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SECRETARY OF THE SENATE  
 03 FEB 28 PM 2:10

# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page


1. Registrant name Loeffler Jonas & Tuggey LLP			
2. Address		<input type="checkbox"/> Check if different than previously reported	
755 East Mulberry, Suite 200			
3. Principal place of business (if different from line 2)			
City	San Antonio	State/Zip (or Country)	Texas 78212
4. Contact Name	W. James Jonas III	Telephone	210/354-4300
7. Client Name	Investment Company Institute	<input type="checkbox"/> Self	
			5. Senate ID 64634-2
			6. House ID 355480

**TYPE OF REPORT** 8. Year 2002 Midyear (January 1-June 30)  OR Year End (July 1-December)

9. Check if this filing amends a previously filed version of this report   
 10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_ 11. No Lobby Act

## INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ <u>\$80,000</u>                  Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____                  Expenses (nearest \$)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate appropriate accounting method. See instructions for description of options</p> <p><input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definition</p> <p><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(b) Internal Revenue Code</p> <p><input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) Internal Revenue Code</p>
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Signature  Date 2/11/20

Printed Name and Title W. James Jonas, III, Partner

Form 1 D 2 (Rev 06/98)

Registrant Name Loeffler Jonas & Tuggey LLP Client Name Investment Company Institute

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the regi engaged in lobbying activity on behalf of the client during the reporting period. **Using a separate page for each** provide information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues  
Issues affecting ICI, including, but not limited to, tax policy

17. House(s) of Congress and Federal agencies contacted  Check if None  
House, Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Jon Plebani	
Tom Loeffler	
Daniel Cohen	
W. James Jonas III	
Jose Martinez	
Mark Franz	
Michael Frazier	Former Assistant Secretary of Transportation for Government Aff

19. Interest of each foreign entity in the specific issues listed on the line 16 above  Check if None



Date 2-11-03

Signature \_\_\_\_\_

Printed Name and Title W. James Jonas III, Partner

Form LD-2 (Rev 06/98)