

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

RECEIVED  
SECRETARY OF THE SENATE  
PUBLIC RECORDS

02 AUG 21 PM 3:07

# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>The Duberstein Group, Inc.</b>			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address <b>2100 Pennsylvania Ave, NW Ste 500</b> City <b>Washington</b> State/Zip (or Country) <b>DC 20037</b> <b>USA</b>			
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____			
4. Contact Name <b>John W. Angus, III</b>	Telephone <b>202-728-1100</b>	E-mail (optional)	5. Senate ID # <b>12675-241</b>
7. Client Name <input type="checkbox"/> Self <b>American Water Works Company, Inc.</b>			6. House ID # <b>31811022</b>

**TYPE OF REPORT** 8. Year 2002 Midyear (January 1-June 30) ☒ OR Year End (July 1-Dec

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ >> Termination Date \_\_\_\_\_

11. No Lobbyi

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p align="center"><b>12. Lobbying Firms</b></p> <p><b>INCOME</b> relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> &gt;&gt; \$ <u>\$20,000.00</u> Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center"><b>13. Organizations</b></p> <p><b>EXPENSES</b> relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> &gt;&gt; \$ _____ Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of</p> <p><input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definition</p> <p><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(c) of the Internal Revenue Code</p> <p><input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature \_\_\_\_\_ Date 8/14/2002



Registrant Name: The Duberstein Group, Inc.

Client Name: American Water Works Company, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code UTI (one per page)

16. Specific Lobbying issues

H.R.3178, Water Infrastructure Security and Research Development Act, Water infrastructure security and  
H.R.3227, To amend the Safe Drinking Water Act to provide for research on methods to combat biological c  
of public drinking water supplies, and for other purposes., Water infrastructure security and funding  
H.R.3448, Public Health Security and Bioterrorism Response Act of 2001, Water infrastructure security and  
H.R.3792, Clean Water Infrastructure and Security Improvement Act of 2002, Water infrastructure security  
H.R.3930, Water Quality Financing Act of 2002, Water infrastructure security and funding  
H.R.4775, 2002 Supplemental Appropriations Act for Further Recovery >From and Response To Terrorist A  
the United States, Water infrastructure security and funding  
S.1593, Water Infrastructure Security and Research Development Act, Water infrastructure security and fur  
S.1608, A bill to establish a program to provide grants to drinking water and wastewater facilities to meet im  
security needs., Water infrastructure security and funding

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

Council on Environmental Quality  
Environmental Protection Agency  
House of Representatives  
Office of Management and Budget  
Senate  
The White House

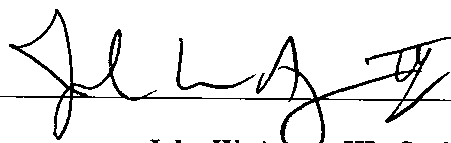
18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Angus, III, John W.	
Berman, Michael S.	
Champlin, Steven M.	
Duberstein, Kenneth M.	
Gandy, Henry M.	
Meyer, Daniel	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature



Date 8/14/2002



Registrant Name: The Duberstein Group, Inc.Client Name: American Water Works Company, Inc.

Item	Description	Data
16	Lobbying Issues	S.1765, Bioterrorism Preparedness Act of 2001, Water infrastructure security funding
16	Lobbying Issues	S.1961, Water Investment Act of 2002, Water infrastructure security and func
16	Lobbying Issues	S.2551, 2002 Supplemental Appropriations Act for Further Recovery From ar Response To Terrorist Attacks on the United States, Water infrastructure sect and funding
16	Lobbying Issues	OTHER CONGRESSIONAL ACTIONS: Legislative proposals re privatizatio initiatives

