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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

| | | | |
|---|---------------------------|-------------------|-----------------------|
| 1. Registrant Name Ryan, Phillips, Utrecht, & MacKinnon | | | |
| 2. Address <input type="checkbox"/> Check if different than previously reported 1133 Connecticut Avenue, NW, Suite 300 | | | |
| 3. Principal Place of Business (if different from line 2) City: Washington State/Zip (or Country) DC 20036 | | | |
| 4. Contact Name Rodney Hoppe | Telephone 202-293-1177 | E-mail (optional) | 5. Senate ID: |
| 7. Client Name <input type="checkbox"/> Self Duke Energy | | | 6. House ID: 30680 |

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) OR Year End (July 1-Dec)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report Termination Date _____ 11. No Lobbying

INCOME OR EXPENSES – Complete Either Line 12 OR Line 13

| | |
|---|---|
| <p align="center">12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> [\$ <u>60,000</u> Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p> | <p align="center">13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> [\$ _____ Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 60 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 16 Internal Revenue Code</p> |
|---|---|

Signature Rodney Hoppe 8/12/02

Printed Name and Title Rodney Hoppe, Legislative Associate

Registrant Name Ryan, Phillips, Utrecht & MacKinnon

Client Name Duke Energy

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code UTI (one per page)

16. Specific lobbying issues

- H.R. 1647, A bill to provide for electrical emergencies, all sections
- H.R. 268, A bill to require the FERC to order refunds for unjust electricity rates, all sections
- S. 1951, Provide oversight of energy trading markets, all sections
- H.R. 4826, Truth in electricity trading act, all sections
- S. 80, A bill to require the FERC to order refunds for unjust electricity rates, all sections
- H.R. 3406, S. 97 Comprehensive Energy legislation, all sections
- H.R. 2311, S. 1171, Energy and Water Appropriations FY 2002, electricity provisions
- H.R. 4, Omnibus energy legislation, all sections

17. House(s) of Congress and Federal Agencies contacted Check if None

- U.S. House
- U.S. Senate
- Department of Energy
- Executive Office of the President

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|----------------------|---|
| Matt Berzok | |
| Rodney Hoppe | |
| Jeffrey M. MacKinnon | |
| William D. Phillips | |
| Mark Planning | |
| Thomas M. Ryan | |
| Joseph V. Vasapoli | |

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____

Date _____

Printed Name and Title Rodney Hoppe- Legislative Associate

Registrant Name Ryan, Phillips, Utrecht & MacKinnon

Client Name Duke Energy

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15. General issue area code WAS (One per page)

16. Specific lobbying issues

H.R. 45; S. 608 Nuclear Waste Policy Act, All provisions

H.R. 1309 Nuclear Waste Protection and Responsible compensation Act, All provisions

17. House(s) of Congress and Federal Agencies contacted

Check if None

- U.S. House
- U.S. Senate
- Department of Energy
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19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____ Date _____

Printed Name and Title Rodney Hoppe- Legislative Associate

