

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

NORTHWESTERN UNIV

2. Address:

633 CLARK ST, EVANSTON, IL 60208

3. Principal place of business (if different from line 2):

4. Contact Name: BRUCE C. LAYTON

Telephone: 8474315680

E-mail (optional): b-layton@northwestern.edu

Senate ID #: 299992-12

House ID #:

7. Client Name: ☒ Self

TYPE OF REPORT

8. Year 2006 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 400,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

☐ **Method A.** Reporting amounts using LDA definitions only

☒ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: NORTHWESTERN UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

HR 5631, Department of Defense Appropriations Act, 2007, Title IV, Research, Development, Test, and Evaluation, University Research Initiatives. HR 5386, Department of the Interior, Environment, and Related Agencies Appropriations Act, 2007, National Endowment for the Humanities. HR 5647, Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2007, Title II, Department of Health and Human Services, Title III, Department of Education. HJ Res. 102, making further continuing appropriations for FY 2007. HJ Res 100, making further continuing appropriations for FY 2007. H Con Res 376, Concurrent Resolution on the Budget for FY 2007. S Con Res 83, Concurrent Resolution on the Budget for FY 2007. HR 5672, Science, State, Justice, Commerce, and Related Agencies Appropriations Act, 2007, Title III, Science, NASA.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: KUNDE, JENNIFER

Covered Official Position (if applicable): N/A

Name: LAYTON, BRUCE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NORTHWESTERN UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CAW/ (one per page)

16. Specific lobbying issues:

HR 792, Great Lakes Restoration Act of 2005, all provisions related to advisory board, management plan, and research funding.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area:

Name: KUNDE, JENNIFER

Covered Official Position (if applicable): N/A

Name: LAYTON, BRUCE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NORTHWESTERN UNIV Client Name: Self

LOBBYING ACTIVITY.

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15. General issue area code: DEF (one per page)

16. Specific lobbying issues:

S 2507, National Defense Authorization Act for Fiscal Year 2007, Title II, Research, Development, Test, and Evaluation. HR 5122, National Defense Authorization Act for FY 2007, Title II, Research, Development, Test, and Evaluation.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: KUNDE, JENNIFER

Covered Official Position (if applicable): N/A

Name: LAYTON, BRUCE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NORTHWESTERN UNIV Client Name: Self

LOBBYING ACTIVITY.

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15. General issue area code: EDU (one per page)

16. Specific lobbying issues:

S 2573, Reverse the Raid on Student Aid Act of 2006 (entire bill) HR 609, College Access and Opportunity Act of 2005, Title I, IV, VI, VII, S 1614, Higher Education Amendments of 2005, Title I, IV, VI, VII, X, HR 3784/HR 4525, Higher Education Act, Extension Act of 2005 (entire bill), HR 5603, Higher Education Act, Extension Act of 2005 (entire bill).

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: KUNDE, JENNIFER

Covered Official Position (if applicable): N/A

Name: LAYTON, BRUCE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NORTHWESTERN UNIV Client Name: Self

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15. General issue area code: FOR (one per page)

16. Specific lobbying issues:

HR 2601, Foreign Relations Authorization Act, Fiscal Years 2006 & 2007, Title I, authorization of appropriations, Title XIII, opening doors for foreign students.

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area:

Name: KUNDE, JENNIFER

Covered Official Position (if applicable): N/A

Name: LAYTON, BRUCE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NORTHWESTERN UNIV Client Name: Self

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15. General issue area code: IMM (one per page)

16. Specific lobbying issues:

S 2611, Comprehensive Immigration Reform Act of 2006, Title VI, Subtitle C.

17. House(s) of Congress and Federal agencies contacted:

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: KUNDE, JENNIFER

Covered Official Position (if applicable): N/A

Name: LAYTON, BRUCE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NORTHWESTERN UNIV Client Name: Self

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15. General issue area code: MED (one per page)

16. Specific lobbying issues:

HR 810/S 471 Stem Cell Research Enhancement Act of 2005 (entire bills). HR 2520/S 1317, Stem Cell Therapeutic and Research Act of 2005 (entire bills). HR 4157, the Health Information Technology Promotion Act of 2005 (entire bill). HR 6164, NIH Reform Act (entire bill).

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: KUNDE, JENNIFER

Covered Official Position (if applicable): N/A

Name: LAYTON, BRUCE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NORTHWESTERN UNIV Client Name: Self

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15. General issue area code: SCI (one per page)

16. Specific lobbying issues:

S 2197, PACE-Energy Act (entire bill). S 2198 PACE-Education Act (entire bill). S 2199, PACE-Finance Act (entire bill). S 2109, National Innovation Act of 2005 (entire bill). HR 5356, Early Career Research Act (entire bill). HR 5357, Research for Competitiveness Act (entire bill). HR 5358, Science & Mathematics Education for Competitiveness Act (entire bill).

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: KUNDE, JENNIFER

Covered Official Position (if applicable): N/A

Name: LAYTON, BRUCE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NORTHWESTERN UNIV Client Name: Self

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15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

S 2020, Tax Relief Act of 2005, Title II, extension of expiring provisions, Title III, provisions related to charitable donations. HR 6111/HR 6408, Tax Relief and Health Care Act of 2006, extension of research and development tax credit, tuition deduction, IRA rollover, Div. A, Title I and Title III. HR 4297, Tax Relief Extension Reconciliation Act of 2005, Title I, extension of certain provisions through 2006. HR4, Pension Protection Act of 2006, Title XII. S 1780, CARE Act of 2005, Title I, charitable giving incentives, Title II, improving oversight of tax-exempt orgs., Title III, other provisions. HR 1607, Public Good IRA Rollover Act (entire bill). S 1366, Public Good IRA Rollover Act (entire bill).

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: KUNDE, JENNIFER
Covered Official Position (if applicable): N/A
Name: LAYTON, BRUCE
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

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15. General issue area code: TEC (one per page)

16. Specific lobbying issues:

S 2686, Communications Consumer's Choice, and Broadband Deployment Act of 2006, Title IX, internet neutrality. HR 5252, Communications, Opportunity, Promotion, and Enhancement Act of 2006, provisions related to net neutrality.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: KUNDE, JENNIFER

Covered Official Position (if applicable): N/A

Name: LAYTON, BRUCE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 06, 2007

Printed Name and Title: BRUCE C. LAYTON, SPEC. ASST. TO THE PRES. -