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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers are Required to Complete This Page

1. Registrant Name

Capitol Associates, Inc.

2. Address ☐ Check if different than previously reported

426 C Street, NE, Washington, DC 20002

3. Principal Place of Business (if different from line 2)

City:

State/Zip (or Country)

4. Contact Name

Telephone

E-mail (optional)

5. Senate ID #
8101-428

Debra M. Hardy Havens

(202) 544-1880

dh@capitolassociates.com

7. Client Name

☐ Self

6. House ID #
3081 3054

NCCR

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☒

9. Check if this filing amends a previously filed version of this report ☒

10. Check if this is a Termination Report ☐ \Rightarrow Termination Date _____ 11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000 ☐

\$10,000 or more ☒ \Rightarrow \$ 40,000
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000 ☐

\$10,000 or more ☐ \Rightarrow \$ _____
Expenses (nearest \$20,000)

14. REPORTING METHOD. Check box to indicate accounting method. See Instructions for description of methods.

☐ Method A. Reporting amounts using LDA definition

☐ Method B. Reporting amounts under section 6011 of the Internal Revenue Code

☐ Method C. Reporting amounts under section 162(h) of the Internal Revenue Code

Signature

Debra M. Hardy Havens

Printed Name and Title Debra M. Hardy Havens, CEO



LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

H.R.3061, S.1536 - Departments of Labor, Health and Human Services and Education and Relat Appropriations Act, 2002. Title IV - funding for Institute of Museum and Library Services.

HJ Res 65 - Continuing Appropriations for FY2002

HJ Res 68 - Continuing Appropriations for FY2002

HJ Res 69- Continuing Appropriations for FY2002

HJ Res 70 - Continuing Appropriations for FY2002

HJ Res 74 - Continuing Appropriations for FY2002

HJ Res 76 - Continuing Appropriations for FY2002

HJ Res 78 - Continuing Appropriations for FY2002

HJ Res 79 - Continuing Appropriations for FY2002

H.R.2216 - Supplemental Appropriations Act, 2001

H.R.2888 - 2001 Emergency Supplemental Appropriations Act for Recovery from and Response to Terrori the United States.

H.R.2330, S.1191 - Agriculture, Rural Development, Food and Drug Administration, and Relat Appropriations Act, 2002.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House DHHS

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ronnie Tepp	
Andrew Brecher	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature

Printed Name and Title Debra M. Hardy Havens, CEO



LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each c information as requested. Attach additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues

H.Con.Res.17, Expressing the sense of the Congress supporting Federal funding of pluripotent stem cell r
H.R.1260 - Ban on Human Cloning Act
H.R.1352 - Human Cloning Research Prohibition Act
S.732, H.R.2059, Stem Cell Research Act of 2001
H.R..2096, Responsible Stem Cell Research Act of 2001
S.790, H.R.1644, Human Cloning Prohibition Act of 2001
H.R.2172, Cloning Prohibition Act of 2001
H.R.2505 - Human Cloning Prohibition Act
H.R.2608 - Cloning Prohibition Act
H.R.2747 - Stem Cell Research for Patient Benefit Act of 2001
H.R.3495 - Human Cloning Prevention Act of 2001
S.704 - Human Cloning Prohibition Act
S.1349 - Responsible Stem Cell Research Act of 2001
S.1758 - Human Cloning Prohibition Act of 2001

Tracked and reported on Congressional hearings, White House, and Department of Health and Human Servi regarding stem cell research

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House DHHS
Senate White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ronnie Tepp	
Andrew Brecher	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO



LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

HJ Res38 - Disapproving the rule submitted by the Department of Health and Human Services on December 1, 2001 relating to standards for privacy of individually identifiable health information.

H.R.526, H.R.2563, S.283, S.872, S.1052 - Bipartisan Patient Protection Act of 2001

S.889 - Bipartisan Patients Bill of Rights Act of 2001

H.R.602, S.318 - Genetic Nondiscrimination in Health Insurance and Employment Act

H.R.1215 - Medical Information Protection and Research Enhancement Act of 2001

H.R.1975 - To modify the deadline for initial compliance with the standards and implementation specifications under section 1173 of the Social Security Act, and for other purposes.

S.1588, S.1684 - A bill to provide a one year extension of the date for compliance by certain covered entities with administrative simplification standards for electronic transactions and code sets issued in accordance with the Insurance Portability and Accountability Act of 1996.

H.R.967 - Access to Cancer Clinical Trials Act of 2001

H.R.1064 - Screening Mammography Act of 2001

H.R.1624 - Access to Cancer Therapies Act of 2001

H.R.1809 - Cancer Screening Coverage Act of 2001

S.913 - Access to Cancer Therapies Act of 2001

Tracked and monitored clinical research subject protection initiatives of the Department of Health and Human Services, the White House, and the National Bioethics Advisory Commission, including financial conflicts of interest, research involving children, and research conduct.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House DHHS

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ronnie Tepp	
Andrew Brecher	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO



Registrant Name Capitol Associates, Inc. Client Name NCCR

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

S.357, A bill to amend the Social Security Act to preserve and improve the medicare program.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House

Senate

DHHS

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ronnie Tepp	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO



Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

Matthew Williams, Tricia Brooks

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Busir (city and state or count

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Owners/ percenta client

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant or affiliated organization

Signature _____ Date _____

Printed Name and Title Debra M. Hardy Havens, CEO

