

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**CATERPILLAR**

2. Address:

100 NE ADAMS STREET, PEORIA, IL 61629-1430

3. Principal place of business (if different from line 2):

4. Contact Name: DOUGLAS P. CREW

Telephone: 3096755248

E-mail (optional): crew\_douglas\_p@cat.com

Senate ID #: 8475-12

House ID #:

7. Client Name: ☒ Self

## TYPE OF REPORT

8. Year 2007 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

## INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): \_\_\_\_\_

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 1,490,000.00

### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

☐ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☒ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: CATERPILLAR Client Name: Self

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

\* HR 2638/S 1644: Support the National Clean Diesel Initiative/appropriations for DERA (Diesel Emission Reduction Act of 2005), a bill appropriating federal loans and grants to assist contractors' fleets of diesel equipment to reduce emissions. HR 2641: Support Energy and Water appropriation, FY08 appropriations for heavy truck engine programs, ARES program. HR 2764: Support Division C, FY08 appropriations for heavy truck engine programs, ARES program. HR 2638/S 1644: Support the National Clean Diesel Initiative/appropriations for DERA (Diesel Emission Reduction Act of 2005), a bill appropriating federal loans and grants to assist contractors' fleets of diesel equipment to reduce emissions.

17. House(s) of Congress and Federal agencies contacted:

Energy, Dept of  
HOUSE OF REPRESENTATIVES  
Office of Management & Budget (OMB)  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: LYNN, JASON

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENT AFFAIRS

Name: MYERS, CHRISTOPHER

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENT AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CATERPILLAR Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: DEF (one per page)

16. Specific lobbying issues:

HR 1585: Oppose Defense Authorization, Sections 809, 845, 846 S 1547: Support Defense Authorization, Berry Amendment HR 1585: Conference report - support Section 804

17. House(s) of Congress and Federal agencies contacted:

Defense, Dept of (DOD)

HOUSE OF REPRESENTATIVES

Office of Management & Budget (OMB)

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MYERS, CHRISTOPHER

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENT AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CATERPILLAR Client Name: Self

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENG (one per page)

16. Specific lobbying issues:

\* HR 2001: Support the Industrial Cogeneration Act of 2007 HR 3754/S 2146: Support legislation to authorize the Administrator of the Environmental Protection Agency to accept, as part of a settlement, diesel emission reduction Supplemental Environmental Projects, and for other purposes. HR 6: Support Industrial Energy Efficiency, Heavy-Duty Fuel Efficiency Provisions, Energy Tax Provisions HR 2001: Support the Industrial Cogeneration Act of 2007 HR 3754/S 2146: Support legislation to authorize the Administrator of the Environmental Protection Agency to accept, as part of a settlement, diesel emission reduction Supplemental Environmental Projects, and for other purposes.

17. House(s) of Congress and Federal agencies contacted:  
HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BRENT, RICHARD

Covered Official Position (if applicable): DIRECTOR, SOLAR TURBINES, INC. GOV'T AFFAIRS

Name: LYNN, JASON

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENT AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CATERPILLAR Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENV (one per page)

16. Specific lobbying issues:

HR 2768: Oppose the Mine Improvement and New Emergency Response (MINER) Act

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area:

Name: LYNN, JASON

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENT AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CATERPILLAR Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HCR (one per page)

16. Specific lobbying issues:

HR 1038/S 623: Support Access to Life-Saving Medicines Act to establish process in which FDA will be able to approve generic biologics  
HR 3162: Oppose Children's Health and Medicare Protection (CHAMP) Act of 2007, which reauthorizes existing Children's Health Insurance Program

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HIMES, KATHRYN S

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENT AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CATERPILLAR Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: LBR (one per page)

16. Specific lobbying issues:

HR 2: Oppose the Fair Minimum Wage Act of 2007 - limits for nonqualified deferred compensation plans HR 3293: Oppose Offshore Deferred Compensation Act of 2007, which amends the IRS Code of 1986 to provide for the treatment of certain foreign nonqualified deferred compensation HR 800/S 1041: Oppose the Employee Free Choice Act

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HIMES, KATHRYN S

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENT AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above: **None**

Registrant Name: CATERPILLAR Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

HR 1509/HR 940: Support legislation to extend subpart F exception HR 2138/S 41/S 2209: Support legislation to strengthen ASC R&E credit S 1273: Support legislation to allow permanent look-through Oppose codification of economic substance doctrine

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MYERS, CHRISTOPHER

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENT AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above: **None**



Registrant Name: CATERPILLAR Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TOR (one per page)

16. Specific lobbying issues:

\* HR 1908: Oppose the Patent Reform Act of 2007 HR 1908/S 1145: Oppose the Patent Reform Act of 2007 HR 1908/S 1145: Oppose the Patent Reform Act of 2007

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HIMES, KATHRYN S

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENT AFFAIRS

Name: MYERS, CHRISTOPHER

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENT AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CATERPILLAR Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRD (one per page)

16. Specific lobbying issues:

HR 1830: Support legislation to extend the Andean Trade Preference Act Program HR 321/HR 782/HR 1002/HR 2942/S 796/S 1607/S 1677: Oppose legislation that attempts to address the value of China's currency HR 1968/S 571: Oppose legislation that would revoke China's PNTR status HR 1026/HR 2819/S 1673: Support legislation to end Administration restrictions on the sale of US agriculture to Cuba

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: LANE, WILLIAM C.

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 13, 2008

Printed Name and Title: DOUGLAS P. CREW, MANAGER, GOVE -

**Information Update Page:**

**Complete ONLY where registration information has changed.**

**LOBBYIST UPDATE**

**23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client**

**ISSUE UPDATE**

**24. General lobbying issues previously reported that NO LONGER pertain**

**AFFILIATED ORGANIZATIONS**

**25. Add the following organization(s)**

**26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client**

**FOREIGN ENTITIES**

**27. Add the following foreign entities**

**28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization**

Signature: ON FILE      Date: Feb 13, 2008

Printed Name and Title: -