

Clerk of the House of Representatives  
 Legislative Resource Center  
 6-206 Cannon Building  
 Washington, DC 20515

Secretary of the Senate  
 Office of Public Records  
 232 Hart Building  
 Washington, DC 20510

RECEIVED  
 SECRETARY OF THE SENATE  
 PUBLIC RECORDS  
 01 MAY 14 PM 12:40

**LOBBYING REGISTRATION**

Lobbying Disclosure Act of 1995 (Section 4)

Check if this is an Amended Registration  1. Effective Date of Registration 4/27/01  
 2. House Identification Number 3549608 Senate Identification Number 62728-176

**REGISTRANT**

3. Registrant name Ricchetti Inc.  
 Address 1001 G Street NW Suite 700 East  
 City Washington State DC Zip 20001  
 4. Principal place of business (if different from line 3)  
 City SAME State/Zip (or Country)  
 5. Telephone number and contact name  
(202) 879-9367 Contact Jay Hembach E-mail (optional)  
 6. General description of registrant's business or activities  
Government Relations

**CLIENT** A Lobbying firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should check the box labeled "Self" and proceed to line 10.  Self

7. Client name Novartis Corp.  
 Address 701 Penn. Ave. NW 7th Floor  
 City Washington State DC Zip 20004  
 8. Principal place of business (if different from line 7)  
 City New York State/Zip (or Country) NY 10020  
 9. General description of client's business or activities  
Pharmaceuticals

**LOBBYISTS**

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any person listed in this section has served as a "covered executive branch official" or "covered legislative branch official" within two years of first acting as a lobbyist for the client, state the executive and/or legislative position(s) in which the person served.

Add

Name	Covered Official Position (if applicable)
<u>Lisa Kavoutapas</u>	<u>Deputy Assistant to the President</u> <u>Special Assistant to the President</u>

**LOBBYING ISSUES**

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, page 1.

PHA AGR BUD TAX TRD

12. Specific lobbying issues (current and anticipated)

Pharmaceutical issues  
Agricultural issues  
Appropriation and tax  
Trade issues

**AFFILIATED ORGANIZATIONS**

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period and in whole or in major part plans, supervises or controls the registrant's lobbying activities?

- No -> Go to line 14.  Yes -> Complete the rest of this section for each entity matching the criteria above, then proceed to line 14.

Name	Address	Principal Place of Business (city and state or country)

**FOREIGN ENTITIES**

14. Is there any foreign entity that:

- a) holds at least 20% equitable ownership in the client or any organization identified on line 13; **OR**
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidizes activities of the client or any organization identified on line 13; **OR**
- c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of the lobbying activity?

- No -> Sign and date the registration.  Yes -> Complete the rest of this section for each entity matching the criteria above, then sign and date the registration.

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client
Novartis AG	CH-4002 POSTFACH	Basel, Switzerland		100%

Signature Steve Ricchetti Date 05/06/01

Printed Name and Title Steve Ricchetti, President and Founder