

RECEIVED

| | |
|---|--|
| Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515 | Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510 |
|---|--|

RECEIVED.
SECRETARY OF THE SENATE
03 AUG 14 AM 11:25

AUG 05 2003
VICE CHANCELLOR
PUBLIC AFFAIRS

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

| | | | |
|--|--|-------------------------------------|-----------------|
| 1. Registrant Name Vanderbilt University | | | |
| 2. Address <input type="checkbox"/> Check if different than previously reported 305 Kirkland Hall, Vanderbilt University | | | |
| 3. Principal Place of Business (if different from line 2) City: Nashville State/zip (or Country) TN 37240 | | | |
| 4. Contact Name | Telephone | E-mail (optional) | 5. Senate ID # |
| Kevin R. Davis | 615/343-3928 | kevin.r.davis@vanderbilt.edu | 39861-24 |
| 7. Client Name | <input checked="" type="checkbox"/> Self | | 6. House ID # |
| | | | 30169000 |

TYPE OF REPORT 8. Year 2003 Midyear (January 1-June 30) OR Year End (July 1-December)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇨ Termination Date _____

11. No Lobbying

| INCOME OR EXPENSES Complete Either Line 12 OR Line 13 | |
|---|--|
| <p align="center">12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p> | <p align="center">13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>80,000</u> Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate exp accounting method. See instructions for description of opt</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input checked="" type="checkbox"/> Method B. Reporting amounts under section 6033(l) Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code</p> |

Signature _____ Date _____

Printed Name and Title _____

LD-2 (REV. 4/03)

PAGE 1 of

Registrant Name Vanderbilt University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (one per page)

16. Specific lobbying issues

Individuals with Disabilities Education Act Reauthorization (overall programs, plus special education research)
Higher Education Act Reauthorization

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|---|---|
| Jeff Vincent, Director, Federal Relations | |
| Sarah Walking, Assoc. Director, Federal Relations | |
| | |
| | |
| | |
| | |
| | |

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Form LD-2 (Rec. 4/03)

Page 2

Registrant Name Vanderbilt University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page)

16. Specific lobbying issues

FY04 Authorization and Appropriations:
-Department of Defense (overall basic and applied research, plus: MFEL Program; Advanced Carbon Nanotechnology Program; Advanced Proteomics Program; various National Reconnaissance Office programs)
-Department of Energy
-Department of Transportation

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|---|---|
| <u>Jeff Vincent, Director, Federal Relations</u> | |
| <u>Sarah Walkling, Assoc. Director, Federal Relations</u> | |
| | |
| | |
| | |
| | |
| | |

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Form LD-2 (Rec. 4/03)

Page 3

Registrant Name Vanderbilt University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page)

16. Specific lobbying issues

FY04 Authorization and Appropriations:
-Department of Homeland Security (overall basic and applied research, plus: National Center for Emergency Preparedness initiative; Center for Instruction Development & Evaluation initiative; foreign student visa access; and Project Bioshield)
-National Aeronautics and Space Administration

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|--|---|
| Jeff Vincent, Director, Federal Relations | |
| Sarah Walkling, Assoc. Director, Federal Relations | |
| | |
| | |
| | |
| | |

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Form LD-2 (Rev. 4/03)

Page 4

Registrant Name Vanderbilt University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page)

16. Specific lobbying issues

FY04 Authorization and Appropriations:
-National Science Foundation

FY05 Authorization and Appropriations for MFEL program

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|--|---|
| Jeff Vincent, Director, Federal Relations | |
| Sarah Walkling, Assoc. Director, Federal Relations | |
| | |
| | |
| | |
| | |
| | |

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Form LD-2 (Rev. 4/03)

Page 5

Registrant Name Vanderbilt University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which th engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each co information as requested. Attach additional page(s) as needed.

15. General issue area code ART (one per page)

16. Specific lobbying issues

FY04 NEH appropriations (overall programs, plus: American History and Civics Education Act)

FY04 NEA appropriations and policies related to arts and culture

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|--|---|
| Jeff Vincent, Director, Federal Relations | |
| Sarah Walkling, Assoc. Director, Federal Relations | |
| | |
| | |
| | |
| | |
| | |

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Form LD-2 (Rev. 4/03)

Page 6

Registrant Name Vanderbilt University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which th engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each co information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

Medicare reform, including indirect medical education payment adjustment, disproportionate share hospital allotment, and increase in Medicare-funded resident caps

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|--|---|
| <u>Mel Bass, Director, Federal Affairs</u> | |
| | |
| | |
| | |
| | |
| | |

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Form LD-2 (Rev. 4/03)

Page 7

Registrant Name Vanderbilt University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Direct appropriation for Vanderbilt Children's Hospital
NIH appropriations
Poison Control Centers
Medical malpractice reform


17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-------------------------------------|---|
| Mel Bass, Director, Federal Affairs | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 8/7/03

Printed Name and Title Michael Schoenfeld, Vice Chancellor

Form LD-2 (Rev. 4/03)

Page 8