further continuing appropriations t Entire bill - Tracked and monitored	for the fiscal year 2001, and for other purposes.	
H.I. Res. 122, Making further continuing appropriations is Entire bill - Tracked and monitored	for the fiscal year 2001, and for other purposes.	
H.J. Res. 123, Making further continuing appropriations to Entire bill - Tracked and monitored	for the fiscal year 2001, and for other purposes.	
H.J. Res. 124 - Making further continuing appropriations Entire bill - Tracked and monitored	for the fiscal year 2001, and for other purposes.	
H.J. Res. 84, Making further continuing appropriations f Entire bill - Tracked and monitored	or the fiscal year 2001, and for other purposes.	
H.J. Res. 125. Making further continuing appropriations Entire bill – Tracked and monitored	for the fiscal year 2001, and for other purposes.	
H.J. Res. 126, Making further continuing appropriations Entire bill - Tracked and monitored	for the fiscal year 2001, and for other purposes.	
H.J. Res. 127, Making further continuing appropriations Entire bill - Tracked and monitored	for the fiscal year 2001, and for other purposes.	
H.J. Res. 128, Making further continuing appropriations Entire bill - Tracked and monitored	for the fiscal year 2001, and for other purposes.	
H.J. Res. 129; Making further continuing appropriations Entire bill - Tracked and monitored	for the fiscal year 2001, and for other purposes.	
H.I. Res. 133, Making further continuing appropriations Entire bill - Tracked and monitored	for the fiscal year 2001, and for other purposes.	
17. House(s) of Congress and Federal agencies contacted House	Check if None	
Senate 18. Name of each individual who acted as a lobbyist in this issue a	irea	
Name	Covered Official Position (if applicable)	New
Debra Hardy Havens		Ø
19-19-19-19-19-19-19-19-19-19-19-19-19-1		Ø
Matt Williams		Termi
Tricia Brooks 19. Interest of each foreign entity in the specific issues listed on li	ne 16 above 🖾 Check if None	
Signature		
Printed Name and Title <u>Debra M. Hardy Havens, CEO</u>		
Form LD-2 (Rev. 06/98)	PAGE 4 of	. 12

Registrant Name <u>Capitol Associates, Inc.</u> Client Nam	e Joint Council of Asthma, Allergy and Immunolo	<u> </u>
LOBBYING ACTIVITY, Select as many codes as necessary to reflect on behalf of the client during the reporting period. Using a separate additional page(s) as needed.	the general issue areas in which the registrant engaged; page for each code, provide information as request	in lobbying ed. Attach
15. General issue area code <u>HCR</u> (one per page)		
16. Specific lobbying issues		
S.573 - Medical Information Privacy and Security Act to the conduct of medical research and informed consts. 578 - Health Care PIN Act. Tracked, monitored, at research and informed consent. S.881 - Medical Information Protection Act of 1999. the conduct of medical research and informed consent H.R. 1057 - Medical Information Privacy and Securitelated to the conduct of medical research and informed H.R. 2404 - Personal Medical Information Protection Act related to the conduct of medical research and informed H.R. 2470 - Medical Information Protection and Rese reported on provisions related to the conduct of medical H.R. 1941 - Health Information Privacy Act. Track conduct of medical research and informed consent. H.R. 2455 - Consumer Health and Research Technology and the provisions related to the conduct of medical H.R. 10/ S. 900 - Financial Services Act of 1999 Title Information. Opposed the inclusion of medical private Tracked and monitored rule-making process for medical S. 805, Children's Asthma Relief Act of 1999 — tracke S. Amdt. 2286 to S. 1650, to increase funds for CDC monitored, reported consideration of legislation. S. Amdt. 3708 to H.R. 4577, to increase funds for CDC monitored, reported consideration of legislation.	Tracked, monitored, and reported on provisions in Act. Tracked, monitored, and reported on provisions of Act. Tracked, monitored, and reported on ed consent. It is a consent is a consent. It is a consent in the consent is a consent. It is a consent in the consent is a consent. It is a consent in the consent is a consent. It is a consent in the consent is a consent. It is a consent in the consent is a consent. It is a consent in the consent in the consent in the consent is a consent in the	of medical related to provisions provisions stored, and ated to the itored, and and Medical on. — tracked,
17. House(s) of Congress and Federal agencies contacted	Check if None	
House Senate Department of Health and Human Services		
18. Name of each individual who acted as a lobbyist in this issue area		1
Name	Covered Official Position (if applicable)	New
Debra M. Hardy Havens		
Marguerite Donogliue Baxter		
Tricia Brooks		
19. Interest of each foreign entity in the specific issues listed on line		
•		
Signature		
Printed Name and Title Debra M. Hardy Havens, CEO		
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Registrant Nan	e Capitol Associates, Inc.	_Client Name_	Joint Council of Asthma, Allergy and Immunology	···············
Connacibie	CTIVITY. Select as many codes as nece e client during the reporting period. Us	essary to reflect t sing a separate	he general issue areas in which the registrant engaged page for each code, provide information as reques	in lobbying sted. Attach
15. General iss	ue area code <u>MED</u> (one per pag	(c)		
16. Specific to	obying issues			
Track	ted and reported on Congressional H	learings on ster	n cell research.	1.0
Met 1	798: Clinical Research Enhancemen with sponsors of legislation to urge C ted consideration of legislation.	t Act of 1999 committee and t	Toor consideration of legislation. Tracked, mo	nitored and
DES-C	6 Federal Research Investment Act-/ ompetitive engineering research incre ked, monitored, and reported, consid-	ease to equal at	that Federal funding levels for fundamental, sci oppoximately 2.6 percent of the total annual Fed rk-up of legislation.	entific, and eral budget
Trac	ked and monitored rule-making proce	ess for latex m	edical gloves reclassification.	
Trac	ked and reported on Congressional E- linical research.	Jearings and W	hite House and DHHS oversight of gene thera	py research
17. House(s)	f Congress and Federal agencies contact	ted	☐ Check if None	
Hou Sens Dep		es		
18. Name of e	ach individual who acted as a tobbyist in	n this issue area		1
	Name		Covered Official Position (if applicable)	New []
Marguerite	Donoghue Baxter			,,,,,
Tricia Broo	oks			<u> D.</u>
19. Interest of	each foreign entity in the specific issues		_	
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Printed Name	and Title Debra M. Hardy Havens, CE	<u> </u>		
	Rev. 06/98)		PAGE6 of12	

Registrant Name	Capitol Associates, Inc.	Client Name Joint Council of Asthma, Allergy and Immunology	
LOBBYING ACT on behalf of the ci additional page(s)	ient during the reporting period. Usi	ssary to reflect the general issue areas in which the registrant engaged ng a separate page for each code, provide information as reques	in lobbying ted. Attach
15. General issue	area code <u>HCR</u> (one per page)	
16. Specific lobby	ing issues	•	
HR 436	5, Children's Health Act of 2000 -	support aethma provisions.	
. S. 2868,	Children's Public Health Act of 2	000 - support asthma provisions.	
17. House(s) of C	ongress and Federal agencies contacte	d Check if None	
House			
Senate	**		
18. Name of each	individual who acted as a lobbyist in t	his issue area	1 I
1	fame	Covered Official Position (if applicable)	New
Debra M. Harc	ly Havens	and the second s	
Matthew Willi	atris		⊠
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	h foreign entity in the specific issues (
19. filerest of eac		india to bio 10 and 10 and 11 and 12	
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Signature			
Printed Name and	Title Debra M. Hardy Havens, CEC	<u> </u>	····
Form LD-2 (Rev.	06/98)	PAGE _ 7 _ of _ 12	-
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LOBBYING A CTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed. 15. General issue area codeLBR (one per page) 16. Specific lobbying issues Monitor, track and support legislation allowing physicians to bargain collectively with health plans. HR 1304, Quality Health Care Coalition Act of 1999 - Monitor, track and support. 17. House(s) of Congress and Federal agencies contactedHouse	Registrant Name Capitol Associates, Inc. Client Name	e Joint Council of Asthma, Altergy and Immunology
Monitor, track and support legislation allowing physicians to bargain collectively with health plans. HR 1304, Quality Health Care Coalition Act of 1999 - Monitor, track and support. 17. House(s) of Congress and Federal agencies contacted House Senate 18. Name of each individual who acted as a lobbylst in this issue area Name Debra M. Hardy Havens Matthew Williams 19. Interest of each foreign entity in the specific issues listed on line 16 above Signature Printed Name and Title Debra M. Hardy Havens, CEO	on behalf of the client during the reporting period. Using a separa	ct the general issue areas in which the registrant engaged in lobbying te page for each code, provide information as requested. Attach
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17. House(s) of Congress and Federal agencies contacted House Senate 18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Position (if applicable) Debra M. Hardy Havens Matthew Williams 19. Interest of each foreign entity in the specific issues listed on line 16 above Signature Printed Name and Title Debra M. Hardy Havens, CEO	Monitor, track and support legislation allowing physic	cians to bargain collectively with health plans.
17. House(s) of Congress and Federal agencies contacted House Senate 18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Position (if applicable) Debra M. Hardy Havens Matthew Williams 19. Interest of each foreign entity in the specific issues listed on line 16 above Signature Printed Name and Title Debra M. Hardy Havens, CEO	HR 1304, Quality Health Care Coalition Act of 1999	- Monitor, track and support.
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House Senate 18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Position (if applicable) Debra M. Hardy Havens Matthew Williams 19. Interest of each foreign entity in the specific issues Jisted on line 16 above Signature Printed Name and Title Debra M. Hardy Havens, CEO		
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18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Position (if applicable) Debra M. Hardy Havens Matthew Williams 19. Interest of each foreign entity in the specific issues Jisted on line 16 above Signature Printed Name and Title Debra M. Hardy Havens, CEO		
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Name Covered Official Position (if applicable) New Debra M. Hardy Havens Matthew Williams 19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None Signature Printed Name and Title Debra M. Hardy Havens, CEO		
Name Covered Official Position (if applicable) New Debra M. Hardy Havens Matthew Williams 19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None Signature Printed Name and Title Debra M. Hardy Havens, CEO		
Name Covered Official Position (if applicable) New Debra M. Hardy Havens Matthew Williams 19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None Signature Printed Name and Title Debra M. Hardy Havens, CEO		
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Matthew Williams 19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None Signature Printed Name and Title Debrg M. Hardy Havens, CEO	Name	Covered Official Position (if applicable) New
19. Interest of each foreign entity in the specific issues listed on line 16 above	Debra M. Hardy Havens	
Signature Printed Name and Title Debra M_ Hardy Havens, CEO	Matthew Williams	I — I
Signature Printed Name and Title Debra M_ Hardy Havens, CEO		
Signature Printed Name and Title Debra M. Hardy Havens, CEO	10 through of each finaign antity in the specific issues listed on line	16 above Check if None
Printed Name and Title Debra M. Hardy Havens, CEO	19. Inserest of each torough charty in the specific reserves	
Printed Name and Title Debra M. Hardy Havens, CEO		
Printed Name and Title Debra M. Hardy Havens, CEO		
	Signature	
	Printed Name and Title Debra M. Hardy Havens, CEO	
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tegistrant Name	Capitol Associates, Inc.	Client Name	Joint Council of Asthma, Allergy and Immunology	
LOBBYING AC on behalf of the c additional page(s)	lient during the reporting period.	cessary to reflect Using a separate	the general issue areas in which the registrant engaged page for each code, provide information as reques	in lobbying ted. Attach
15. General issue	area code <u>HCR</u> (one per pa	age)		
16. Specific lobby	ving issues			
Track, r	nonitor, report on legislative act	ivities related to	prevention of needlesticks	
S. 1140	, Health Care Worker Needlestic	k Prevention A	et.	
HR 189	9, Health Care Worker Needlest	tick Prevention	Act.	
HR 457 Service	7, Social Security and Medicare: s and Education, and Related Ag	Safe Deposit Bo gencies Appropr	x Act of 2000 and Departments of Labor, Health rightions Act 2001	apd Human
17. House(s) of C	: Congress and Federal agencies conta	icted	Check if None	
House Senate				
Schare				
			•	
18. Name of each	individual who acted as a lobbyist	in this issue area		
. 1	Name		Covered Official Position (if applicable)	New
Debra M. Har		,1-4-11-11-11-1		
Matthew Will	jams	3,-,3		
, ,-,	451-151	1617171-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-	, , , , , , , , , , , , , , , , , , ,	
19. Interest of ca	ch foreign entity in the specific issu	es listed on line l	6 above Check if None	
Signature				•
Printed Name an Form LD-2 (Rev	d Title <u>Debra M. Hardy Havens, C</u> . 06/98)	350	PAGE 9 of 12	

Registrant Name Capitol Associates, Inc. Client Name	Ioint Council of Asthma, Allergy and Immunology	
1.OBBYING ACTIVITY. Select as many codes as necessary to reflect on behalf of the client during the reporting period. Using a separate additional page(s) as needed.	t the general issue areas in which the registrant engaged e page for each code, provide information as request	in lobbying ed. Attach
15. General issue area codeMMM (one per page)		
16. Specific lobbying issues		
Monitor and track Medicare reforms, and prescription	drug benefit.	
HR 4680, Medicare Rx 2000 Act.		
HR 4770, Medicare Guaranteed and Defined Rx Benef	it and Health Provider Relief Act of 2000.	
S. 2807, Medicare Prescription Drug and Modernization	on Act of 2000.	·
S. 2758, Medicare Outpatient Drug Act of 2000.		
S. 2753, Medicare Expansion Plan		
•		
17. House(s) of Congress and Federal agencies contacted	Check if None	
House Senate		
Senate		
·		
18. Name of each individual who acted as a lobbyist in this issue area		
Name	Covered Official Position (if applicable)	New
Debra M. Hardy Havens		
Matthew Williams		Ø
Marguerite Donoghue Baxter		
19. Interest of each foreign entity in the specific issues listed on line	16 above St Check if None	
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Signature		
Printed Name and Title Debra M. Hardy Havens, CEO Form LD-2 (Rev. 06/98)	PAGE 10 of 12	
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Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

LOBBYING REGISTRATION ADDENDUM

Lobbying Disclosure Act of 1995 (Section 5)

Line #	Information to be included		·
5.	HCR .	•	
6.	S. 1344 Patients' Bill of Rights Act of 1999- a bill to advocated for reimbursement for clinical trials, access	reform managed care. Tracked, n s to speciality care provisions.	nonitored, reported, and
	HR 2990, Quality Care for the Uninsured Act of 1999	€.	
	HR 2723, Patient Protection Bill/Bipartisan Consensa	us Managed Care Improvement Ac	et of 1999.

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egistrant Name Capitol A			ancil of Asthma, Allergy an	d Immunology	
formation Update I	age - Complete ONL	Y where registration information	n has changed.		
O. Client new address					
11. Client new principal place	of business (if different f	rom line 20)			
City		State/Zip (or Country)	717Ibididibbii71771771771717171717171717171717171		
22. New general description of					
		vho is no longer expected to act	as a lobbyist for the client		
Margueris	e Donohgue Baxter		·		
SSUE UPDATE 4. General lobbying issues p	previously reported tha	t no longer pertain			
FFILIATED ORGANI 5. Add the following affilia	ZATIONS ted organization(s)				
Name		Address		Principal Place of Business (city and state or country)	
11M-1					
C. M.—a of cook previously	reported organization	that is no longer affiliated with	the registrant or client		
e. Name of each provides;	repeated organization		_		
OREIGN ENTITIES	n entities				
7 Add the following foreis		The state of the s	Amount of contribution	Ownership	
7. Add the following foreis Name	Address	Principal place of business (city and state or country)	- for lobbying activities	percentage in ctions	
.7, Add the following foreig	Address				
Name	y reported foreign enti		- for lobbying activities	ctions	
Name 8. Name of each previousl or affiliated organization	y reported foreign enti	(city and state or country) ty that no longer owns, or cont	- for lobbying activities rols, or is affiliated with th	ctions	
Name Name of each previous!	y reported foreign enti	(city and state or country) ty that no longer owns, or cont	- for lobbying activities rols, or is affiliated with th	ctioni ctioni	