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# LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

Check if this is an Amended Registration

1. Effective Date of Registration JANUARY

2. House Identification Number \_\_\_\_\_ Senate Identification Number \_\_\_\_\_

## REGISTRANT

3. Registrant name Ricchetti Inc.

Address 1001 G Street NW Suite 700 EAST

City Washington State DC Zip 20001

4. Principal place of business (if different from line 3)  
City SAME State/Zip (or Country) \_\_\_\_\_

5. Telephone number and contact name  
(202) 879-9367 Contact James Heimbach E-mail (optional) \_\_\_\_\_

6. General description of registrant's business or activities  
Government Relations/Consulting

**CLIENT** *A Lobbying firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should labeled "Self" and proceed to line 10.*  Self

7. Client name Investor Protection Coalition

Address 1001 G Street NW Suite 700 East

City Washington State DC Zip 20001

8. Principal place of business (if different from line 7)  
City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

9. General description of client's business or activities  
Non-profit coalition to promote understanding of bankruptcy re:

## LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any this section has served as a "covered executive branch official" or "covered legislative branch official" within t acting as a lobbyist for the client, state the executive and/or legislative position(s) in which the person served.

Name	Covered Official Position (if app)
<u>Steve Ricchetti</u>	<u>Dep. Chief of Staff to the P</u>
<u>Jeff Ricchetti</u>	_____
<u>Lisa Kovatopoulos</u>	<u>Dep. Assistant to the Pres</u>

James Heimbsch



Form LD-1 (Rev. 06/98)

Registrant Name Ricchetti Inc Client Name Investor Protection Coalition

**LOBBYING ISSUES**

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LB

BNK

12. Specific lobbying issues (current and anticipated)

Bankruptcy reform measures in 108<sup>th</sup> Congress

**AFFILIATED ORGANIZATIONS**

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the client during a semiannual period and in whole or in major part plans, supervises or controls the registrant's lobbying activities?

No ⇒ Go to line 14.

Yes | Complete the rest of this section for each entity matching the criteria above, then proceed to line 14.

Name	Address	Principal Place of Business (city and state or country)
Houlihan Lokey, Howard & Zuckin	225 South Sixth Street, Suite 4950	Minneapolis, MN
Leizer, Francis & Company	30 Rockefeller Plaza, 61st Floor	New York, NY
Rothschild Inc.	1251 Avenue of the Americas	New York, NY
The Brickstone Group	345 Park Avenue	New York, NY
Gordin Group LLC	499 Park Avenue	New York, NY

**FOREIGN ENTITIES**

14. Is there any foreign entity that:

- a) holds at least 20% equitable ownership in the client or any organization identified on line 13
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances activities of the client or any organization identified on line 13; **OR**
- c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the lobbying activity?

No ⇒ Sign and date the registration.

Yes | Complete the rest of this section for each entity matching the criteria above, then sign and date the registration.

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities
Rothschild Inc is a wholly owned subsidiary of Rothschild North America	Rothschild AG Postfach 735 Barrler Strasse	Switzerland	0
Rothschild Custodian Holding AG owns 49% of Rothschild			

Signature [Signature] Date 2/7/0

Printed Name and Title JAMES T. HEIMBACH

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