MANAGED FUNDS ASSOCIATION

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January 4, 2007

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

Re: Lobbying Disclosure Act Registration

Dear Sir or Madam:

Enclosed is a Lobbying Registration Form LD-1 for Managed Funds Association ("MFA"), to be effective as of January 1, 2007. This is an initial registration for MFA. Please note, however, that MFA has previously reported its lobbying activities as a client of registrant Smith, Bucklin & Associates, Inc., which provides administrative and staffing services to MFA.

In the past year, MFA has increased its internal staff devoted to government relations activities and has increased its lobbying activities. In view of this, MFA has concluded that it is appropriate for it to begin reporting these activities directly, as the registrant, rather than as the client of Smith, Bucklin & Associates, Inc. While Smith, Bucklin & Associates, Inc. continues to provide administrative services to MFA, all of the staff members who conduct lobbying activities on behalf of MFA are exclusively devoted to working for, and are directly supervised by, MFA. Accordingly, MFA believes that self-reporting its lobbying activities, as registrant, will accurately reflect the manner in which these activities are conducted by MFA, and will be consistent with both the letter and the spirit of the Lobbying Disclosure Act.

It is important to note that this change in the manner in which MFA reports its lobbying activities will not result in any activities having been unreported for any period. All of MFA activities have been accurately reported in prior periods as a client of Smith, Bucklin & Associates, Inc., including the second half of 2006. This new registration will simply result in MFA reporting its activities directly in the reporting periods beginning January 1, 2007, and thereafter.

Please feel free to contact us if you have any questions about this filing.

Sincerely,

John G. Gaine

John G. Gaine
President

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Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

RECEIVED,
SECRETARY OF THE SENATE

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LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

Check if this is an Amended Registration ☐

1. Effective Date of Registration 01/01/2007

2. House Identification Number ______ Senate Identification Number ______

REGISTRANT

3. Registrant name Managed Funds Association

Address 2025 M Street, N.W.

City Washington State DC Zip 20036 USA

4. Principal place of business (if different than line 3)

City State Zip

5. Telephone number and contact name

202-367-1140 Contact Mr. John G. Gaine E-mail JGG@mfainfo.org

6. General description of registrant's business or activities

Financial Services Trade Association

CLIENT

*A Lobbying firm is required to file a separate registration for each client. **Organizations employing in-house lobbyists** should check the box labeled "Self" and proceed to line 10.* ☒ *Self*

7. Client name Managed Funds Association

Address

City State Zip

8. Principal place of business (if different than line 7)

City State Zip

9. General description of client's business or activities

LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any person listed section has served as a "covered executive branch official" or "covered legislative branch official" within two years of first ac a lobbyist for the client, *state the executive and/or legislative position(s) in which the person served.*

Name		Covered Official Position (if applicable)
John	Gaine	
Lisa	McGreevy	
Stephanie	Pries	
Jennifer	Han	
Robert	Clark	

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Registrant Name Managed Funds Association   Client Name Managed Funds Association

LOBBYING ISSUES

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, page 1.

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12. Specific lobbying issues (current and anticipated)

Possible legislative and regulatory actions affecting hedge funds. Reauthorization of the Commodity Exchange Act. Possible legislation and regulation affecting the U.S. capital markets, securities and commodities exchanges and self-regulatory organizations. Possible tax and pension legislation.

AFFILIATED ORGANIZATIONS

13. Is there an entity other than the client that contributes more than $10,000 to the lobbying activities of the registrant in a semiannual period **and** in whole or in major part plans supervises or controls the registrant's lobbying activities?

☒ No ⇨ Go to line 14.   ☐ Yes ⇨ Complete the rest of this section for each entity matching the criteria above, then proceed to line 14.

Name	Address	Principal place of Business (city and state or country)

FOREIGN ENTITIES

14. Is there any foreign entity that:

a) holds at least 20% equitable ownership in the client or any organization identified on line 13: **or**

b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidizes activiti the client or any organization identified on line 13; **or**

c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of the lobbying activity?

☒ No ⇨ Sign and date the registration.   ☐ Yes ⇨ Complete the rest of this section for each entity matching the criteria above, then sign and date the registration.

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Owner percen in clie

Edit Form > File with Hou

Senate Password File with Sen

Signature John G Gaine Digitally signed by John G Gaine DN: cn=John G Gaine, c=US, o=DST ACES Business Representative, ou=ACES TrustID Business Certificate Date: 2007.01.04 16:03:28 -05'00'   Date 1/4/2007

Printed Name and Title John G. Gaine, President

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Registrant Name Managed Funds Association

Client Name Managed Funds Association

ADDITIONAL LOBBYISTS

10 Supplemental. List any additional lobbyists for this client not listed on page 1, number 10.

Name	Covered Official Position (if applicable)

ADDITIONAL LOBBYING ISSUES

11 Supplemental. General lobbying issue areas. Enter any additional codes for issues not listed on page 2, number 11.

AFFILIATED ORGANIZATIONS

13 Supplemental. List any other affiliated organization that meets the criteria specified and is not listed on page 2, number 13

Name	Address	Principal place of Business (city and state or country)

ADDITIONAL FOREIGN ENTITIES

14 Supplemental. List any other foreign entity that meets the criteria specified and is not listed on page 2, number 14.

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Owners percentage

Signature *Document digitally signed on Page 2.*

Date 1/4/2007

Printed Name and Title John G. Gaine, President

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