

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers are Required to Complete This Page

RECEIVED

CLERK OF THE SENATE

01 FEB 13 PM 12:55

1. Registrant Name

Capitol Associates, Inc.

2. Address ☐ Check if different than previously reported

426 C Street, NE, Washington, DC 20002

3. Principal Place of Business (if different from line 2)

City:

State/Zip (or Country)

4. Contact Name

Telephone

E-mail (optional)

5. Senate ID #

Debra M. Hardy Havens

202-544-1880

dh@capitolassociates.com

8101-315

7. Client Name

☐ Self

6. House ID #

Joint Council of Allergy, Asthma and Immunology

30813024

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☒

9. Check if this filing amends a previously filed version of this report ☒

10. Check if this is a Termination Report ☐ \Rightarrow Termination Date

11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000 ☐

\$10,000 or more ☒ \Rightarrow \$20,000
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000 ☐

\$10,000 or more ☐ \Rightarrow \$
Expenses (nearest \$20,000)

14. REPORTING METHOD. Check box to indicate expense accounting method. - See instructions for description of options.

☐ Method A. Reporting amounts using LDA definitions only

☐ Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature

Debra M. Hardy Havens

Printed Name and Title Debra M. Hardy Havens, CEO

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

Funding for medical research, Asthma programs and needlestick prevention programs.

HR 4577, Making appropriations for the Department of Labor, Health and Human Services, Education and related Agencies for fiscal year ending September 30, 2001.

S. 2553, Making appropriations for the Department of Labor, Health and Human Services, Education and related Agencies for fiscal year ending September 30, 2001.

H.R. 4461 and S. 2536 - Making appropriations for Agriculture, Rural Development, Food and Drug Administration and Related Agencies programs for the fiscal year ending September 30, 2001, and for other purposes; Title VI-tracked, monitored, reported consideration of legislation for FDA Appropriations.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New <input type="checkbox"/>
Marguerite Donoghue Baxter		<input type="checkbox"/>
Debra Hardy Havens		<input checked="" type="checkbox"/>
Tricia Brooks		<input type="checkbox"/>
Mathew Williams		<input checked="" type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD cont. (one per page)

16. Specific lobbying issues

H.R.5656, Departments of Labor, Health and Human Services and Education and Related Agencies Appropriations Act, 2001
Title II - Increased support for biomedical research

H.J. Res. 109, Making further continuing appropriations for the fiscal year 2001, and for other purposes.
Entire bill - Tracked and monitored

H.J. Res. 110, Making further continuing appropriations for the fiscal year 2001, and for other purposes.
Entire bill - Tracked and monitored

H.J. Res. 111, Making further continuing appropriations for the fiscal year 2001, and for other purposes.
Entire bill - Tracked and monitored

H.J. Res. 114, Making further continuing appropriations for the fiscal year 2001, and for other purposes.
Entire bill - Tracked and monitored

H.J. Res. 115, Making further continuing appropriations for the fiscal year 2001, and for other purposes.
Entire bill - Tracked and monitored

H.J. Res. 116, Making further continuing appropriations for the fiscal year 2001, and for other purposes.
Entire bill - Tracked and monitored

H.J. Res. 117, Making further continuing appropriations for the fiscal year 2001, and for other purposes.
Entire bill - Tracked and monitored

H.J. Res. 118, Making further continuing appropriations for the fiscal year 2001, and for other purposes.
Entire bill - Tracked and monitored

H.J. Res. 119, Making further continuing appropriations for the fiscal year 2001, and for other purposes.
Entire bill - Tracked and monitored

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Debra Hardy Havens		<input checked="" type="checkbox"/>
Matt Williams		<input checked="" type="checkbox"/>

Tylicia Brooks

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO

Registrant Name Capitol Associates, Inc. Client Name Joint Council of Allergy, Asthma and Immunology
LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD cont. (one per page)

16. Specific lobbying issues

H.J. Res. 120, Making further continuing appropriations for the fiscal year 2001, and for other purposes.
Entire bill - Tracked and monitored

H.J. Res. 121, Making further continuing appropriations for the fiscal year 2001, and for other purposes.
Entire bill - Tracked and monitored

H.J. Res. 122, Making further continuing appropriations for the fiscal year 2001, and for other purposes.
Entire bill - Tracked and monitored

H.J. Res. 123, Making further continuing appropriations for the fiscal year 2001, and for other purposes.
Entire bill - Tracked and monitored

H.J. Res. 124 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.
Entire bill - Tracked and monitored

H.J. Res. 84, Making further continuing appropriations for the fiscal year 2001, and for other purposes.
Entire bill - Tracked and monitored

H.J. Res. 125, Making further continuing appropriations for the fiscal year 2001, and for other purposes.
Entire bill - Tracked and monitored

H.J. Res. 126, Making further continuing appropriations for the fiscal year 2001, and for other purposes.
Entire bill - Tracked and monitored

H.J. Res. 127, Making further continuing appropriations for the fiscal year 2001, and for other purposes.
Entire bill - Tracked and monitored

H.J. Res. 128, Making further continuing appropriations for the fiscal year 2001, and for other purposes.
Entire bill - Tracked and monitored

H.J. Res. 129, Making further continuing appropriations for the fiscal year 2001, and for other purposes.
Entire bill - Tracked and monitored

H.J. Res. 133, Making further continuing appropriations for the fiscal year 2001, and for other purposes.
Entire bill - Tracked and monitored

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Debra Hardy Havens		<input checked="" type="checkbox"/>
Matt Williams		<input checked="" type="checkbox"/>
Tricia Brooks		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

S.573 - Medical Information Privacy and Security Act. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.
 S. 578 - Health Care PIN Act. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.
 S.881 - Medical Information Protection Act of 1999. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.
 H.R. 1057 - Medical Information Privacy and Security Act. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.
 H.R. 2404 - Personal Medical Information Protection Act of 1999 - Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.
 H.R. 2470 - Medical Information Protection and Research Enhancement Act of 1999. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.
 H.R. 1941 - Health Information Privacy Act. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.
 H.R. 2455 - Consumer Health and Research Technology (CHART) Protection Act. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.
 H.R. 10/ S. 900 - Financial Services Act of 1999 Title III, Subtitle D, The Confidentiality of Health and Medical Information. Opposed the inclusion of medical privacy provisions in this legislation
 Tracked and monitored rule-making process for medical records privacy.
 S. 805, Children's Asthma Relief Act of 1999 - tracked, monitored, reported consideration of legislation.
 S. Amdt. 2286 to S. 1650, to increase funds for CDC to provide grants regarding childhood asthma - tracked, monitored, reported consideration of legislation.
 S. Amdt. 3708 to H.R. 4577, to increase funds for CDC to provide grants regarding childhood asthma - tracked, monitored, reported consideration of legislation.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House
 Senate
 Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Debra M. Hardy Havens		<input type="checkbox"/>
Marguerite Donoghue Baxter		<input type="checkbox"/>
Tricia Brooks		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues

Tracked and reported on Congressional Hearings on stem cell research.

HR 1798: Clinical Research Enhancement Act of 1999

Met with sponsors of legislation to urge Committee and floor consideration of legislation. Tracked, monitored and reported consideration of legislation.

S. 296 Federal Research Investment Act- A bill to ensure that Federal funding levels for fundamental, scientific, and pre-competitive engineering research increase to equal approximately 2.6 percent of the total annual Federal budget. Tracked, monitored, and reported, consideration and mark-up of legislation.

Tracked and monitored rule-making process for latex medical gloves reclassification.

Tracked and reported on Congressional Hearings and White House and DHHS oversight of gene therapy research and clinical research.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House

Senate

Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New <input type="checkbox"/>
Marguerite Donoghue Baxter		<input type="checkbox"/>
Tricia Brooks		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

HR 4365, Children's Health Act of 2000 - support asthma provisions.

S. 2868, Children's Public Health Act of 2000 - support asthma provisions.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Debra M. Hardy Havens		<input type="checkbox"/>
Matthew Williams		<input checked="" type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO

Registrant Name Capitol Associates, Inc. Client Name Joint Council of Asthma, Allergy and Immunology

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific lobbying issues

Monitor, track and support legislation allowing physicians to bargain collectively with health plans.

HR 1304, Quality Health Care Coalition Act of 1999 - Monitor, track and support.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Debra M. Hardy Havens		<input type="checkbox"/>
Matthew Williams		<input checked="" type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Track, monitor, report on legislative activities related to prevention of needlesticks

S. 1140, Health Care Worker Needlestick Prevention Act.

HR 1899, Health Care Worker Needlestick Prevention Act.

HR 4577, Social Security and Medicare Safe Deposit Box Act of 2000 and Departments of Labor, Health and Human Services and Education, and Related Agencies Appropriations Act 2001

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Debra M. Hardy Havens		<input checked="" type="checkbox"/>
Matthew Williams		<input checked="" type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

Monitor and track Medicare reforms, and prescription drug benefit.

HR 4680, Medicare Rx 2000 Act.

HR 4770, Medicare Guaranteed and Defined Rx Benefit and Health Provider Relief Act of 2000.

S. 2807, Medicare Prescription Drug and Modernization Act of 2000.

S. 2758, Medicare Outpatient Drug Act of 2000.

S. 2753, Medicare Expansion Plan

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Debra M. Hardy Havens		<input checked="" type="checkbox"/>
Matthew Williams		<input checked="" type="checkbox"/>
Marguerite Donoghue Baxter		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

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LOBBYING REGISTRATION ADDENDUM
Lobbying Disclosure Act of 1995 (Section 5)

Registrant Name Capitol Associates, Inc.

Client Name Joint Council of Asthma, Allergy and Immunology

Line #	Information to be included
15.	HCR
16.	S. 1344 Patients' Bill of Rights Act of 1999- a bill to reform managed care. Tracked, monitored, reported, and advocated for reimbursement for clinical trials, access to speciality care provisions. HR 2990, Quality Care for the Uninsured Act of 1999. HR 2723, Patient Protection Bill/Bipartisan Consensus Managed Care Improvement Act of 1999.

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City _____ State/Zip (or Country) _____

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

Marguerite Donohue Baxter

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution - for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature _____ Date _____

Printed Name and Title Debra M. Hardy Havens, CEO