



SECRETARY OF THE  
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April 10, 2003

Secretary of the Senate  
Office of Public Records  
232 Hart Senate Office Building  
Washington, DC 20510

To Whom It May Concern:

Enclosed is our "amended" Lobbying Report for the Year End Period July 1-December 31 2002. We are submitting this amended report because we omitted a lobbyist's name under the "Tax" code on page 17. We are also providing page 1 reflecting our amendment. No other changes were made to this report.

If you have any questions, please contact me directly at 414-665-3838. Thank you.

Sincerely,

A handwritten signature in cursive script that reads "Marita D. Green".

Marita D. Green  
Executive Assistant for  
Michael L. Youngman  
Vice President, Corporate & Government Relations

Enclosure



SECRETARY OF  
03 APR 17

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

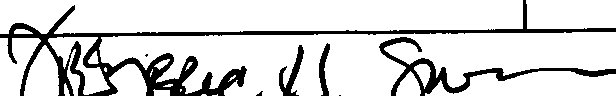
1. Registrant Name Northwestern Mutual Life Insurance Co.			
2. Address <input type="checkbox"/> Check if different than previously reported 720 East Wisconsin Ave.			
3. Principal Place of Business (if different from line 2) City: Milwaukee State/Zip (or Country) Wisconsin 53202			
4. Contact Name Frederic H. Sweet	Telephone (414)665-7319	E-mail (optional)	5. Senate ID # 29607-1
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 3129600

**TYPE OF REPORT** 8. Year 2002 Midyear (January 1-June 30)  OR Year End (July 1-De
9. Check if this filing amends a previously filed version of this report 10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_

11. No Lobbyir

**INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

<p align="center"><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center"><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this re period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>1,440,000</u> Expenses (nearest \$20</p> <p><b>14. REPORTING METHOD.</b> Check box to indica accounting method. See instructions for description</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA defu</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 1 Internal Revenue Code</p>
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Northwestern Mutual  
Registrant Name Life Insurance Co. Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and attach additional information as requested. Attach additional page(s) as needed.

15. General issue area code BANK (one per page)

16. Specific lobbying issues

See attachment

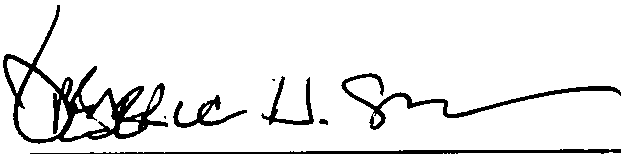
17. House(s) of Congress and Federal agencies contacted  Check if None

US Senate  
US House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Frederic H. Sweet	.....
Michael L. Youngman	.....
Steven M. Radke	.....
Douglas P. Bates	.....
.....	.....
.....	.....
.....	.....

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature 

Date 2/13/2003

Printed Name and Title Frederic H. Sweet, Senior Vice President

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Page

BNK end year 2002

S. 220 – Bankruptcy Reform Act of 2001, All matters pertaining to life insurers

S. 420 – Bankruptcy Reform Act of 2001, All matters pertaining to life insurers

HR 333 – Bankruptcy Abuse Prevention and Consumer Protections Act of 2001, All matters pertaining to life insurers



Northwestern Mutual  
Registrant Name Life Insurance Co. Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

See attachment


17. House(s) of Congress and Federal agencies contacted  Check if None

US Senate  
US House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Frederic H. Sweet	
Michael L. Youngman	
Steven M. Radke	
Douglas P. Bates	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 2/13/2003

Printed Name and Title Frederic H. Sweet, Senior Vice President

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**Financial end year 2002**

**S.30 -- Financial Information Privacy Protection Act of 2001 1/22/01 (Sarbanes) A bill to strengthen control by consumers over the use and disclosure of their personal financial and health information by financial institutions.**

**S.324 -- Social Security Number Privacy Act of 2001 2/14/01 (Shelby) A bill to amend the GLB Act, to prohibit the sale and purchase of the SSN of an individual by financial institutions, to include SSN's in the definition of nonpublic personal information.**

**S.450 -- Financial Institution Privacy Protection Act of 2001 3/1/2001 (Nelson, D-FL) A bill to amend the Gramm-Leach-Bliley Act to provide for enhanced protection of nonpublic personal information, including health information.**

**S.630 -- Controlling the Assault of Non-Solicited Pornography and Marketing Act of 2001 or CAN SPAM Act of 2001 3/27/01 (Burns, Wyden)**

**S.848 - - Social Security Number Misuse Prevention Act of 2001. A bill to amend title 18, United States Code, to limit the misuse of social security numbers, to establish criminal penalties for such misuse, and for other purposes.**

**S. 2600 -- Terrorism Risk Insurance Act of 2002. The purpose of this Act is to establish a temporary Federal program that provides for a transparent system of shared public and private compensation for insured losses resulting from acts of terrorism.**

**S. 2673 – Public Company Accounting Reform and Investor Protection Act of 2002. A bill to improve quality and transparency in financial reporting and independent audits and accounting services for public companies, to create a Public Company Accounting Oversight Board, to enhance the standard setting process for accounting practices, to strengthen the independence of firms that audit public companies, to increase corporate responsibility and the usefulness of corporate financial disclosure, to protect the objectivity and independence of securities analysts, to improve Securities and Exchange Commission resources and oversight, and for other purposes.**

**S.3100 - - Social Security Number Misuse Prevention Act of 2002. A bill to amend title 18, United States Code, to limit the misuse of social security numbers, to establish criminal penalties for such misuse, and for other purposes.**

**HR.91 -- Social Security On-line Privacy Protection Act 1/3/01 (Rep. Frelinghuysen, R-NJ) A bill to regulate the use by interactive computer services of Social Security account numbers and related personally identifiable information.**



HR.718 -- Unsolicited Commercial Electronic Mail Act of 2001 2/14/01 (Rep. Wilson, NM) A bill to protect individuals, families, and Internet service providers from unsolicited and unwanted electronic mail.

HR.1017 -- Anti-Spamming Act of 2001 3/14/01 (Rep. Goodlatte, R-VA) A bill to prohibit the unsolicited e-mail known as "spam".

HR.1408 -- Structured Settlement Protection Act 4/4/01 (Rep. Shaw, R-FL) A bill to amend the Internal Revenue Code of 1986 to impose an excise tax on persons who acquire structured settlement payments in factoring transactions.

HR.1478 -- Personal Information Privacy Act of 2001 4/4/01 (Rep. Kleczka D-WI) A bill to protect the privacy of the individuals with respect to the Social Security number and other personal information, and for other purposes.

HR.2036 -- Social Security Number Privacy and Identity Theft Prevention Act of 2001 5/28/01 (Rep. Shaw, R-FL). A bill to amend the Social Security Act to enhance privacy protections for individuals, to prevent fraudulent misuse of the Social Security account number, and for other purposes.

HR.2341 -- Class Action Fairness Act of 2001 6/27/01 (Goodlatte, Boucher). To amend the procedures that apply to consideration of interstate class actions to assure fairer outcomes for class members and defendants.

HR.2421 -- Jurisdictional Certainty Over Digital Commerce Act 6/28/01 (Stearns, R-FL) To exercise authority under article I, section 8, clause 3 of the Constitution of the United States to clearly establish jurisdictional boundaries over the commercial transactions of digital goods and services conducted through the Internet, and to foster stability and certainty over the treatment of such transactions.

HR 3210 -- Terrorism Risk Insurance Act of 2002. The purpose of this Act is to establish a temporary Federal program that provides for a transparent system of shared public and private compensation for insured losses resulting from acts of terrorism.

HR 3763 – Corporate and Auditing Accountability, Responsibility, and Transparency Act of 2002. The bill establishes the Public Company Accounting Oversight Board to: (1) oversee the audit of public companies that are subject to the securities laws; (2) establish audit report standards and rules; and (2) investigate, inspect, and enforce compliance relating to registered public accounting firms, associated persons, and the obligations and liabilities of accountants.

HR 3766 – Insurance Industry Modernization and Consumer Protection Act. A bill to: (1) provide for the chartering of national insurers; (2) provide for the licensing of national insurers; (3) provide for the regulation of the underwriting and sale of insurance and other insurance operations as conducted by national insurers and insurance producers; and



(4) provide for the establishment of the Office of National Insurers and the position of Director of the Office of National Insurers with responsibility for administering and enforcing this Act, including with respect to such chartering, licensing, and regulatory activities.

HR 5095 - - American Competitiveness and Corporate Accountability Act of 2002.  
Accounting and governance issues.

Sarbanes-Oxley Proposed Rules, Release #34-46701 (Financial Expert, Code of Ethics, Internal Controls)

Customer Identification Program Proposed Rules, RIN 1506-AA32 (Dept. of Treasury),  
Release #34-46192 (SEC)

Amendments to Exchange Act Rules 17a-3 and 17a-4 (New books and records requirements for broker-dealers)[Securities and Exchange Commission Release N. 34-44992, File No. S7-26-98]



Registrant Name Northwestern Mutual Life Insurance Co. Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide the information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues

See attachment

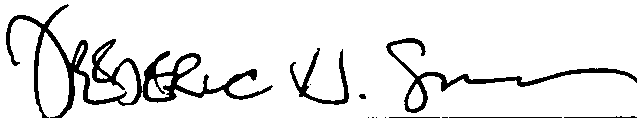
17. House(s) of Congress and Federal agencies contacted  Check if None

US Senate  
US House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Frederic H. Sweet	
Michael L. Youngman	
Steven M. Radke	
Douglas P. Bates	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 2/13/2003

Printed Name and Title Frederic H. Sweet, Senior Vice President

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INS end year 2002

S.19 --Protecting Civil Rights for All Americans Act (Daschle)

S. 30 --Financial Information Privacy Protection Act of 2001 (Sarbanes) A bill to strengthen control by consumers over the use and disclosure of their personal financial and health information by financial institutions.

S.283 --Bipartisan Patient Protection Act of 2001 (McCain, Kennedy)

S.318 --Genetic Nondiscrimination in Health Insurance and Employment Act (Daschle) A bill to prohibit discrimination on the basis of genetic information with respect to health insurance.

S. 324 --Social Security Number Privacy Act of 2001 (Shelby) A bill to amend the GLBA Act, to prohibit the sale and purchase of the SSN of an individual by financial institutions, to include SSN's in the definition of nonpublic personal information.

S.382 --Genetic Information Nondiscrimination in Health Insurance Act of 2001 (Snowe, DeWine)

S. 450 --Financial Institution Privacy Protection Act of 2001 (Nelson, D-FL) A bill to amend the Gramm-Leach-Bliley Act to provide for enhanced protection of nonpublic personal information, including health information.

S.451 --Social Security Number Protection Act of 2001 (Nelson, D-FL) A bill to establish civil and criminal penalties for the sale or purchase of a social security number.

S. 543 Mental Health Equitable Treatment Act of 2001, a bill to provide for equal coverage of mental health benefits with respect to health insurance coverage unless comparable limitations are imposed on medical and surgical benefits. (Domenici)

S.627 --Long-Term Care and Retirement Security Act of 2001 Grassley, Baucus

S. 630 --Controlling the Assault of Non-Solicited Pornography and Marketing Act of 2001 or CAN SPAM Act of 2001 3/27/02 (Burns, Wyden)

S.848 --Social Security Number Misuse Prevention Act of 2001 Feinstein, Gregg) A bill to amend title 18, U.S. Code, to limit the misuse of Social Security numbers, to establish criminal penalties for such misuse.

S.889 --Bipartisan Patients' Bill of Rights Act of 2001 (Frist, Breaux, Jeffords)



**S.1014 --Social Security Number Privacy and Identity Theft Prevention Act of 2001 (Bunning, R-KY) A bill to amend the Social Security Act to enhance privacy protections for individuals, to prevent fraudulent misuse of the Social Security account number.**

**S.1052 --Bipartisan Patient Protection Act (McCain, Kennedy)**

**S.1055 -- Privacy Act of 2001 (Feinstein) A bill to require the consent of an individual prior to the sale and marketing of such individual's personally identifiable information.**

**S.1164 --Location Privacy Protection Act (Edwards) A bill to provide for the enhanced protection of the privacy of location information of users of location-based services and applications, and for other purposes; to the Committee on Commerce, Science, and Transportation.**

**S.1399 - Identity Theft Prevention Act of 2001 09/04/01 (Feinstein) A bill to prevent identity theft, and for other purposes.**

**S.1588 - Health Insurance Portability and Accountability Act of 1996 (Sen Craig R-ID) A bill to provide a 1-year extension of the date for compliance by certain covered entities with the administrative simplification standards for electronic transactions and code sets issued in accordance with the Health Insurance Portability and Accountability Act of 1996.**

**S.1684 - Health Insurance Portability and Accountability Act of 1996 (Sen. Dorgan, D-ND) A bill to provide a 1-year extension of the date for compliance by certain covered entities with the administrative simplification standards for electronic transactions and code sets issued in accordance with the Health Insurance Portability and Accountability Act of 1996**

**S. 1995 Genetic Information Nondiscrimination Act of 2002 A bill to prohibit discrimination on the basis of genetic information with respect to health insurance and employment. (Snowe)**

**S. 2201 Online Personal Privacy Act --A bill to protect the online privacy of individuals who use the Internet. (Hollings)**

**S.3100 - - Social Security Number Misuse Prevention Act of 2002. A bill to amend title 18, United States Code, to limit the misuse of social security number to establish criminal penalties for such misuse, and for other purposes.**

**HR.89 --Online Privacy Protection Act of 2001 1/3/01 (Rep. Frelinghuysen, R-NJ) A bill to require the FTC to prescribe regulations to protect the privacy of personal information collected from and about individuals who are not covered b**



the Children's Online Privacy Protection Act of 1998 on the Internet, to provide greater individual control over the collection and use of that information.

HR 91 - - Social Security On-Line Privacy Protection Act (Rep. Frelinghuysen, R-NJ) A bill to regulate the use of interactive computer services of Social Security account numbers and related personally identifiable information.

HR.112 --Electronic Privacy Protection Act 1/3/01 (Rep. Holt) A bill to prohibit the making, importation, exportation, distribution, sale, offer for sale, installation or use of an information collection device without proper labeling or notice and consent.

HR.260 -- Wireless Privacy Protection Act of 2001 (Rep. Frelinghuysen, R-NJ) A bill to require customer consent to the provision of wireless call location information.

HR.347 --Consumer Online Privacy and Disclosure Act (Rep. Green, D-TX) A bill to require the FTC to prescribe regulations to protect the privacy of personal information collected from and about individuals on the Internet, to provide greater individual control over the collection and use of that information.

HR 526 --Bipartisan Patient Protection Act Of 2001 (Ganske) A bill to amend the Public Health Service Act, the employee Retire Income Security Act of 1974, and the Internal Revenue code of 1986 to protect consumers in managed care plans and other health coverage.

HR.583 --Privacy Commission Act (Rep. Hutchinson, R-AK) A bill to establish the Commission for the Comprehensive Study of Privacy Protection.

HR. 602 --Genetic Non discrimination in Health Insurance and Employment Act (Slaughter) A bill to prohibit discrimination on the basis of genetic information with respect to health insurance.

HR 718 - - Unsolicited Commercial Electronic Mail Act of 2001 2/14/01 (Rep. Wilson, R-NM) A bill to protect individuals, families, and Internet service providers from unsolicited and unwanted electronic mail.

HR 1017 - - Anti-Spamming Act of 2001 (Rep. Goodlatte, R-VA) A bill to prohibit the unsolicited e-mail know as "spam".

HR. 1215 --Medical Information Protection and Research Enhancement Act of 2001 (Greenwood) A bill to ensure confidentiality with respect to medical record and health care- related information, and for other purposes.

HR 1332 - - The Business Method Patent Improvement Act of 2001 (Berman, Boucher).



HR.1408 - Structured Settlement Protection Act (Rep. Shaw, R-FL) A bill to amend the Internal Revenue Code of 1986 to impose an excise tax on persons who acquire structured settlement payments in factoring transactions

HR 1478 - - Personal Information Privacy Act of 2001 (Rep. Kleczka, D-WI) A bill to protect the privacy of the individuals with respect to the Social Security number and other personal information, and for other purposes.

HR 2036 - - Social Security Number Privacy and Identity Theft Prevention Act of 2001 (Rep. Shaw, R-FL) A bill to amend the Social Security Act to enhance privacy protections for individuals, to prevent fraudulent misuse of Social Security account number, and for other purposes.

HR. 2315 --Patients' Bill of right Act of 2001 (Fletcher) A bill to protect consumers in managed care plans in other health coverage.

HR 2341 - - Class Action Fairness Act of 2001 (Goodlatte, Boucher) To amend the procedures that apply to consideration of interstate class actions to assure fairer outcomes for class members and defendants.

HR 2421 -- Jurisdictional Certainty Over Digital Commerce Act (Stearns, R-FL) to exercise authority under article I, section 8, clause 3 of the Constitution of the United States to clearly establish jurisdictional boundaries over the commercial transaction of digital goods and services conducted through the Internet and to foster stability and certainty over the treatment of such transactions.

H.R.3068 - Financial Privacy and National Security Enhancement Act 10/09/01 (Rep. Ney, R-OH) A bill to establish a Presidential Commission to strengthen and improve financial privacy and national security.

H.R.3323 – Social Security Act of 2001 11/16/01 (Hobson) A bill to ensure that covered entities comply with the standards for electronic health care transactions and code sets adopted under part C of title XI of the Social Security Act, and for other purposes.

H.R. 4678 Consumer Privacy Protection Act of 2002 --To protect and enhance consumer privacy, and for other purposes. (Stearns)

P.L.107-105 – Social Security Act of 2001 (Hobson) A bill to ensure that covered entities comply with the standards for electronic health care transactions and code sets adopted under part C of title XI of the Social Security Act, and for other purposes.

Standards for Privacy of Individually Identifiable Health Information, 45 CFR Parts 160 and 164.



National Insurer Solvency Act

Revenue Ruling 2002-62, Substantially Equal Periodic Payments.

§403(b) Regulation Project



Northwestern Mutual  
Registrant Name Life Insurance Co. Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific lobbying issues

See attachment

17. House(s) of Congress and Federal agencies contacted  Check if None

US Senate  
US House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Frederic H. Sweet	
Michael L. Youngman	
Steven M. Radke	
Douglas P. Bates	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 2/13/2003

Printed Name and Title Frederic H. Sweet, Senior Vice President

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RET end Year 2002

S.627 – Long-Term Care and Retirement Security Act of 2001 (Sen Grassley, Charles E.) A bill to amend the Internal Revenue Code of 1986 to allow individuals a deduction for qualified long-term care insurance premiums, use of such insurance under cafeteria plan and flexible spending arrangements, and a credit for individuals with long-term care needs.

S.1971 -- National Employee Savings and Trust Equity Guarantee Act (Sen Grassley, Charles E.) A bill to amend the Internal Revenue Code of 1986 and the Employee Retirement Income Security Act of 1974 to protect the retirement security of American workers by ensuring that pension assets are adequately diversified and by providing workers with adequate access to, and information about, their pension plans, and for other purposes.

S.1978 -- Retirement Security Advice Act of 2002 (Sen Hutchinson, Tim) A bill to amend title I of the Employee Retirement Income Security Act of 1974 and the Internal Revenue Code of 1986 to promote the provision of retirement investment advice to workers managing their retirement income assets.

S.1992 -- Protecting America's Pensions Act of 2002 (Sen Kennedy, Edward M.) A bill to amend the Employee Retirement Income Security Act of 1974 to improve diversification of plan assets for participants in individual account plans, to improve disclosure, account access, and accountability under individual account plans, and for other purposes.

S.2707 -- Women's Pension Protection Act of 2002 (Sen Kennedy, Edward M.) A bill to amend the Employee Retirement Income Security Act of 1974 and the Internal Revenue Code of 1986 to provide comprehensive pension protection for women.

H.R.831 -- Long-Term Care and Retirement Security Act of 2001 (Rep Johnson, Nancy L.) To amend the Internal Revenue Code of 1986 to allow individuals a deduction for qualified long-term care insurance premiums, use of such insurance under cafeteria plan and flexible spending arrangements, and a credit for individuals with long-term care needs.

HR.1731 --Social Security Earnings Limit Repeal Act of 2001 (Rep. Sessions, R-TX) To amend title II of the Social Security Act to eliminate the earnings test for individuals who have attained age 62.

HR.2110 --Retirement Security Act of 2001 (Rep. Petri R-WI) A bill to provide for the establishment and maintenance of personal Social Security investment accounts under the Social Security system.

HR.2134 --(Rep. Sanders, I-VT) A bill to amend title IV of the Employee Retirement Income Security Act of 1974 to increase the phase-in limitation applicable to the



guarantee under such title of benefit improvements made prior to plan termination.

**HR.2269 --Retirement Security Advice Act of 2001 (Boehner)** To amend title I of the Employee Retirement Income Security Act of 1974 and the Internal Revenue Code of 1986 to promote the provision of retirement investment advice to workers managing their retirement income assets.

**H.R.3535 -- Social Security Ownership and Guarantee Act of 2001 (Rep DeMint, Jim)** To amend the Social Security Act and the Internal Revenue Code of 1986 to preserve and strengthen the Social Security Program through the creation of individual Social Security accounts ensuring full benefits for all workers and their families, giving Americans ownership of their retirement, restoring long-term Social Security solvency, and for other purposes.

**H.R.3669 -- Employee Retirement Savings Bill of Rights (Rep Portman, Rob)** To amend the Internal Revenue Code of 1986 to empower employees to control their retirement savings accounts through new diversification rights, new disclosure requirements, and new tax incentives for retirement education.

**H.R.3762 -- Pension Security Act of 2002 (Rep Boehner, John A.)** To amend title I of the Employee Retirement Income Security Act of 1974 and the Internal Revenue Code of 1986 to provide additional protections to participants and beneficiaries in individual account plans from excessive investment in employer securities and to promote the provision of retirement investment advice to workers managing their retirement income assets, and to amend the Securities Exchange Act of 1934 to prohibit insider trades during any suspension of the ability of plan participants or beneficiaries to direct investment away from equity securities of the plan sponsor.

**H.R.4931 -- Retirement Savings Security Act of 2002 (Rep Portman, Rob)** To provide that the pension and individual retirement arrangement provisions of the Economic Growth and Tax Relief Reconciliation Act of 2001 shall be permanent.

**H.R.4946 --** To amend the Internal Revenue Code of 1986 to provide health care incentives. (Rep Hayworth, J. D.)



Registrant Name Northwestern Mutual Life Insurance Co. Client Name Self

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

See attachment


17. House(s) of Congress and Federal agencies contacted  Check if None

US Senate  
US House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Frederic H. Sweet	
Michael L. Youngman	
Steve M. Radke	
Douglas P. Bates	
Kathleen H. Schluter	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 4/4/2003

Printed Name and Title Frederic H. Sweet, Senior Vice President

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TAX end year 2002

S. 232 – (Cleland) A bill to amend the Internal Revenue Code of 1986 to exclude United States savings bond income from gross income if used to pay long-term care expenses.

S. 676-- (Sen Hatch, Orrin G.) A bill to amend the Internal Revenue Code of 1986 to extend permanently the subpart F exemption for active financing income.

S.795 -- (Thompson, Conrad) A bill to amend the Internal Revenue Code of 1986 to allow tax consolidation of life insurance companies with other companies.

S.992 -- (Nickles, Conrad) A bill to amend the Internal Revenue Code of 1986 to repeal the provision taxing policy holder dividends of mutual life insurance companies and to repeal the policyholders surplus account provisions.

HR 3 – Economic Growth and Tax Relief Act of 2001.

HR.661 --Life Insurance Tax Simplification Act of 2001 (Rep. Houghton, R-NY) A bill to repeal the provision taxing policyholder dividends of mutual life insurance companies and to repeal the policyholders surplus account provisions.

HR 909 – (Crane) A bill to amend the Internal Revenue Code of 1986 to allow tax consolidation of life insurance companies with other companies.

HR. 1357-- (McCrery) To amend the Internal Revenue Code of 1986 to permanently extend the subpart F exemption for active financing income.

HR.1514 --Structured Settlement Protection Act (Rep. Shaw, R-FL) A bill to amend the Internal Revenue Code of 1986 to impose an excise tax on persons who acquire structured settlement payments in factoring transactions.

HR.1675 --Internet Tax Nondiscrimination Act (Rep. Cox R-CA) A bill to permanently extend the moratorium enacted by the Internet Tax Freedom Act.

HR.1755 --Reinsurance Tax Equity Act of 2001 (Rep. Johnson R-CT, Rep. Neal, D-GA) A bill to amend the Internal Revenue Code of 1986 to prevent the use of reinsurance with foreign persons to enable domestic nonlife insurance companies to evade U.S. income taxation.

HR.1719 -- (Rep. Lewis D-GA) A bill to amend the Internal Revenue Code of 1986 to exclude United States savings bond income from gross income if used to pay long-term care expenses.

HR.1836 --2001 Tax RELIEF Act

H.R. 2884 – the Victims of Terrorism Tax Relief Act of 2001 – Provides tax relief to the

victims and families hurt by the terrorist attacks of September 11. Provides tax

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clarification for insurers that provide structured settlements that may be subsequently factored.

H.R. 3320 – the Lifetime Annuity Payout Act. – Imposes a lower tax rate on annuity benefits provided the payments are guaranteed for the life of the beneficiary.

H.R. 3529 – The Economic Security and Worker Assistance Act of 2001 – Provides tax incentives intended to stimulate economic recovery. Contains three-year suspension of Sec. 809 and five-year extension of the active financing income exception from subpart F.

HR 5095 - - American Competitiveness and Corporate Accountability Act of 2002 accounting and governance issues. Taxation of non-qualified deferred compensation plans.

H.R. 5166 – To simplify the Internal Revenue Code of 1906. Various tax issues governing life insurance products.

Proposed Regulations on Split Dollar Arrangements

Senate proposal – to repeal § 132 of the 1978 Revenue Act – moratorium on Treasury regulations on deferred compensation plans.

House proposal – to repeal § 132 of the 1978 Revenue Act

Sarbanes-Oxley Act of 2002 – Prohibition of personal loan to executive

