

Clerk of the House of Representatives
Legislative Resource Center
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Washington, DC 20515

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Office of Public Records
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Washington, DC 20510

SECRETARY OF THE SENATE

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H.O.

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Seward & Kissel LLP			
2. Address <input type="checkbox"/> Check if different than previously reported 1200 G Street, N.W., Suite 350, Washington, D.C. 20005			
3. Principal Place of Business (if different from line 2) City: New York State/Zip (or Country): New York 10004			
4. Contact Name Paul T. Clark, Esq.	Telephone 202 737-8833	E-mail (optional) clark@sewkis.com	5. Senate ID # 34898-00063
7. Client Name <input type="checkbox"/> Self Merrill Lynch & Co., Inc.	6. House ID # 31627004		

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☒

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ , Termination Date _____

11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input checked="" type="checkbox"/> , \$ <u>20,000</u> Income (nearest \$20,000)	\$10,000 or more <input type="checkbox"/> , \$ _____ Expenses (nearest \$20,000)
14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.	
<input type="checkbox"/> Method A. Reporting amounts using LDA definitions only	
<input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code	
<input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code	

Signature _____

Printed Name and Title _____

Registrant Name Seward & Kissel LLP

Client Name Merrill Lynch & Co., Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attached additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues

Amendments to the restrictions imposed by the Competitive Equality Banking Act of 1987 on insured depository institutions owned by companies that are not bank holding companies under the Bank Holding Company Act, including H.R. 10, S. 900, H.R. 1585 and S. 576, and such other legislation addressing those issues as may be introduced.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Paul T. Clark, Esq.		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature

Date February 11, 2000

Printed Name and Title Paul T. Clark, Esq./Partner