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Washington, DC 20510

SECRETARY
06 AUG 14

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant name			
The Trustees of Columbia University in the City of New York			
2. Address <input type="checkbox"/> Check if different than previously reported			
535 West 116th Street		302 Low Library	
New York		NY 10027 U	
3. Principal place of business (if different than line 2)			
City		State/Zip or Country	
4a. Contact Name		b. Telephone number	
Ms. Ellen S. Smith		212-854-3394	
		c. E-mail	
		ess9@columbia.edu	
5. Senate ID #		38585-	
7. Client Name <input checked="" type="checkbox"/> Self		6. House ID #	
The Trustees of Columbia University		30887C	

TYPE OF REPORT 8. Year 2006 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date _____

11. No Lobbying Activities ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this report were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> ⇒ \$ _____	\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>120,000</u>
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of o
	<input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definition
	<input type="checkbox"/> Method B. Reporting amounts under section 6033(b) Internal Revenue Code
	<input type="checkbox"/> Method C. Reporting amounts under section 162(e) Revenue Code

Edit Form >

Signature

Ellen S. Smith

Date

8/9/06

0000192946



Printed Name and Title Ellen S. Smith, Assistant Vice President and Director of Government Relations

LD-2DS (Rev. 4.07)

✓ Page _

Registrant Name The Trustees of Columbia University in the City of New York Client Name The Trustees of Columbia University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code BUD - Budget/Appropriations (one per page)

16. Specific lobbying issues

S.1932 Deficit Reduction Act of 2005
H.Con.Res. 376 Budget Resolution
S.Con.Res. 83 Budget Resolution
HR5672 Science/State/Justice/Commerce
HR5631 Defense
HR5427 Energy & Water

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

U.S. Senate, U.S. House of Representatives, National Science Foundation, National Oceanic and Atmospheric Administration, National Institutes of Health, Executive Office of Science and Technology Policy, Office of Management and Budget

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant VP and Director of Government Relations
Matthew Bianco	Assistant Director of Government Relations
Nick Moustakas	Sr. Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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Signature _____ Date _____

Printed Name and Title Ellen S. Smith, Assistant Vice President and Director of Government Rela

LD-2DS (Rev. 4.07)

Page _

Registrant Name The Trustees of Columbia University Client Name The Trustees of Columbia University

ADDENDUM for General Lobbying Issue Area BUD

16. Specific lobbying issues (continued from previous page)

HR5522 Foreign Operations Appropriations Act
HR5441 Homeland Security Appropriations Act
HR5386 Interior & Environment Appropriations Act
HR5647 Labor/HHS/Education Appropriations Act
S3708 Labor/HHS/Education Appropriations Act

All provisions relating to science, healthcare and education funding

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LD-2DS

Add page to continue specific issues description for th

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Registrant Name The Trustees of Columbia University Client Name The Trustees of Columbia University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which th engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each co** information as requested. Attach additional page(s) as needed.

15. General issue area code CPT - Copyright/Patent/Trademark (one per page)

16. Specific lobbying issues

S. 2686 Communications, Consumer's Choice, and Broadband Deployment Act of 2006
H.R. 5252 Communications Opportunity, Promotion, and Enhancement Act of 2006
H.R.2795 Patent Reform Act of 2005

All provisions relating to intellectual property issues with relevance to higher education

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☐ Other

18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Ellen	Smith	Assistant VP and Director of Government Relations
Matthew	Bianco	Assistant Director of Government Relations
Nick	Moustakas	Sr. Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LD-2DS (Rev. 4.07)

Page _

Registrant Name The Trustees of Columbia University

Client Name The Trustees of Columbia University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the Registrant was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** as requested. Attach additional page(s) as needed.

15. General issue area code EDU - Education (one per page)

16. Specific lobbying issues

S.1932 Deficit Reduction Act of 2005
HR5647 Labor/HHS/Education
H.R. 609 College Access and Opportunity Act of 2005
S. 1614 Higher Education Amendments of 2005
All provisions relating to higher education funding and policy

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☐ Other

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen Smith	Assistant VP and Director of Government Relations
Matthew Bianco	Assistant Director of Government Relations
Nick Moustakas	Sr. Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LD-2DS (Rev. 4.07)

Page _

Registrant Name The Trustees of Columbia University

Client Name The Trustees of Columbia University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and attach additional page(s) as needed.

15. General issue area code ENG - Energy/Nuclear (one per page)

16. Specific lobbying issues

HR5427 Energy & Water Appropriations Act

All provisions relating to scientific research and education

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☐ Other

18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Ellen	Smith	Assistant VP and Director of Government Relations
Matthew	Bianco	Assistant Director of Government Relations
Nick	Moustakas	Sr. Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LD-2DS (Rev. 4.07)

Page _

Registrant Name The Trustees of Columbia University Client Name The Trustees of Columbia University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR - Health Issues (one per page)

16. Specific lobbying issues

H.R. 810 Stem Cell Research Enhancement Act
All provisions relating to scientific and public health research and training funding and policy

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☐ Other

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen Smith	Assistant VP and Director of Government Relations
Matthew Bianco	Assistant Director of Government Relations
Nick Moustakas	Sr. Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LD-2DS (Rev. 4.07)

Page _

Registrant Name The Trustees of Columbia University Client Name The Trustees of Columbia University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code HOM - Homeland Security (one per page)

16. Specific lobbying issues

HR5441 Homeland Security Appropriations Act
All provisions relating to scientific research and education

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☐ Other

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen Smith	Assistant VP and Director of Government Relations
Matthew Bianco	Assistant Director of Government Relations
Nick Moustakas	Sr. Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LD-2DS (Rev. 4.07)

Page _

Registrant Name The Trustees of Columbia University Client Name The Trustees of Columbia University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which th engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each co** information as requested. Attach additional page(s) as needed.

15. General issue area code MED - Medical/Disease Research/Clinical Labs (one per page)

16. Specific lobbying issues

HR5647 Labor/HHS/Education

All provisions relating to scientific and public health research and training funding and policy

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

HHS Office of Management and Budget
National Institutes of Health

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen Smith	Assistant VP and Director of Government Relation:
Matthew Bianco	Assistant Director of Government Relations
Nick Moustakas	Sr. Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LD-2DS (Rev. 4.07)

Page _

Registrant Name The Trustees of Columbia University

Client Name The Trustees of Columbia University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code MMM - Medicare/Medicaid (one per page)

16. Specific lobbying issues

H.Con.Res. 376 Budget Resolution
S.Con.Res. 83 Budget Resolution
HR5647 Labor/HHS/Education Appropriations Act
S.1932 Deficit Reduction Act of 2005
All provisions relating to financing of hospitals, medical education and physicians

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☐ Other

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen Smith	Assistant VP and Director of Government Relations
Matthew Bianco	Assistant Director of Government Relations
Nick Moustakas	Sr. Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LD-2DS (Rev. 4.07)

Page _

Registrant Name The Trustees of Columbia University Client Name The Trustees of Columbia University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code SCI - Science/Technology (one per page)

16. Specific lobbying issues

HR5672 Science/State/Justice/Commerce
HR5631 Defense
HR5427 Energy & Water
HR5647 Labor/HHS/Education
S3708 Labor/HHS/Education
H.R. 5358 Science and Mathematics Education for Competitiveness Act

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

Department of Energy
Department of Health and Human Services
National Science Foundation
National Oceanic and Atmospheric Administration
National Institutes of Health

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen Smith	Assistant VP and Director of Government Relations
Matthew Bianco	Assistant Director of Government Relations
Nick Moustakas	Sr. Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LD-2DS (Rev. 4.07)

Page _

Registrant Name The Trustees of Columbia University Client Name The Trustees of Columbia University

ADDENDUM for General Lobbying Issue Area SCI

16. Specific lobbying issues (continued from previous page)

H.R. 5356 Research for Competitiveness Act
S. 2802 American Innovation and Competitiveness Act of 2006
H.R. 5122 John Warner National Defense Authorization Act for Fiscal Year 2007

All provisions relating to scientific research funding and policy

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LD-2DS

Page _

Registrant Name The Trustees of Columbia University Client Name The Trustees of Columbia University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code TAX - Taxation/Internal Revenue Code (one per page)

16. Specific lobbying issues

H.R 4297 Tax Increase Prevention and Reconciliation Act of 2005
H.R. 4 Pension Legislation has some tax provisions of relevance to us

All provisions relating to tax issues of relevance to not-for-profit institutions and students

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☐ Other

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen Smith	Assistant VP and Director of Government Relations
Matthew Bianco	Assistant Director of Government Relations
Nick Moustakas	Sr. Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LD-2DS (Rev. 4.07)

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code TRA - Transportation (one per page)

16. Specific lobbying issues

HR 5576 Trans./Treasury/HUD/Judiciary Appropriations Act

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☐ Other

18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Ellen	Smith	Assistant VP and Director of Government Relations
Matthew	Bianco	Assistant Director of Government Relations
Nick	Moustakas	Sr. Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LD-2DS (Rev. 4.07)

Page _

Registrant Name The Trustees of Columbia University in the City of New York Client Name The Trustees of Columbia University

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different than line 20)

City

State/Zip

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

Matthew

Bianco

ISSUE UPDATE

24. General lobbying issues that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

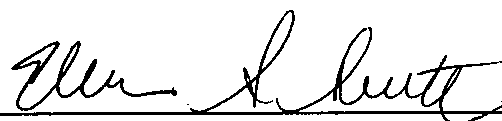
FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant or affiliated organization

Signature



Date

8/9/06

Printed Name and Title Ellen S. Smith, Assistant Vice President and Director of Government Rela

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