

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRET  
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# LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

1. Effective Date of Registration 04/21/2006

2. House Identification Number 31811

Senate Identification Number 12675-

## REGISTRANT

3. Registrant name Organization The Duberstein Group Inc.

Address 2100 Pennsylvania Ave, NW Suite 500

City Washington State DC Zip 20037 USA

4. Principal place of business (if different than line 3)

City State Zip

5. Telephone number and contact name

202-728-1100 Prefix Full Name Contact Mr. Michael S. Berman E-mail mberman@dubersteingroup.com

6. General description of registrant's business or activities

Corporate consulting and government relations

**CLIENT** A Lobbying firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should check the box labeled "Self" and proceed to line 10.  Self

7. Client name Novartis Vaccines & Diagnostics Inc.

Address 1300 I Street, NW, Suite 1090 East

City Washington State DC Zip 20005 Country USA

8. Principal place of business (if different than line 7)

City State Zip Country

9. General description of client's business or activities

Research, manufacturing and sale of biotechnology products to improve human health

## LOBBYISTS

Go to page 3 to add more lo.

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any person list section has served as a "covered executive branch official" or "covered legislative branch official" within two years of first a lobbyist for the client, state the executive and/or legislative position(s) in which the person served.

First	Name Last	Suffix	Covered Official Position (if applicable)
Michael	Berman		
Steven	Champlin		
Kenneth	Duberstein		
Henry	Gandy		
Daniel	Meyer		



Registrant Name The Duberstein Group Inc.

Client Name Novartis Vaccines & Diagnostics Inc.

**LOBBYING ISSUES** Find the code to select below.

Go to page 3 to add more lobbying

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, page

BUD

PHA

12. Specific lobbying issues (current and anticipated)

Legislation relating to influenza vaccine; pandemic planning; and general vaccine-related proposals

**AFFILIATED ORGANIZATIONS**

Go to page 3 to add more organiz

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period and in whole or in major part plans supervises or controls the registrant's lobbying activities?

No ⇨ Go to line 14.

Yes ⇨ Complete the rest of this section for each entity matching th criteria above, then proceed to line 14.

Name	Address	Principal place of Business (city and state or country)

**FOREIGN ENTITIES**

Go to page 3 to add more foreign

14. Is there any foreign entity that:

- a) holds at least 20% equitable ownership in the client or any organization identified on line 13; **OR**
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidizes activ the client or any organization identified on line 13; **OR**
- c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of t lobbying activity?

No ⇨ Sign and date the registration.

Yes ⇨ Complete the rest of this section for each entity matching the criteria above, then sign and date th registration.

Name	Address			Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Owr perc in c
	Street Address	City	State/Province Country			
Novartis AG	Lichstrasse 35 WSJ Basel		CH	Basel CH	\$0	10

Form Com

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Printed Name and Title Michael S. Berman, President

