

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**CREDIT SUISSE SECURITIES (USA)**

2. Address:

1201 F STREET, NW, #450, WASHINGTON, DC 20004

3. Principal place of business (if different from line 2):

4. Contact Name: MARY LYNNE WHALEN

Telephone: 2026263301

E-mail (optional): MARY.WHALEN@CREDIT-SUISSE.COM

Senate ID #: 62596-12

House ID #: 35454000

7. Client Name:  Self

## TYPE OF REPORT

8. Year 2007 Midyear (January 1 - June 30):  **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report:  => Termination Date: \_\_\_\_\_ 11. No Lobbying Activity:

## INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more:  => Income (nearest \$20,000): \_\_\_\_\_

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more:  => Expenses (nearest \$20,000): 920,000.00

### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

**Method A.** Reporting amounts using LDA definitions only

**Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

**Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: CREDIT SUISSE SECURITIES (USA) Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BAN (one per page)

16. Specific lobbying issues:

Hedge Fund Supervision

17. House(s) of Congress and Federal agencies contacted:

Federal Reserve System

HOUSE OF REPRESENTATIVES

SENATE

Securities & Exchange Commission (SEC)

Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: LANDERS, DAVID M.

Covered Official Position (if applicable): N/A

Name: SEIDEL, JOSEPH L.

Covered Official Position (if applicable): N/A

Name: WHALEN, MARY L.

Covered Official Position (if applicable): N/A

Name: WILLIAMS, MICHAEL W.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CREDIT SUISSE SECURITIES (USA) Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENG (one per page)

16. Specific lobbying issues:

Carbon Trading Loan Guarantee Regulations

17. House(s) of Congress and Federal agencies contacted:

Energy, Dept of  
HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: LANDERS, DAVID M.

Covered Official Position (if applicable): N/A

Name: SEIDEL, JOSEPH L.

Covered Official Position (if applicable): N/A

Name: WHALEN, MARY L.

Covered Official Position (if applicable): N/A

Name: WILLIAMS, MICHAEL W.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CREDIT SUISSE SECURITIES (USA) Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FIN (one per page)

16. Specific lobbying issues:

Global Custody Rules SEC List of Corporations Engaged in Restricted Countries (SEC Blacklist) Mutual Recognition for Foreign Regulatory Regimes Options Position Limits CEA Reauthorization Act, S. 1566/CFTC Reauthorization Act HR 4473 Hedge Fund Supervision Equity Soft Dollars Rules

17. House(s) of Congress and Federal agencies contacted:

Federal Reserve System  
HOUSE OF REPRESENTATIVES  
SENATE  
Securities & Exchange Commission (SEC)  
Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: LANDERS, DAVID M.  
Covered Official Position (if applicable): N/A  
Name: SEIDEL, JOSEPH L.  
Covered Official Position (if applicable): N/A  
Name: WHALEN, MARY L.  
Covered Official Position (if applicable): N/A  
Name: WILLIAMS, MICHAEL W.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CREDIT SUISSE SECURITIES (USA) Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FDR (one per page)

16. Specific lobbying issues:

Legal issues related to Romania

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

State, Dept of (DOS)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: LANDERS, DAVID M.

Covered Official Position (if applicable): N/A

Name: SEIDEL, JOSEPH L.

Covered Official Position (if applicable): N/A

Name: WHALEN, MARY L.

Covered Official Position (if applicable): N/A

Name: WILLIAMS, MICHAEL W.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CREDIT SUISSE SECURITIES (USA) Client Name: Self

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

Implementation of sec. 911 Amendments/ 2006 Tax Reconciliation Bill Executive Compensation Provision (H.R. 2/ S.2)

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: LANDERS, DAVID M.

Covered Official Position (if applicable): N/A

Name: SEIDEL, JOSEPH L.

Covered Official Position (if applicable): N/A

Name: WHALEN, MARY L.

Covered Official Position (if applicable): N/A

Name: WILLIAMS, MICHAEL W.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CREDIT SUISSE SECURITIES (USA) Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRD (one per page)

16. Specific lobbying issues:

Reform of National Security Reviews of Foreign Direct Investment Act, H.R. 556 Foreign Investment and National Security Act, S.1610

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: LANDERS, DAVID M.

Covered Official Position (if applicable): N/A

Name: SEIDEL, JOSEPH L.

Covered Official Position (if applicable): N/A

Name: WHALEN, MARY L.

Covered Official Position (if applicable): N/A

Name: WILLIAMS, MICHAEL W.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Aug 10, 2007

Printed Name and Title: MARY LYNNE WHALEN, MANAGING DIRECTOR -

**Information Update Page:**

**Complete ONLY where registration information has changed.**

**LOBBYIST UPDATE**

**23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client**

**ISSUE UPDATE**

**24. General lobbying issues previously reported that NO LONGER pertain**

**AFFILIATED ORGANIZATIONS**

**25. Add the following organization(s)**

**26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client**

**FOREIGN ENTITIES**

**27. Add the following foreign entities**

**28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization**

Signature: ON FILE      Date: Aug 10, 2007

Printed Name and Title: -