

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name The ESOP Association			
2. Address <input type="checkbox"/> Check if different than previously reported 1726 M Street, N.W. - Suite 501 - Washington, D.C. 20036			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name Gwenn E. Rosenthal	Telephone 202/293-2971	E-mail (optional) esop@esopassociation.org	5. Senate ID #
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID #

TYPE OF REPORT 8. Year 2003 Midyear (January 1-June 30) OR Year End (July 1-December)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇔ Termination Date _____

11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇔ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇔ \$ <u>80,000</u> Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of method.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitive method</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code</p>
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Signature 

Printed Name and Title J. Michael Keeling, President

LD-2 (REV. 6/98)

Registrant Name The ESOP Association Client Name The ESOP Association

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, p information as requested. Attach additional page(s) as needed.

15. General issue area code GOV (one per page)

16. Specific lobbying issues

Congressional legislation in relationship to ESOPs, employee ownership, ESOPs & employee ownership issues relative to H.R. 1000, or 1778 & general-ly all legislative proposals to amend the Employee Retirement Income Security Act of 1974. In the regulatory area, the IRS & Department of Labor positions affecting ESOPs.

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives, U.S. Senate, Office of Management & Budget, Department of Treasury, Internal Revenue Service, Department of Labor and the Office of the President.

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
J. Michael Keeling	President, The ESOP Association

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date July 31, 2003

Printed Name and Title J. Michael Keeling, President

Form LD-2 (Rev. 6/98)

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15. General issue area code RET (one per page)

16. Specific lobbying issues

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Name	Covered Official Position (if applicable)
J. Michael Keeling	President, The ESOP Association

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

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