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| Clerk of the House of Representatives<br>Legislative Resource Center<br>B-106 Cannon Building<br>Washington, DC 20515 | Secretary of the Senate<br>Office of Public Records<br>232 Hart Building<br>Washington, DC 20510 |
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SECRETARY OF T  
03 AUG 20 AM**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

|  |                             |   |                            |
|--|-----------------------------|---|----------------------------|
| 1. Registrant Name<br>Cincinnati Stock Exchange  |                             |   |                            |
| 2. Address <input type="checkbox"/> Check if different than previously reported<br>1101 Pennsylvania Ave., NW 6th Floor Washington, DC 20004 |                             |   |                            |
| 3. Principal Place of Business (if different from line 2)<br>Chicago, IL 60605<br>City: _____ State/zip (or Country) _____                   |                             |   |                            |
| 4. Contact Name<br>Geof Gradler  | Telephone<br>(202) 756-1977 | E-mail (optional)<br>geof_gradler@msn.com | 5. Senate ID #<br>87016-12 |
| 7. Client Name <input checked="" type="checkbox"/> Self  |                             |   | 6. House ID #<br>36584000  |

**TYPE OF REPORT** 8. Year 2003 Midyear (January 1-June 30)  OR Year End (July 1-Decen
9. Check if this filing amends a previously filed version of this report 10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_11. No Lobbying **INCOME OR EXPENSES** Complete Either Line 12 OR Line 13

|   |   |
|---|---|
| <p align="center"><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____<br/>Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p> | <p align="center"><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>275,827.00</u><br/>Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b) Internal Revenue Code</p> <p><input checked="" type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code</p> |
|---|---|

Signature \_\_\_\_\_

Date \_\_\_\_\_

8/11/03

Printed Name and Title

Geoffrey C. Gradler, Director of Government Affairs

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LD-2 (REV. 4/03)

PAGE 1 of \_

Registrant Name Cincinnati Stock Exchange Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Cincinnati Stock Exchange Voluntary Book Proposal. Nasdaq Exchange Application.

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. House of Representatives. U.S. Senate. Securities and Exchange Commission.

18. Name of each individual who acted as a lobbyist in this issue area

| Name                | Covered Official Position (if applicable) |
|---------------------|---|
| Geoffrey C. Gradler |   |
|                     |   |
|                     |   |
|                     |   |
|                     |   |
|                     |   |
|                     |   |
|                     |   |
|                     |   |
|                     |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *G.C. Gradler* Date 8/11/03

Printed Name and Title Geoffrey C. Gradler, Director of Government Affairs



Registrant Name Cincinnati Stock Exchange Client Name \_\_\_\_\_

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

**ISSUE UPDATE**

24. General lobbying issues previously reported that **no longer** pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

| Name | Address | Principal Place of Business (city and state or country) |
|------|---------|---|
|      |         |   |

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

| Name | Address | Principal place of business (city and state or country) | Amount of contribution for lobbying activities | Other |
|------|---------|---|--|-------|
|      |         |   |  |       |

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature *Geoffrey C. Gradler* Date 8/11/03

Printed Name and Title Geoffrey C. Gradler, Director of Government Affairs

