Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

SECRETARY OF THE SENAT

02 JUN 25 PM 3: 47

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

	·	
1. Registrant Name		
Ryan, Phillips, Utrecht, & MacKinnon		
2. Address		
3. Principal Place of Business (if different from line 2)		
City: Washington State/Zip (or C	Country) DC 20036	
4. Contact Name Telephone	E-mail (optional)	5. Senate
Rodney Hoppe 202-293-1177	L-man (optional)	J. Senate
7. Client Name Self		6. House
Investment Company Institute		306
TYPE OF REPORT 8. Year 2001 Midyear	(January 1-June 30) OR Year E	nd (July 1-I
·		and (July 1-1
9. Check if this filing amends a previously filed version of this re-	eport [_]	
10. Check if this is a Termination Report	on Date1	1. No Lobb
INCOME OR EXPENSES – Complete Either L	ine 12 OR Line 13	
12. Lobbying Firms	13. Organiza	tions
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activ were:	ities for this
Less than \$10,000	Less than \$10,000	
\$10,000 or more \$\frac{60,000}{\text{Income (nearest \$20,000)}}\$	\$10,000 or more	\$ Expenses (n
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check accounting method. See instructions fo	
	θ Method A. Reporting amounts using LDA de	
	θ Method B. Reporting amounts under section Internal Revenue Code	
	θ Method C. Reporting amounts un Internal Revenue Cod	
		

Printed Name and Title Rodney Hoppe, Legislative Associate

Registrant Name Ryan, Phillips, Utrecht & MacKinno	n Client Name Investment Company Institute
LOBBYING ACTIVITY. Select as many codes a engaged in lobbying on behalf of the client during t information as requested. Attach additional page(s)	s necessary to reflect the general issue areas in which the reporting period. Using a separate page for each that as needed.
15. General issue area code <u>BAN</u> (one per pa	ge)
sharing efforts of Federal and State regulators. Security and Exchange Commission Regulation	n Fair Disclosure, Congressional Oversight Hearings. ertain housing related Government Sponsored Entities,
17. House(s) of Congress and Federal Agencies con U.S. House U.S. Senate Executive Office of the President	ntacted
18. Name of each individual who acted as a lobbyis	et in this issue area
Name	Covered Official Position (if applicable)
Matt Berzok	
Rodney Hoppe	
Jeffrey M. MacKinnon	
William D. Phillips	
Mark Planning	
Thomas M. Ryan	
Joseph V. Vasapoli	

19. Interest of each foreign entity in the specific issues li	sted on line 16 above
Signature	D .
Dignature	Date

00020193011

Registrant Name_	Ryan, Phillips, Utrecht & MacKinnon	Client Name	e Investment Company Institute
engaged in lobby	CTIVITY. Select as many codes as necessying on behalf of the client during the reportequested. Attach additional page(s) as need	ting period. U	he general issue areas in which t Ising a separate page for each (
15. General issue	e area code <u>CPI</u> (one per page)		
16. Specific lob	bying issues		
Issues relat	ing to developing legislation relating to pri	vacy and the F	inancial Services Industry
17. House(s) of	Congress and Federal Agencies contacted	□ c.	heck if None
U.S. House U.S. Senate			
18. Name of eac	ch individual who acted as a lobbyist in this	1	Covered Official Position (if applicable)
Matt Berzok			
Rodney Hop	pe		
Jeffrey M. M	 facKinnon		
William D. F		-	
Mark Planni	ng		
Thomas M. 1	 Ryan		
Joseph V. Va	 asapoli		
renne			
19. Interest of eac	h foreign entity in the specific issues listed on I	ine 16 above	Check if None
Signature			Date
			

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed. 15. General issue area code FIN (one per page) 16. Specific lobbying issues NONE 17. House(s) of Congress and Federal Agencies contacted	Registrant Name	Ryan, Phillips,	Utrecht & Ma	cKinnon	Client Nam	ne <u>Investment Con</u>	pany Institute
16. Specific lobbying issues NONE 17. House(s) of Congress and Federal Agencies contacted	engaged in lobby	ring on behalf or	f the client di	uring the reporting	ng period. U	the general issue ar U sing a separate p	eas in which tage for each
NONE 17. House(s) of Congress and Federal Agencies contacted Check if None 18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Position (if applicable) Matt Berzok Rodney Hoppe Jeffrey M. MacKinnon William D. Phillips Mark Planning Thomas M. Ryan Joseph V. Vasapoli	15. General issue	area code	<u>FIN</u> (one	per page)			
18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Position (if applicable) Matt Berzok Rodney Hoppe Jeffrey M. MacKinnon William D. Phillips Mark Planning Thomas M. Ryan Joseph V. Vasapoli		oying issues					
Name Covered Official Position (if applicable) Matt Berzok Rodney Hoppe Jeffrey M. MacKinnon William D. Phillips Mark Planning Thomas M. Ryan Joseph V. Vasapoli	17. House(s) of (Congress and Fe	ederal Agenc	ies contacted	⊠ C	Check if None	
Rodney Hoppe Jeffrey M. MacKinnon William D. Phillips Mark Planning Thomas M. Ryan Joseph V. Vasapoli	18. Name of eacl		o acted as a l	obbyist in this is	sue area	Covered Official Positio	п (if applicable)
Rodney Hoppe Jeffrey M. MacKinnon William D. Phillips Mark Planning Thomas M. Ryan Joseph V. Vasapoli	Matt Berzok						
William D. Phillips Mark Planning Thomas M. Ryan Joseph V. Vasapoli	Rodney Hopp	oe			•		
William D. Phillips Mark Planning Thomas M. Ryan Joseph V. Vasapoli	Jeffrey M. M.	acKinnon			•		
Thomas M. Ryan Joseph V. Vasapoli		hillips			•		
Thomas M. Ryan Joseph V. Vasapoli	Mark Plannin	g					
Joseph V. Vasapoli					-		
					. <u>-</u>		
		•			. -		
19. Interest of each foreign entity in the specific issues listed on line 16 above	19. Interest of each	n foreign entity in	the specific is	ssues listed on line	16 above	☐ Check if N	one
Signature Date	Signature					Date	

00020193013

Registrant Name Ryan, Phillips, Utrecht & Mac	Kinnon Client Name Investment Company Institute
LOBBYING ACTIVITY. Select as many co- engaged in lobbying on behalf of the client du- information as requested. Attach additional p	odes as necessary to reflect the general issue areas in which the ring the reporting period. Using a separate page for each (age(s) as needed.
15. General issue area code <u>RET</u> (one	per page)
16. Specific lobbying issues NONE	
17. House(s) of Congress and Federal Agenci	es contacted
18. Name of each individual who acted as a lo	obbyist in this issue area Covered Official Position (if applicable)
Matt Berzok	
Rodney Hoppe	
Jeffrey M. MacKinnon	
William D. Phillips	
Mark Planning	
Thomas M. Ryan	•••
Joseph V. Vasapoli	
19. Interest of each foreign entity in the specific is	sues listed on line 16 above
Signature	Date

Registrant Name Ryan, Phillips, U	Itrecht & MacKinnon	_ Client NameInvestment Company Institute
LOBBYING ACTIVITY. Select engaged in lobbying on behalf of information as requested. Attach	the client during the reporting	y to reflect the general issue areas in which to ng period. Using a separate page for each of the
15. General issue area code <u>Ta</u>	AX (one per page)	
16. Specific lobbying issues NONE		
17. House(s) of Congress and Fed	deral Agencies contacted	☑ Check if None
18. Name of each individual who	acted as a lobbyist in this is	ssue area Covered Official Position (if applicable)
Matt Berzok		
Rodney Hoppe		
Jeffrey M. MacKinnon		
William D. Phillips		
Mark Planning		
Thomas M. Ryan		·•
Joseph V. Vasapoli		
19. Interest of each foreign entity in t	the specific issues listed on line	16 above \(\sum \) Check if None
Signature		Date