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### LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name <u>Duke University Health System</u>			
2. Address <input type="checkbox"/> Check if different than previously reported <u>Dumc 3524</u>			
3. Principal Place of Business (if different from line 2) City: <u>Durham</u> State/Zip (or Country) <u>NC 27710</u>			
4. Contact Name <u>Paul A. Vick</u>		Telephone <u>919-419-5180</u>	E-mail (optional) <u>vickpa001@mc.duke.edu</u>
5. Senate ID #		6. House ID #	
7. Client Name <input checked="" type="checkbox"/> Self			

8. TYPE OF REPORT Year 2000 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  Termination Date \_\_\_\_\_

11. No Lobbying Activity

<b>INCOME OR EXPENSES - Complete Either Line 12 OR Line 13</b>	
<b>12. Lobbying Firms</b> INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> $\Rightarrow$ \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>13. Organizations</b> EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> $\Rightarrow$ \$ <u>60,000</u> Expenses (nearest \$20,000) <b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options. <input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature

*Paul A. Vick*

Printed Name and Title

Paul A. Vick, Assoc. V.P. for Government Relations

LD-2 (REV. 6/98)

PAGE 1 of 4

Registrant Name Duke Univ. Health System Client Name Duke University Health System

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Medicare  
Medicaid  
Appropriations  
Tax Policy

17. House(s) of Congress and Federal agencies contacted  Check if None

Rep. Robin Hayes, NC      Rep. David Price, N.C.      Rep. Charles Taylor, NC  
Sen. Jesse Helms, NC      Rep. Richard Burr, NC      Rep. Mel Watt, NC  
Sen. John Edwards, NC      Rep. Howard Coble, NC      Rep. Kay Granger, TX  
Rep. Eva Clayton, NC      Rep. Mike McIntyre, NC      Rep. John Farmer, IL  
Rep. Bob Etheridge, NC      Rep. Sue Myrick, NC  
Rep. Walter Jones, NC      Rep. Cass Ballenger, NC

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Paul A. Vick</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *Paul A. Vick* Date 1-30-01  
Printed Name and Title Paul A. Vick, Assoc. V.P. for Government Relations

Registrant Name Duke Univ. Health Sys. Client Name Duke Univ. Health System

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (one per page)

16. Specific lobbying issues

Appropriations  
Tax Policy

17. House(s) of Congress and Federal agencies contacted  Check if None

Rep. Robin Hayes, NC	Rep. David Price, NC	Rep. Charles Taylor, NC
Sen. Jesse Helms, NC	Rep. Richard Burr, NC	Rep. Moe Watts, NC
Sen. John Edwards, NC	Rep. Howard Coble, NC	Rep. Kay Granger, TX
Rep. Eva Clayton, NC	Rep. Mike McIntyre, NC	Rep. John Porter, IL
Rep. Bob Etheridge, NC	Rep. Sue Myrick, NC	Rep. John Sprad, SC
Rep. Walter Jones, NC	Rep. Cass Ballenger, NC	

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Now
<u>Paul A. Vick</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *Paul A. Vick* Date 1/30/01  
Printed Name and Title Paul A. Vick, Assoc. V.P. for Government Relations

Registrant Name Duke Univ. Health Sys. Client Name Duke Univ. Health System

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

**ISSUE UPDATE**

24. General lobbying issues previously reported that no longer pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature Paul A. Vick Date 1/20/01  
Printed Name and Title Paul A. Vick, Assoc. V.P. for Government Relations