

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

JOHNS HOPKINS UNIV

2. Address:

3400 N CHARLES ST, BALTIMORE, MD 21218

3. Principal place of business (if different from line 2):

4. Contact Name: JUDITH A. ELTERMAN

Telephone: 410-516-8090

E-mail (optional): jelterman@jhu.edu

Senate ID #: 20664-12

House ID #: 30347000

7. Client Name: ☒ Self

TYPE OF REPORT

8. Year 2001 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 40,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

☐ **Method A.** Reporting amounts using LDA definitions only

☒ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: JOHNS HOPKINS UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: AGR (one per page)

16. Specific lobbying issues:

S. 1731, Agriculture, Conservation, and Rural Enhancement Act of 2001: advocated for inclusion of language to codify the current administrative exclusion of rats, mice and birds from the Animal Welfare Act. S. 2569, an amendment introduced by Senator Helms on 12/13/01, will clarify the definition of animals regulated under the Animal Welfare Act to make permanent the existing administrative exclusion of rats, mice and birds; advocated and supported passage of S. 2569, to be included in the Farm bill, S. 1731.

17. House(s) of Congress and Federal agencies contacted:
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ETTEN, THOMAS A.

Covered Official Position (if applicable): N/A

Name: MCINTOSH, MAGGIE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

S.1536/HR 3061, Labor, HHS, Education and Related Agencies Appropriations Act, 2002:advocated for support of the fourth step towards the bipartisan goal of doubling the NIH budget by FY 2003. Advocated for support of an increase of \$3.4 billion (or 16.5%), for a funding total of \$23.7 billion in FY 2002; S.1171/HR 2311, Energy and Water Development Appropriations Act, 2002:advocated for support of an appropriation of \$3.7 billion in FY 2002 to support funding for DOE's Office of Science programs. This would be a 16% increase over last year's level for this Office; HR 2217, Department of the Interior and Related Agencies Appropriations Act, 2002: advocated for support of \$150 million in funding for the NEH in FY 2002, which would be a \$30 million or 25% increase over FY 2001 level; Department of Defense-requested \$10 million in funding to form a Center of Excellence in Biotechnology with the U.S. Army and Johns Hopkins to conduct research, testing, evaluation and pilot production in the field of biotechnology; S. 1191/HR 2330, Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002: advocated support for \$2.5 million for research facility construction with the Agricultural Research Service to support joint USDA-JHTJ Nutrition Studies Center;HR 2506, Foreign Operations, Export Financing, Related Programs Appropriations Act, 2002:advocated support for \$25 million for micro-nutrient research and outreach within the USAID Child Survival and Disease Programs Fund; Advocated support for \$1 million through Labor, HHS, Education and Related Agencies Appropriations Act, 2002 to continue activities of the Center for Civilian Bio-defense Studies; Together with Association of Schools of Public Health, advocated support for request of \$40 million for CDC Prevention Research Centers, through Labor, HHS, Education and Related Agencies Appropriations Act, 2002; Hopkins, with the Association of Schools of Public Health, advocated support for request of \$10 million for CDC Preparedness Center-CDC Bio-terrorism, through Labor, HHS, Education and Related Agencies Appropriations Act, 2002; Department of Veterans Affairs and Housing and Urban Development and Independent Agencies Appropriations Act, 2002:advocated support for "Living with a Star" initiative; advocated support for funding and expansion of Mars Exploration Program and other Outer Space or Deep Space missions; supported funding for Next Generation Hubble Telescope;advocated promoting the expansion of NSF funding in areas such as nanotechnology and information technology and support for development of Nanotechnology Consortium in Maryland; advocated for increased funding for the National Space Biomedical Research Institute (NSBRI); advocated for doubling the NSF budget in five years.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ETTEN, THOMAS A.

Covered Official Position (if applicable): N/A

Name: MCINTOSH, MAGGIE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above.**None**

Registrant Name: JOHNS HOPKINS UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CPT (one per page)

16. Specific lobbying issues:

S.487, Technology, Education and Copyright Harmonization Act of 2001: Advocated for support of bill designed to implement the recommendations of a 1999 U.S. Copyright Office report on distance education which is strongly supported by education and library groups; Digital Millennium Copyright Act: supported revisions to eliminate limitations on proprietary rights that have assured access to information for research.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ETTEN, THOMAS A.

Covered Official Position (if applicable): N/A

Name: MCINTOSH, MAGGIE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: JOHNS HOPKINS UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: DIS (one per page)

16. Specific lobbying issues:

HR 3162, Uniting and Strengthening America by Providing Appropriate Tools Required to Intercept and Obstruct Terrorism (USA Patriot Act) Act 2001: monitored and gauged impact of legislation.

17. House(s) of Congress and Federal agencies contacted: **None**

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ETTEN, THOMAS A.

Covered Official Position (if applicable): N/A

Name: MCINTOSH, MAGGIE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above: **None**

Registrant Name: JOHNS HOPKINS UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: EDU (one per page)

16. Specific lobbying issues:

Labor, Health and Human Services, and Education and Related Agencies Appropriations Act, 2002: Advocated for increased funding levels for student aid programs - SEOG program, Perkins Loan, Pell grant, Federal Work Study, LEAP, TRIO, Javits and GAANN graduate fellowships; supported continuation of Federal Direct Loan and FFEL program; S.1549/HR 3130, Technology Talent Act of 2001: monitored and gauged impact of new undergraduate workforce initiative for colleges and universities to increase the number of undergraduate degree recipients in science and engineering.

17. House(s) of Congress and Federal agencies contacted:
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ETTEN, THOMAS A.
Covered Official Position (if applicable): N/A
Name: MCINTOSH, MAGGIE
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HCR (one per page)

16. Specific lobbying issues:

Stem Cell Research: advocated that current National Institutes of Health guidelines governing stem cell research remain in effect, allowing federally funded research on human pluripotent stem cells to proceed; PL104-191, Health Insurance Portability and Accountability Act: advocated through formal comment process to seek changes and modifications in proposed medical privacy rules mandated by the Health Insurance Portability and Accountability Act. Specifically, addressed regulatory scheme related to research as complex and burdensome and requested modifications in regulations on charitable contributions; S.721, Nursing Employment and Education Development Act (NEED Act): advocated for passage of bill; S.1864/HR 3487, Nurse Reinvestment Act: advocated for support and passage of bills which provide scholarship funds, public awareness and education campaigns; Labor, HHS, Education FY 2002 Appropriations bill: advocated support for continued funding for research facilities construction through the NIH's National Center for Resources Research. Supported funding in President's request of \$100 million for FY 2002: advocated support for increased funding for the health professions of \$440 million for programs provided under Title VII and VIII in FY 2002 Labor, HHS, Education Appropriations bill; advocated support for maintaining the NIH salary cap at Executive Level I (\$161,200), within the context of the FY 2002 Labor, HHS, Education Appropriations bill; HR 2178, All-Payer Graduate Medical Education Act of 2001; monitored house bill which would establish an all-payer trust fund to support Graduate Medical Education: monitored legislation in the House and Senate which would provide health care coverage for the uninsured. Congress' FY 2002 budget outline has set aside funds to address the issue of the uninsured, while Hopkins will monitor and gauge impact of proposed legislation; monitored Senate bill (Kennedy-Frist) and House bill (DeGette-Greenwood) which would increase protection of participants in human subject research. Working with Department of Health and Human Services and the Office of Human Research Protection to re-review protocols, on-going research, etc.; S. 543, Mental Health Equitable Treatment Act of 2001; monitored and gauged impact of bill which would provide for equal coverage of mental health benefits with respect to health insurance coverage; HR 2103, Medical Malpractice RX Act; monitored and gauged impact of bill which would establish limits on medical malpractice claims, and for other purposes.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Health & Human Services, Dept of (HHS)

President of the U.S.

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ETTEN, THOMAS A.

Covered Official Position (if applicable): N/A

Name: MCINTOSH, MAGGIE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: JOHNS HOPKINS UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HOU (one per page)

16. Specific lobbying issues:

Worked with Maryland senators to advocate for extension of Section 8 assistance under the Section 8 Housing Assistance Payments Contract.

17. House(s) of Congress and Federal agencies contacted:
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ETTEN, THOMAS A.
Covered Official Position (if applicable): N/A
Name: MCINTOSH, MAGGIE
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: JOHNS HOPKINS UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: IMM (one per page)

16. Specific lobbying issues:

HR 2779, The International Students Reporting Act of 2001: Monitored and urged cosponsorship of the bill which would repeal Section 641 of the Illegal Immigration Reform and Immigrant Responsibility Act of 2001 which, if implemented, would have an adverse effect on international programs at Hopkins; S.1627, Visa Entry Reform Act of 2001: advocated against and opposed bill which would have put a six-month moratorium/freeze on student visas; S.1749/HR 3525, Enhanced Border Security and Visa Entry Reform Act of 2002: advocated concerns with respect to impact on international students and record keeping.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ETTEN, THOMAS A.

Covered Official Position (if applicable): N/A

Name: MCINTOSH, MAGGIE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: JOHNS HOPKINS UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: MMM (one per page)

16. Specific lobbying issues:

S. 839/HR 1556, American Hospital Preservation Act of 2001: advocated for support and cosponsorship of bills which maintain Medicare Indirect Medical Education (IME) payment at 6.5% in FY 2003 and thereafter, and provide a full inflation update for Medicare inpatient services in FY 2002 and FY 2003; HR 975, Home Health Fairness Act of 2001: monitored legislation which would eliminate the 15 percent reduction in payment rates for home health services under the Medicare Program; S. 1660/HR 3351, the Medicare Physician Payment Fairness Act of 2001: advocated for passage of this bill which would reduce the reduction in the Medicare conversion factor for physician payments from 5.4% to 0.9%; Advocated for delay of implementation of the 2002 Medicare outpatient prospective payment system (OPPS) final rule by the Centers for Medicare and Medicaid Services (CMS).

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ETTEN, THOMAS A.

Covered Official Position (if applicable): N/A

Name: MCINTOSH, MAGGIE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 11, 2002

Printed Name and Title: THOMAS A. ETTEN - DIRECTOR, FEDERAL RELATIONS