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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) -All Filers Are Required to Complete This Page

1. Registrant Name <b>Cauthen and Associates</b>			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address <b>825 Cauthen Dr.</b> City <b>Montgomery</b> State/Zip (or Country) <b>AL 36105</b>			
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____			
4. Contact Name Telephone E-mail (optional) <b>Harvey E. Cauthen, Jr.</b> <b>(334) 264-1155</b>			5. Senate ID # <b>8500-238</b>
7. Client Name <input type="checkbox"/> Self <b>The Insurance Exchange (INEX)</b>			6. House ID # <b>31320017</b>

**TYPE OF REPORT** 8. Year 2002 Midyear (January 1-June 30)  **OR** Year End (July 1-De

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_ 11. No Lobby

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
<b>INCOME</b> relating to lobbying activities for this reporting period was:	<b>EXPENSES</b> relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$20,000.00</u> Income (nearest \$20,000)	\$10,000 or more <input type="checkbox"/> >> \$ _____ Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of
	<input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA defini
	<input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 603 the Internal Revenue Code
	<input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162 Internal Revenue Code

Signature \_\_\_\_\_ Date 8/14/2002



Registrant Name: Cauthen and Associates

Client Name: The Insurance Exchange (INEX)

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

- 15. General issue area code INS (one per page)
- 16. Specific Lobbying issues  
**Tax provisions relating to insurance securitization, reinsurance.**

- 17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Cauthen, Jr., Harvey E.</b>	
<b>Levine, Jeffrey</b>	
<b>Williams, Zachary</b>	

- 19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 8/14/2002



Registrant Name: Cauthen and Associates

Client Name: The Insurance Exchange (INEX)

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.


- 15. General issue area code TAX (one per page)
- 16. Specific Lobbying issues  
**Tax provisions relating to insurance securitization, reinsurance.**

- 17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Cauthen, Jr., Harvey E.</b>	
<b>Levine, Jeffrey</b>	
<b>Williams, Zachary</b>	

- 19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/14/2002

