

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

RE  
SECRETAR  
PUBL

### LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers are Required to Complete This Page 01 AUG

1. Registrant Name Capitol Associates, Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 426 C Street, NE, Washington, DC 20002			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name Debra M. Hardy Havens	Telephone (202) 544-1880	E-mail (optional) dh@capitolassociates.com	5. Senate ID # 8101-214
7. Client Name Columbia University	<input type="checkbox"/> Self	6. House ID # 3081 3004	

**TYPE OF REPORT** 8. Year 2001 Midyear (January 1-June 30) ☒ **OR** Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☒  $\Rightarrow$  Termination Date 3/1/01 11. No Lobbying Activity ☐

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
<b>INCOME</b> relating to lobbying activities for this reporting period was:  Less than \$10,000 <input type="checkbox"/>  \$10,000 or more <input checked="" type="checkbox"/> $\Rightarrow$ \$ <u>20,000</u> Income (nearest \$20,000)  Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>EXPENSES</b> relating to lobbying activities for this reporting period were:  Less than \$10,000 <input type="checkbox"/>  \$10,000 or more <input type="checkbox"/> $\Rightarrow$ \$ _____ Expenses (nearest \$20,000)  <b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See Instructions for description of methods.  <input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definition  <input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6011 of the Internal Revenue Code  <input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Debra M. Hardy Havens



Registrant Name Capitol Associates, Inc. Client Name Columbia University

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

H.Con.Res.83, Establishing the congressional budget for the U.S. Government for fiscal year 2002, congressional budget for the U.S. Government for fiscal year 2001, and setting forth appropriate budgetary le of fiscal years 2003 through 2011.

S.Con.Res.20, A concurrent resolution setting forth the congressional budget for the U.S. government for fisca

S.Res.19, A Resolution to express the sense of the Senate that the Federal investment in biomedical research increased by \$3,400,000 in fiscal year 2002.

H.R.\_\_\_\_, Departments of Labor, Health and Human Services and Education and Related Agencies Approp 2002.

H.R.\_\_\_\_, Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Ap Act, 2002.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House

Senate

DHHS

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Edward Long	
Ronnie Tepp	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_

Printed Name and Title Debra M. Hardy Havens, CEO



Registrant Name Capitol Associates, Inc. Client Name Columbia University

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues

H.Con.Res.17, Expressing the sense of the Congress supporting Federal funding of pluripotent stem cell re  
S.732, H.R.2059, Stem Cell Research Act of 2001  
H.R..2096, Responsible Stem Cell Research Act of 2001  
S.790, H.R.1644, Human Cloning Prohibition Act of 2001  
H.R.2172, Cloning Prohibition Act of 2001

Tracked and reported on Congressional hearings, White House, and Department of Health and Human Servic regarding stem cell research

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House  
Senate  
DHHS

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ronnie Tepp	
Tricia Brooks	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_

Printed Name and Title Debra M. Hardy Havens, CEO



**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

S.838, Best Pharmaceuticals for Children Act  
H.R.967, Access to Cancer Clinical Trials Act of 2001  
H.R.1771, Antimicrobial Resistance Prevention Act of 2001

Tracked and monitored clinical research subject protection initiatives of the Department of Health and Hum White House, and the National Bioethics Advisory Commission, including financial conflicts of interest, cl Declaration of Helsinki, research involving children, and research conduct.

S.824, Medication Errors Reduction Act of 2001  
S.863, H.R.1804, Patient Safety Act of 2001  
H.R.2340, Patient Safety and Health Care Whistleblower Protection Act of 2001  
S.318, H.R.602, Genetic Nondiscrimination in Health Insurance and Employment Act  
H.R.1215, To ensure confidentiality with respect to medical records and health care-related information.  
S.450, A bill to amend the Gramm-Leach-Bliley Act to provide for enhanced protection of nonpublic personal including health care information, and for other purposes.  
H.R.1975, To modify the deadline for initial compliance with the standards and implementation specifications under section 1173 of the Social Security Act, and for other purposes.  
S.836, A bill to amend part C of title XI of the Social Security Act to provide for coordination of implei administrative simplification standards for health care information.  
S.1055, A bill to require the consent of an individual prior to the sale and marketing of such individual' identifiable information, and for other purposes.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House DHHS  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Edward Long	
Ronnie Tepp	
Debra Hardy Havens	
Matthew Williams	
Tricia Brooks	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_

Printed Name and Title Debra M. Hardy Havens, CEO





Registrant Name Capitol Associates, Inc. Client Name Columbia University

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which t  
engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c**  
information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

S.357, A bill to amend the Social Security Act to preserve and improve the medicare program.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House

Senate

DHHS

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Edward Long	
Ronnie Tepp	
Debra Hardy Havens	
Matthew Williams	
Tricia Brooks	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_

Printed Name and Title Debra M. Hardy Havens, CEO

[REDACTED]

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

**ISSUE UPDATE**

24. General lobbying issues previously reported that **no longer** pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Owner: percent client

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registrant or affiliated organization

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Debra M. Hardy Havens, CEO

