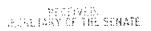
Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515 Secretary of the Senate Office of Public Records 232 Hart Builting Washington, DC 20510



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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

Clark & Weinstock		
Address 1775 I Street NW, Ste 700		***************************************
	State/Zip (or Country) D€ 20016	···········
 Principal Place of Business (if different from it 		
City New York,	State/Zip (or Country) NY 10017	
	Telephone E-mail (optional)	5. Senate ID #
Lisa Simpson	202-261-4025 lisa@cwdc.com	
7. Client Name 🔲 Self	6. House ID #	
The lavestment Company Institute	316-98074	
NCOME OR EXPENSES	Complete Fither Line 12 OR Line 13	
INCOME OR EXPENSES 12. Lobbying Firm	- Complete Either Line 12 OR Line 13	nizations
12. Lobbying Firm	is 13. Orga	
	is 13. Orga for this reporting EXPENSES relating to lobbying :	
12. Lobbying Firm INCOME relating to lobbying activities seried was: Less than \$10,000 \$10,000 or more \$2 >> \$ \$80.00	for this reporting EXPENSES relating to hobbying a period were: Less than \$10,000 []	
12. Lobbying Firm INCOME relating to lobbying activities period was: Less than \$10,000 [2] 10,000 or more	for this reporting EXPENSES relating to lobbying a period were: Less than \$10,000 [] \$10,000 or more [] >> \$ the nearest \$20,000 14. REPORTING METHOD. Care accounting method. See instruction	Expenses (nearest \$20,000) Check box to indicate expense
12. Lobbying Firm INCOME relating to lobbying activities seried was: Less than \$10,000 [2] \$10,000 or more \$2 >> \$ \$80.69 Income Provide a good faith estimate, rounded to \$20,000 of all lobbying related income freincluding all payments to the registrant b	for this reporting EXPENSES relating to hobbying a period were: Less than \$10,000 [] \$10,000 or more [] >> \$ the nearest \$20,000 The client by any other entity 13. Orga EXPENSES relating to hobbying a period were: Less than \$10,000 [] \$10,000 or more [] >> \$ accounting method. See instruction around the client by any other entity	Expenses (nortest \$29,000) Check box to indicate expense as for description of options.
12. Lobbying Firm INCOME relating to lobbying activities seried was: Less than \$10,000 [2] \$10,000 or more \$2 >> \$ \$80.66 Income Provide a good faith estimate, rounded to \$20,000 of all lobbying related income free	for this reporting EXPENSES relating to hobbying a period were: Less than \$10,000 [] \$10,000 or more [] >> \$ the nearest \$20,000 The client by any other entity 13. Orga EXPENSES relating to hobbying a period were: Less than \$10,000 [] \$10,000 or more [] >> \$ accounting method. See instruction around the client by any other entity	Expenses (scarest \$20,000) Check box to indicate expense as for description of options. Its using LDA definitions only as under section 6033(b)(8) of
12. Lobbying Firm INCOME relating to lobbying activities seried was: Less than \$10,000 [2] \$10,000 or more \$2 >> \$ \$80.69 Income Provide a good faith estimate, rounded to \$20,000 of all lobbying related income freincluding all payments to the registrant b	for this reporting EXPENSES relating to lobbying a period were: Less than \$10,000 [] \$10,000 or more [] >> \$ the nearest \$20,000 the nearest orn the client by any other entity ient). Method B. Reporting amount	Expenses (scarest \$20,000) Theck box to indicate expense as for description of options. Its using LDA definitions only as under section 6033(b)(8) of nuc Code Its under section 162(e) of the

Regis	trant Name:	Clark & Weinstock		
Client	Name:	The Investment Company Institute		
engag	ed in lobbying		ry to reflect the general issue areas in which the registrant ng period. Using a separate page for each code, provide l.	
15. (General issue	area code TAX (one per page) -	
3	Specific Lobby E.R. 1102, Co sensions		Pension Reform Act, relating to passage of the Portman	i-Cardin Bill on
. I	Separtment o	ice of the President	☐ Check if None	
	Vame of each i	ndividual who acted as a lobbyist in this is	ssue area Covered Official Position (if applicable)	l Marini I
			Covered Official Posterior of application	New
	sinwol, Mite	<u>h</u>		No
F	azio, Vic		Member, U.S. House of Representatives	No .
K	lutter, Ed		·	No '
s	tach, Deirdre	<u>}</u>		No
S	tuert, Sandi		Asst. Sec. of Defense, Legislative Branch Only	No
N	Veber, Vin			No
19. Ja	Heresi of each	foreign entity in the specific issues listed	on line 16 above 🔀 Check if None	
Signati	irė		Date 2/9/01	
Printed	Name and Ti	de Vin Weber - Partner	Pa;	ec 2 of 3
				p v

	Weinstock	···						
formation Update P	age - Complete ONLY whe	re registration information has	changed.					
). Client new address								
. Client new principal place of bi	usiness (if different from line 20)							
ty	State/Zip (or Country)							
New general description of clie	nd's business or activities		······································					
OBBYIST UPDATE				·······································				
 Name of each previously Bainwol, Mitch 	reported individual who is no i	longer expected to act as a lobbyis	t for the client					
Panton, Pinch		· 1						
SUE UPDATE	rreviously reported that no long							
BAN, BNK, FIN	neversity reported that the total	ger penam						
			nanananana					
FFILIATED ORGANIZ Add the following affiliate								
Name		Address Principal Place of Business (city and state or country)						
				(only and state of Edulity)				
	1							
		tt						
Name of each previously	reported organization that is no	longer affiliated with the registrar	et or client					
OREIGN ENTITIES Add the following foreign	entities							
Name	_ Address	Principal Place of Business (city and state or country)	Amount of contribution for lobbying activities	Ownership % in client				
į								
Name of each previously r	eported foreign entity that no le	onger owns, or controls, or is affil	iated with the registrant,	client,				
	·Mo							
ature	n //ebe		2/9/01					