

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**NORTHWEST AIRLINES, INC.**

2. Address:

901 15TH ST NW #310, WASHINGTON, DC 20005

3. Principal place of business (if different from line 2):

City: ST. PAUL State/Zip(or Country): MN 55111

4. Contact Name: NANCY DUKE

Telephone: 202-842-4185

E-mail (optional): nancy.duke@nwa.com

Senate ID #: 29573-12

House ID #:

7. Client Name: ☒ Self

## TYPE OF REPORT

8. Year 2002 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

## INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): \_\_\_\_\_

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 988,675.00

### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- ☐ **Method A.** Reporting amounts using LDA definitions only  
☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code  
☒ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: AVI (one per page)

16. Specific lobbying issues:

H.R. 142 Airline Competition Preservation Act of 2001 H.R. 204 Airline Competition and Fairness Act of 2001 H.R. 299 Aircraft Noise Reduction Act of 2001 H.R. 332 Aviation Consumer Right to Know Act of 2001 H.R. 384 Airline Passenger Fair Treatment Act of 2001 H.R. 448 A bill to limit the age restrictions imposed by the administrator of the Federal Aviation Administration for the issuance or renewal of certain airman certificates, and for other purposes. H.R. 571 Airline Customer Notification Act H.R. 757 Airport Congestion Relief Act of 2001 H.R. 761 Airline Merger Moratorium Act of 2001 H.R. 907 Airline Competition and Passenger Rights Act of 2001 H.R. 1074 Consumer-Friendly Airline Ticket Transfer Act H.R. 1288 A bill to amend title 49, U.S. Code, relating to the airport noise and access review program. H.R. 1407A bill to amend title 49, U.S. Code, to permit air carriers to meet and discuss their schedules in order to reduce flight delays, and for other purposes. H.R. 1686 A bill to amend title 49, U.S. Code, to limit the Federal Aviation Administration's authority to establish examination requirements for pilots, other than pilots involved in commercial operations. H.R. 1734 Airline Passenger Bill of Rights Act H.R. 1741 A bill to direct the secretary of Transportation to prohibit the commercial operation of supersonic transport category aircraft that do not comply with stage three noise levels. H.R. 1792 Airline Customer Service Improvement Act H.R. 2158 Aircraft Clean Air Act of 2001 H.R. 2895 Aviation Security Enhancement Act of 2001 H.R. 2896 Anti-Terrorism Act of 2001 H.R. 2913 Aviation Security Improvement Act of 2001 H.R. 2926 Air Transportation Safety and System Stabilization Act H.R. 2951 Aviation Security Act H.R. 2957 Secure Aviation Employment and Training Enhancement Act of 2001 H.R. 2958 Passenger airline Safety and Security Act H.R. 3064 Airline Security Act of 2001 H.R. 3101 Aviation Security Technology Enhancement Act H.R. 3110 Transportation Security Enhancement Act of 2001 H.R. 3120 Airline Check for Terrorist Act H.R. 3150 Airport Security Federalization Act of 2001 H.R. 3165 Aviation Security Act H.R. 3311 Passenger Safe Travel Act of 2001 H.R. 3479 National Aviation Capacity Expansion Act H.R. 4016 To amend title 49, United States Code, to extend the time during which air carrier liability for third party damages as a result of a terrorist attack may be limited, and for other purposes. H.R. 4466 National Transportation Safety Board Reauthorization Act of 2002 H.R. 4481 Airport Streamlining Approval Process Act of 2002 H.R. 4635 Arming Pilots Against Terrorism Act H.R. 5506 Aviation Industry Stabilization and Reform Act of 2002 H. Res. 241 Expressing the sense of the House of Representatives that the Administrator of the Federal Aviation Administration should consider hiring an employee whose employment with an air carrier was terminated as a result of the terrorist attacks that occurred on September 11, 2001, for a position with the Federal Air Marshal program of the Administration H. Res. 274 Providing for consideration of the bill (H.R. 3150) to improve aviation security and for other purposes. S. 199 Airline Competition Preservation Act of 2001 S. 200 Air Travelers Fair Treatment Act of 2001 S. 319 Airline Customer Service Improvement Act S. 361 Age limitations for airmen S. 415 Aviation Competition Restoration Act S. 483 Fair Treatment of Airline Passengers Act S. 578 Airline Merger Moratorium Act S. 633 Aviation Delay Prevention Act S. 688 A bill to amend title 49, U.S. Code, relating to the airport noise and access review program. S. 1421 Emergency Aviation Security Act of 2001 S. 1429 Airport and Seaport terrorism Prevention Act S. 1444 Federal Air Marshal and Safe Sky Act S. 1447 Aviation Security Act S. 1461 Airline Passenger Safety Enhancement Act of 2001 S. 1463 Airline Safety Act of 2001 S. 1473 To amend title 49, United States Code, to provide for the enhancement of security at airports in the United States S. 1544 To direct the Secretary of Transportation to give certain workers who have lost their jobs as a result of the terrorist attacks of September 11, 2001, priority in hiring for aviation-related security positions. S. 1786 National Aviation Capacity Expansion Act S. 2039 National Aviation Capacity Expansion Act of 2002 S. 3165 Aviation Security Act, II S. 3220 Secure Transportation for America Act of 2001 ----- GENERAL AVIATION ISSUES: Joint Marketing Agreements Private Charter Screening Cargo Security ----- US/UK Open Skies US/Hong Kong Bilateral Agreements US/EC Issues EC Mandate by Member States - Response to EDJ Opinion KLM Alliance Approval China Schedule Approvals US/Philippines Negotiations US/Vietnam Negotiations US/Jamaica Negotiations US/China Negotiations ----

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)  
HOUSE OF REPRESENTATIVES  
Justice, Dept of (DOJ)  
Office of Management & Budget (OMB)  
SENATE  
State, Dept of (DOS)  
Transportation Security Administration  
Transportation, Dept of (DOT)  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BETHKE, CECILIA

Registrant Name: NORTHWEST AIRLINES, INC. Client Name: Self

Covered Official Position (if applicable): N/A  
Name: MISHKIN, DAVID  
Covered Official Position (if applicable): N/A  
Name: NEWMAN, ANDREA FISCHER  
Covered Official Position (if applicable): N/A  
Name: ROSIA, MEGAN RAE  
Covered Official Position (if applicable): N/A  
Name: STEENLAND, DOUGLAS M.  
Covered Official Position (if applicable): N/A  
Name: VEITH, SALLY W.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NORTHWEST AIRLINES, INC. Client Name: Self

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

H.R. 2216 2001 Supplemental Appropriations Act H.R. 2299 Department of Transportation and Related Agencies  
Appropriations Act, 2002 H.R. 2500 Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies  
Appropriations Act, 2002 H.R. 2590 Making appropriations for the Treasury Department, the United States Postal Service, the  
Executive Office of the President, and certain Independent Agencies, for the fiscal year ending September 30, 2002, and for other  
purposes. H.R. 4775 2002 Supplemental Appropriations Act for Further recovery From and Response To Terrorist Attacks on the  
United States H.R. 5559 Transportation Appropriations Act, 2003 ..... S. 1178  
Department of Transportation and Related Agencies appropriations Act, 2002 S. 2778 Department of Commerce, Justice,  
and State Appropriations, FY2003 S. 2808 Department of Transportation and Related Agencies Appropriations Act of  
2003

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
Office of Management & Budget (OMB)  
SENATE  
Transportation, Dept of (DOT)  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: NEWMAN, ANDREA FISCHER  
Covered Official Position (if applicable): N/A  
Name: VEITH, SALLY W.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NORTHWEST AIRLINES, INC. Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: LBR (one per page)

16. Specific lobbying issues:

S. 1327 A bill to amend title 49, United States Code, to provide emergency Secretarial authority to resolve airline labor disputes.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: NEWMAN, ANDREA FISCHER

Covered Official Position (if applicable): N/A

Name: VEITH, SALLY W.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NORTHWEST AIRLINES, INC. Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RET (one per page)

16. Specific lobbying issues:

HR 3762 Pension Security Bill HR 3669 Employee Retirement Savings Bill S. 2563 Pension Funding Legislation

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Office of Management & Budget (OMB)

SENATE

White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: NEWMAN, ANDREA FISCHER

Covered Official Position (if applicable): N/A

Name: ROSIA, MEGAN RAE

Covered Official Position (if applicable): N/A

Name: STEENLAND, DOUGLAS M.

Covered Official Position (if applicable): N/A

Name: VEITH, SALLY W.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NORTHWEST AIRLINES, INC. Client Name: Self

## LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRA (one per page)

16. Specific lobbying issues:

Government City Pair Program Travel Contract Military Travel Policies Travel issues covering DoD and Federal Agencies Transaction fees on government paid travel

17. House(s) of Congress and Federal agencies contacted:

Agriculture, Dept of (USDA)  
Air Force, Dept of  
Army, Dept of (Other)  
Central Intelligence Agency (CIA)  
Commerce, Dept of (DOC)  
Defense Intelligence Agency (DIA)  
Defense, Dept of (DOD)  
Drug Enforcement Administration (DEA)  
Federal Bureau of Investigation (FBI)  
Federal Deposit Insurance Commission (FDIC)  
General Services Administration (GSA)  
Interior, Dept of (DOI)  
Justice, Dept of (DOJ)  
Nat'l Oceanic & Atmospheric Administration (NOAA)  
Nat'l Security Agency (NSA)  
Navy, Dept of  
Peace Corps  
SENATE  
Securities & Exchange Commission (SEC)  
State, Dept of (DOS)  
U.S. Agency for International Development (USAID)  
U.S. Coast Guard (USCG)  
U.S. Information Agency (USIA)  
U.S. Postal Service (USPS)  
U.S. Secret Service

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CLIFFORD, DENNY

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Jan 28, 2003

Printed Name and Title: ANDREA FISCHER NEWMAN - SENIOR VICE PRESIDENT - GOVERNMENT AFFAIRS

### Information Update Page:

Complete ONLY where registration information has changed.

### LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

Name: AILOR, DIANE  
Name: AMANTE, CAROL  
Name: FOUSHEE, CLAY  
Name: GRANESE, LARRY  
Name: HIRST, RICHARD  
Name: LIEN, RICHARD  
Name: QUERN, DARRIN  
Name: SEIDEN, ELLIOTT  
Name: VAN DE WATER, READ

### ISSUE UPDATE

24. General lobbying issues previously reported that NO LONGER pertain

### AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

### FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Jan 28, 2003

Printed Name and Title: -