

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETARY OF THE SENATE  
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# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>Joseph L. Carter, Jr.</b>			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address <b>8804 Cord Circle</b> City <b>Potomac</b> State/Zip (or Country) <b>Md 20854</b> <b>USA</b>			
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____			
4. Contact Name <b>Joseph L. Carter, Jr.</b>	Telephone <b>301-299-4357</b>	E-mail (optional)	5. Senate ID # <b>8384-24</b>
7. Client Name <input type="checkbox"/> Self <b>Norfolk Southern Corporation</b>	6. House ID # <b>33489000</b>		

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report   
10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> &gt;&gt; \$ <u>540,000.00</u> <small>Income (nearest \$20,000)</small></p> <p>Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> &gt;&gt; \$ _____ <small>Expenses (nearest \$20,000)</small></p> <p><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature Joseph L. Carter, Jr. Date 2/7/1981  
Printed Name and Title Joseph L. Carter, Jr. - Consultant Page 1 of 13

Registrant Name: Joseph L. Carter, Jr.

Client Name: Norfolk Southern Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BNK (one per page)

16. Specific Lobbying issues  
S. 625, H.R. 833 & Sec. 744 of H.R. 1000, To amend title 11, USC and for other purposes., Support provision amending section 1168 of Bankruptcy Act.

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Carter, Jr., Joseph L.</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Joseph L. Carter, Jr. Date 2/7/1901

Printed Name and Title Joseph L. Carter, Jr. - Consultant Page 2 of 13

Registrant Name: Joseph L. Carter, Jr.

Client Name: Norfolk Southern Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific Lobbying issues

**H.R. 4457, Transportation Appropriations, Opposed new user fees and supported funding for STB, FRA, positive train control, grade crossing safety, and final CAFE NAS study language.**

17. House(s) of Congress and Federal agencies contacted  
House of Representatives  
Senate

Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carter, Jr., Joseph L.		No

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature Joseph L. Carter, Jr. Date 2/7/1901

Printed Name and Title Joseph L. Carter, Jr. - Consultant Page 3 of 13

Registrant Name: Joseph L. Carter, Jr.

Client Name: Norfolk Southern Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific Lobbying issues

**H.R. 4461 & other App. bills., No KP protocol submitted. Agriculture and other Appropriations bills., Opposed Kyoto Protocol (KP) & amendments to various appropriations bills to prevent backdoor implementation of KP.**

17. House(s) of Congress and Federal agencies contacted

Check if None

**House of Representatives  
Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<b>Carter, Jr., Joseph L.</b>		<b>No</b>

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature *Joseph L. Carter, Jr.* Date 2/7/1901

Printed Name and Title Joseph L. Carter, Jr. - Consultant Page 4 of 13

Registrant Name: Joseph L. Carter, Jr.

Client Name: Norfolk Southern Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENV (one per page)

16. Specific Lobbying issues

H.R. 1300 and S.1090, To reform Superfund., Supported adding railroad amendments on spur lines & contract carriage.

17. House(s) of Congress and Federal agencies contacted  
House of Representatives  
Senate

Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Carter, Jr., Joseph L.</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature Joseph L. Carter, Jr. Date 2/7/1901

Printed Name and Title Joseph L. Carter, Jr. - Consultant Page 5 of 13

Registrant Name: Joseph L. Carter, Jr.

Client Name: Norfolk Southern Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific Lobbying issues

H.R. 2723, Bipartisan Consensus Managed Care Improvement Act, Opposed expanding right to sue in state courts.  
S. 578, Health Care Information Confidentiality Act, Sought amendment to assure employers access to drug testing results.

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area:

Name	Covered Official Position (if applicable)	New
<u>Carter, Jr., Joseph L.</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Joseph L. Carter, Jr. Date 2/7/1901

Printed Name and Title Joseph L. Carter, Jr. - Consultant Page 6 of 13

Registrant Name: Joseph L. Carter, Jr.

Client Name: Norfolk Southern Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific Lobbying issues

H.R. 3091. To provide for the protection of train employees. Opposed bill.

H.R. 987 and S. 1070, Workplace Preservation Act. Supported preventing OSHA from implementing Ergonomic standards until study completed.

S. 1590 et al, Surface Transportation Improvement Act, Opposed bill preventing STB from overriding collective bargaining to the extent essential for railroad mergers.

17. House(s) of Congress and Federal agencies contacted

Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carter, Jr., Joseph L.		No

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature *Joseph L. Carter, Jr.*

Date 2/7/1901

Printed Name and Title Joseph L. Carter, Jr. - Consultant

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Registrant Name: Joseph L. Carter, Jr.

Client Name: Norfolk Southern Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MAR (one per page)

16. Specific Lobbying issues

**Clinton Budget Proposal, No bill., Opposed Clinton Administration's proposed Harbor Maintenance Tax.**

**H.R. 4733, Energy and Water Appropriation Bill, Supported funding for port development.**

**H.R. 5337, U.S. Cruise Vessel Act, Monitored this and other legislation amending Jones Act to prevent exemptions for kaolin clay.**

17. House(s) of Congress and Federal agencies contacted

Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<b>Carter, Jr., Joseph L.</b>		No

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature

*Joseph L. Carter, Jr.*

Date 2/7/1981

Printed Name and Title

Joseph L. Carter, Jr. - Consultant

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Registrant Name: Joseph L. Carter, Jr.

Client Name: Norfolk Southern Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific Lobbying issues  
H.R. 4844, Railroad Retirement and Survivors Reform Act of 2000, Supported bill.

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Carter, Jr., Joseph L.</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *Joseph L. Carter, Jr.* Date 2/7/2001

Printed Name and Title Joseph L. Carter, Jr. - Consultant Page 9 of 13

Registrant Name: Joseph L. Carter, Jr.

Client Name: Norfolk Southern Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ROD (one per page)

16. Specific Lobbying issues

**H.R.1667, Safe and Efficient Transportation Act., Opposed increase in truck weights.  
S.712, Look, Listen, and Live Stamp Act, Supported bill**

17. House(s) of Congress and Federal agencies contacted  
**House of Representatives  
Senate**

Check if None

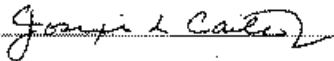
18. Name of each individual who acted as a lobbyist in this issue area:

Name	Covered Official Position (if applicable):	New
<b>Carter, Jr., Joseph L.</b>		<b>No</b>

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature



Date 2/7/1991

Printed Name and Title Joseph L. Carter, Jr. - Consultant

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Registrant Name: Joseph L. Carter, Jr.

Client Name: Norfolk Southern Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RRR (one per page)

16. Specific Lobbying issues

**H.R. 4514 (S.2604), Rail Merger Reform and Customer Protection Act., Opposed legislation**  
**S. 621 (H.R. 2784), Surface Transportation Modernization Act, Opposed most provisions of these bills that would reregulate the railroad industry. For the same reason, opposed S. 747, H.R. 3466, & H.R. 3398.**  
**S. 98, Surface Transportation Board Reauthorization Act, Supported bill reauthorizing STB.**  
**S.1496 & H.R. 2450, Federal Railroad Safety Enhancement Act and Railroad Safety and Reform Act., Opposed and/or sought amendment to most provisions.**

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carter, Jr., Joseph L.		No

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Joseph L. Carter, Jr. Date 2/7/1901

Printed Name and Title Joseph L. Carter, Jr. - Consultant Page 11 of 13

Registrant Name: Joseph L. Carter, Jr.

Client Name: Norfolk Southern Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues

H.R. 1001 (S. 820), Transportation Tax Equity Fairness Act, Supported repeal of railroad deficit fuel tax.  
H.R. 2960, To amend Title 23 USC & IRS Code to make revenues from excise taxes imposed on fuel used in trains, available for elimination of hazards of railway-highway grade crossings., Opposed legislation. Support repeal of fuel tax.  
H.R. 4746, Emergency Rural and Small Railroad Preservation Act, Opposed bill that used railroad fuel tax to upgrade short lines' track.

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area:

Name	Covered Official Position (if applicable)	New
Carter, Jr., Joseph L.		No

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Joseph L. Carter, Jr. Date 2/7/1901

Printed Name and Title Joseph L. Carter, Jr. - Consultant Page 12 of 13

Registrant Name: Joseph L. Carter, Jr.

Client Name: Norfolk Southern Corporation

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15. General issue area code WAS (one per page)

16. Specific Lobbying issues

**H.R. 1190 & similar bills., Solid Waste Interstate Transportation and Local Authority Act , Opposed bills restricting states' import of waste and allowing local governments to direct disposal (flow control).**

17. House(s) of Congress and Federal agencies contacted  
**House of Representatives**  
**Senate**

Check if None

18. Name of each individual who acted as a lobbyist in this issue area

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<b>Carter, Jr., Joseph L.</b>		<b>No</b>

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature *Joseph L. Carter* Date 2/7/1981

Printed Name and Title Joseph L. Carter, Jr. - Consultant Page 13 of 13