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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Cornell University			
2. Address ☐ Check if different than previously reported Office Of Government Affairs, 114 Day Hall			
3. Principal Place of Business (if different from line 2) City: Ithaca State/Zip (or Country) N.Y. 14853-2801			
4. Contact Name Stephen Philip Johnson	Telephone 607/255-4344	E-mail (optional) spj2@cornell.edu	5. Senate ID # 10877-12
7. Client Name ☒ Self Stephen Philip Johnson	6. House ID # 32667000		

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☒

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date _____

11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000 ☐

\$10,000 or more ☐ ⇒ \$ _____
(Income (nearest \$20,000))

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000 ☐

\$10,000 or more ☐ ⇒ \$ 120,000.00
(Expenses (nearest \$20,000))

14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.

☒ Method A. Reporting amounts using L.D.A. definitions only

☐ Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature

Stephen Philip Johnson

Printed Name and Title

Stephen Philip Johnson, Asst Vice Pres. for Govt. Affairs

LD-2 (REV. 6/98)

PAGE 1 of 14

Registrant Name Cornell University Client Name Stephen Philip Johnson

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

Michael P. Voiland, Assistant Director for Government Affairs

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature

Stephen Philip Johnson

Date

2/12/01

Printed Name and Title

Stephen Philip Johnson, Asst. Vice Pres. for Gov't Affairs

Form LD-2 (Rev. 6/95)

Page 2 of 14

Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code AGR (one per page)

16. Specific lobbying issues
Rabies Control & Elimination Act (Draft)
Risk Management
Initiative for Future Ag & Food Systems - HR 3908
Fringe Benefit - Retirement System

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
United States Senate
U.S. Department of Agriculture

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Stephen Philip Johnson	Asst. Vice Pres., for Gov't Affairs	☐
Elizabeth K. LaPorte	Legislative Associate	☐
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		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Stephen Philip Johnson Date 2/12/01
Printed Name and Title Stephen Philip Johnson, Asst. Vice Pres. for Gov't Affairs

Form LD-2 (Rev. 6/98)

Page 3 of 14

Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

Agriculture - HR 4461, S 2536
Commerce - HR 4690
Energy - HR 4733
Foreign Ops - HR 4811, S 2522
Labor/HHS/ED - HR 4577, S 2553
Nat. Sec. Defense - HR 4576, S 2593
VA-HUD-IND Agency - HR 4635
Interior - HR 4578, S 2549

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Stephen Philip Johnson	Asst. Vice Pres. for Gov't Affairs	☐
Elizabeth K. LaPolt	Legislative Associate	☐
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		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature

Stephen Philip Johnson

Date

2/12/01

Printed Name and Title

Stephen Philip Johnson, Asst. Vice Pres. for Gov't Affairs

Form LD-2 (Rev. 6/98)

Page 4 of 14

Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

Initiative for Agriculture & Food Systems for Emergency Supplemental
Appropriation - S 3908

Issues of Higher Education, Research, Medicare, and Medicaid

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Stephen Philip Johnson	Asst. Vice Pres. for Gov't Affairs	☐
Elizabeth K. LaPorte	Legislative Associate	☐
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		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature Stephen Philip Johnson Date 2/12/01

Printed Name and Title Stephen Philip Johnson, Asst. Vice Pres. for Gov't Affairs

Form LD-2 (Rev. 6/98)

Page 5 of 14

Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CPI (one per page)

16. Specific lobbying issues

Consumer & Investor access to Education Act - HR 1858
Collection of Information Anti-Privacy Act - HR 354
Freedom of Use & Encryption - HR 850

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Stephen Philip Johnson	Asst. Vice Pres. for Gov't Affairs	☐
Elizabeth K. LaPolt	Legislative Associate	☐
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		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature

Stephen Philip Johnson

Date

2/12/01

Printed Name and Title

Stephen Philip Johnson, Asst. Vice Pres. for Gov't Affairs

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Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (one per page)

16. Specific lobbying issues

Disclosure of Fire Safety Standards - HR 3895
Technical Amendments, Higher Education Act

17. House(s) of Congress and Federal agencies contacted ☐ Check if None
U.S. House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Stephen Philip Johnson	Asst. Vice Pres., for Gov't Affairs	☐
Elizabeth K. LaPolt	Legislative Associate	☐
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19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Stephen Philip Johnson Date 2/12/01
Printed Name and Title Stephen Philip Johnson, Asst. Vice Pres. for Gov't Affairs

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Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

National Health Service Corps Taxation - HR 7; S-1134
Medicare Direct Graduate Medical Education - HR 5003, HR 5089
Labor/HHS/ED Appropriation - HR 4377, S 2553
Amendment to Title 18 of Social Security Act to Stabilize Indirect Graduate Medical Education Payments - S 1023, S 2394, HR 4239
Medical Education Trust Fund-Financial Aid for Graduate Medical Education - HR 1225
Amendment to Title 18 of Social Security Act to Revise the Update Factor in Payment to PPS Hospitals - S 2018, HR 3580

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

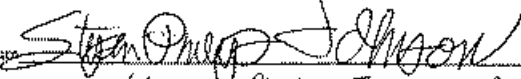
U.S. House of Representatives
United States Senate

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Name	Covered Official Position (if applicable)	New
Stephen Philip Johnson	Asst. Vice Pres. for Gov't Affairs	☐
Elizabeth K. LaPolt	Legislative Associate	☐
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19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature



Date

2/12/01

Printed Name and Title

Stephen Philip Johnson, Asst. Vice Pres. for Gov't. Affairs

Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues Disproportionate Share - HR 3698, S 3710, S 2299, S 2308
National Health Service Corps - HR 1414, S 288
Health Care Research & Quality Act - S 326
Carve Out Payments for Medicare Choice Organizations - HR 1103
Innovation Tax Credits - S 1010, HR 2488
State Disproportionate Share - S 2299, HR 3698, HR 3710
Consumer Health & Research Technology Act - HR 2455
Teaching Hospital Preservation Act 1268 - HR 4431
Stabilize Indirect Graduate Medical Education Payments - HR 1285

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Stephen Philip Johnson	Asst. Vice Pres. for Gov't Affairs	☐
Elizabeth K. LaPolt	Legislative Associate	☐
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19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Stephen Philip Johnson Date 2/12/01
Printed Name and Title Stephen Philip Johnson, Asst. Vice Pres for Gov't Affairs

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Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific lobbying issues

Amendment to Immigration & National Act - HR3985
Amendment to Immigration & National Act - S 2045
Amendment to Immigration & National Act - HR 4227

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Stephen Philip Johnson	Asst. Vice Pres., for Gov't Affairs	☐
Elizabeth K. LaPolt	Legislative Associate	☐
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19. Interest of each foreign entity in the specific issues listed on Enc 16 above

☒ Check if None

Signature



Date

2/12/01

Printed Name and Title

Stephen Philip Johnson, Asst. Vice Pres. for Gov't. Affairs

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Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code NNM (one per page)

16. Specific lobbying issues

Direct & Indirect Graduate Medical Education

17. House(s) of Congress and Federal agencies contacted

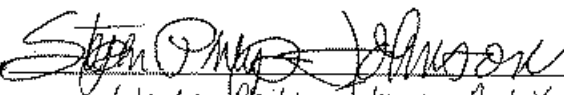
☐ Check if None

U.S. House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Stephen Philip Johnson</u>	<u>Aast. Vice Pres. for Gov't Affairs</u>	☐
<u>Elizabeth K. LaPolt</u>	<u>Legislative Associate</u>	☐
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		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature  Date 2/12/01

Printed Name and Title Stephen Philip Johnson, Asst. Vice Pres. for Gov't Affairs

Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code SCT (one per page)

16. Specific lobbying issues
USDA Appropriations - HR 4661, S 2563
Commerce Appropriations - HR 4690
Energy Appropriations - HR 4733
Foreign Operations Appropriations - S 2522
Labor/HHS/Education Appropriations - HR 4577, S 2553
National Security Appropriations - HR 4576, S 2593
VA/HUD-IND Agencies Appropriations - HR 4653

17. House(s) of Congress and Federal agencies contacted ☐ Check if None
U.S. House of Representatives
United States Senate
National Science Foundation
U.S. Department of Agriculture

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Stephen Philip Johnson	Asst. Vice Pres. for Gov't Affairs	☐
Elizabeth K. LaPolt	Legislative Associate	☐
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		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Stephen Philip Johnson Date 2/12/01
Printed Name and Title Stephen Philip Johnson, Asst. Vice Pres. for Gov't Affairs

Form 1, 0-2 (Rev. 01/98)

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Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page)

16. Specific lobbying issues

NSF Reauthorization - HR 4491, HR 4500
Stem Cell Research
Federal Research Investment - S 2217, S 296, HR 3161
Initiative for Future Agriculture & Food Systems, Emergency Appropriation - HR 3908
International Traffic in Arms Regulation (ITAR)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None
U.S. House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Stephen Philip Johnson	Asst. Vice Pres. for Gov't Affairs	☐
Elizabeth K. LaPolt	Legislative Associate	☐
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19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Stephen Philip Johnson Date 2/12/01
Printed Name and Title Stephen Philip Johnson, Asst. Vice Pres. for Gov't Affairs

Form 127-2 (Rev. 6/98)

Page B of 14

Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

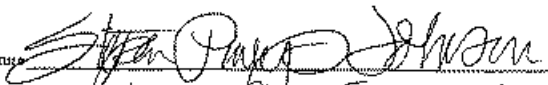
IRA Charitable Rollover Incentive - HR 1311, S 1086
Education Savings Accts/Pre-Paid Tuition Plans - HR 7
Employer Provided Education Assistance - S 211, HR 1014
College Opportunity Tax Act
Student Loan Interest Deduction - HR 2141
IRS Code Tax Free Distribution from Qualified Plan - HR 1446

17. House(s) of Congress and Federal agencies contacted ☐ Check if None
U.S. House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Stephen Philip Johnson	Asst., Vice Pres. for Gov't Affairs	☐
Elizabeth K. LaPolt	Legislative Associate	☐
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		☐
		☐
		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature  Date 2/12/01
Printed Name and Title Stephen Philip Johnson, Asst. Vice Pres. for Gov't Affairs

Form 4, D-2 (Rev. 6/98)

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