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Washington, DC 20515

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Washington, DC 20510

SECRETARY OF THE SENATE

02 AUG.14 PM 2:48

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name The OB-C Group, LLC			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address 1350 Eye Street, NW Suite 690 City Washington State/Zip (or Country) DC 20005 USA			
3. Principal Place of Business (if different from line 2) City State/Zip (or Country)			
4. Contact Name Telephone E-mail (optional) Celeste Dembowski 202-898-4746 cdembowski@ob-cgroup.com			5. Senate ID # 29802-455
7. Client Name <input type="checkbox"/> Self Investment Company Institute			6. House ID # 30108041

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) ☒ OR Year End (July 1-Dec 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ >> Termination Date _____ 11. No Lobby

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p style="text-align: center;">12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> >> \$ <u> \$180,000.00 </u> <div style="text-align: center; font-size: small;">Income (nearest \$20,000)</div></p> <p>Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p style="text-align: center;">13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> >> \$ _____ <div style="text-align: center; font-size: small;">Expenses (nearest \$20,000)</div></p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033 of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature _____ Date 8/14/02

Registrant Name: The OB-C Group, LLCClient Name: Investment Company Institute

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific Lobbying issues

S.30, Financial Information Privacy Protection Act of 2001, entire bill.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None**House of Representatives****Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Johnson, Michael S.	
Keating, Thomas	
McKernan, Kim F.	
Mellody, Charles J.	
Nelson, Patricia A.	
O'Brien, III, Lawrence F.	
Ruhlen, Mary Ellen	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature _____

Date 8/14/02

Client Name: Investment Company Institute

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Registrant Name: The OB-C Group, LLCClient Name: Investment Company Institute

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific Lobbying issues

H.R.10, Comprehensive Retirement Security and Pension Reform Act of 2001, IRA Expansion.**H.R.168, To amend the Internal Revenue Code of 1986 to allow individuals an exclusion from gross income for amounts of capital gains distributions from regulated investment companies., capital gains.****H.R.3162, Uniting and Strengthening America by Providing Appropriate Tools Required to Intercept and Obviate Terrorism (USA PATRIOT ACT) Act of 2001, money laundering, account management for foreign national.****H.R.3406, Electric Supply and Transmission Act, providing exemptions to affiliates of public utility holding companies, PUHCA repeal.****S.631, Comprehensive Retirement Security and Pension Reform Act of 2001, IRA Expansion.**

17. House(s) of Congress and Federal agencies contacted

☐ Check if None**House of Representatives****Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Johnson, Michael S.	
Keating, Thomas	
McKernan, Kim F.	
Mellody, Charles J.	
Nelson, Patricia A.	
O'Brien, III, Lawrence F.	
Ruhlen, Mary Ellen	

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☒ Check if NoneSignature _____ Date 8/14/02

Client Name: Investment Company Institute

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Registrant Name: The OB-C Group, LLCClient Name: Investment Company Institute

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific Lobbying issues

H.R.10, Comprehensive Retirement Security and Pension Reform Act of 2001, provide new incentives to save.**H.R.2269, Retirement Security Advice Act of 2001, entire bill.****H.R.3669, Employee Retirement Savings Bill of Rights, entire bill.****H.R.3762, Pension Security Act of 2002, entire bill.****S.1969, Pension Security Act of 2002, entire bill.****S.631, Comprehensive Retirement Security and Pension Reform Act of 2001, provide new incentives to save.**

17. House(s) of Congress and Federal agencies contacted

☐ Check if None**House of Representatives****Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Johnson, Michael S.	
Keating, Thomas	
McKernan, Kim F.	
Mellody, Charles J.	
Nelson, Patricia A.	
O'Brien, III, Lawrence F.	
Ruhlen, Mary Ellen	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if NoneSignature _____ Date 8/14/02

Client Name: Investment Company Institute

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Registrant Name: The OB-C Group, LLCClient Name: Investment Company Institute

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues

H.R.1836, Economic Growth and Tax Relief Reconciliation Act of 2001, entire bill.**H.R.3, Economic Growth and Tax Relief Act of 2001, entire bill.**

17. House(s) of Congress and Federal agencies contacted
House of Representatives
Senate

☐ Check if None

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Johnson, Michael S.	
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Mellody, Charles J.	
Nelson, Patricia A.	
O'Brien, III, Lawrence F.	
Ruhlen, Mary Ellen	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature


Date 8/14/02

