

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**MORGAN CHASE & CO, J.P.**

2. Address:

270 PARK AVENUE, 29th FLOOR, NEW YORK, NY 10017

3. Principal place of business (if different from line 2):

4. Contact Name: DANIEL J. EKSTEIN

Telephone: 2025858942

E-mail (optional): daniel.j.ekstein@jpmchase.com

Senate ID #: 25807-12

House ID #:

7. Client Name:  Self

## TYPE OF REPORT

8. Year 2005 Midyear (January 1 - June 30):  **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report:  => Termination Date: \_\_\_\_\_ 11. No Lobbying Activity:

## INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more:  => Income (nearest \$20,000): \_\_\_\_\_

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more:  => Expenses (nearest \$20,000): 1,560,000.00

### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- Method A.** Reporting amounts using LDA definitions only  
 **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code  
 **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: MORGAN CHASE & CO, J.P. Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BAN (one per page)

16. Specific lobbying issues:

- Regulatory Parity: (Discuss the need for a level playing field for regulated financial institutions). - Data Security: HR 3140, HR 3375, S 1789 (Legislation outlining procedures of notifying consumers when their personal information has been compromised. Sets forth standards for the security of sensitive data, defines procedures for notification of consumers whose data has been compromised). - Basel II: (Regarding implementation of Basel II capital accords). - Credit Card Pricing: (Address concerns and educate members of congress on credit card pricing and marketing practices).

17. House(s) of Congress and Federal agencies contacted:

Federal Deposit Insurance Commission (FDIC)

HOUSE OF REPRESENTATIVES

SENATE

Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CAMPER, NAOMI G.

Covered Official Position (if applicable): DEM STF DIR, SEN SUBCOM FINANCIAL INSTITUTIONS

Name: JENNINGS, GAYLE C.

Covered Official Position (if applicable): N/A

Name: RUHLEN, STEPHEN S

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: MORGAN CHASE & CO, J.P. Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CPT (one per page)

16. Specific lobbying issues:

- Patents: HR 2795 (Reform of the patent laws, specifically in the area of business method patents).

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CAMPER, NAOMI G.

Covered Official Position (if applicable): DEM STF DIR, SEN SUBCOM FINANCIAL INSTITUTIONS

Name: KELLER, JAMES A.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: MORGAN CHASE & CO, J.P. Client Name: Self

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FIN (one per page)

16. Specific lobbying issues:

- Vicarious Liability: HR 3 (Inclusion of provision to preempt state laws that allow leasing or renting company or those who finance these arrangements, as "owner" of the vehicle, to be held liable for the negligent acts of the driver regardless of the fact that the company has absolutely no control over the use or operation of the vehicle). - Student Loans/Federal Family Education Loan Program: HR 609, S 1932 (Provisions affecting student loans in the reauthorization of the Higher Education Act. Reauthorizes and amends the FFEL program). - Bonds: HR 4400 (Authorizing bonds for rebuilding and recovery in areas affected by Hurricane Katrina). - CFTC The Commodities Exchange Act: (Reauthorization for the continued operation of the Commodity Futures Trading Commission, which regulates most futures transactions and is a potential regulator of derivatives). - Pension Reform: HR 2830, S 1783 (Regarding potential pension regulation and reform).

17. House(s) of Congress and Federal agencies contacted:

Executive Office of the President (EOP)  
HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CAMPER, NAOMI G.

Covered Official Position (if applicable): DEM STF DIR, SEN SUBCOM FINANCIAL INSTITUTIONS

Name: KELLER, JAMES A.

Covered Official Position (if applicable): N/A

Name: LAZIO, RICK

Covered Official Position (if applicable): N/A

Name: RUHLEN, STEPHEN S

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: MORGAN CHASE & CO, J.P. Client Name: Self

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: GOV (one per page)

16. Specific lobbying issues:

- Postal Reform: HR 22, S 662 (Modernizes the postal services).

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: KELLER, JAMES A.

Covered Official Position (if applicable): N/A

Name: RUHLEN, STEPHEN S

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: MORGAN CHASE & CO, J.P. Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

- Dividend Permanence: HR 4297 (Make permanent dividend and capital gain provisions of the 2003 tax law). - CDLI: HR 2251 (Regarding the prospective treatment of corporate-owned life insurance policies). - Common Trust Funds: (Inequity of handling of conversions of certain common trust funds).

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: JENNINGS, GAYLE C.

Covered Official Position (if applicable): N/A

Name: RUHLEN, STEPHEN S

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: MORGAN CHASE & CO, J.P. Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRD (one per page)

16. Specific lobbying issues:

- Increasing Efficiency and Economic Growth through Trade in Financial Services: (House Financial Services Subcommittee hearing on domestic and international monetary policy, trade and technology). - CFIUS: (Regarding potential reforms to the CFIUS process). - Central American and Dominican Free Trade Agreement: HR 3045 (Support for the passage of the implementation of CAFTA).

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CAMPER, NAOMI G.

Covered Official Position (if applicable): DEM STF DIR, SEN SUBCOM FINANCIAL INSTITUTIONS

Name: JENNINGS, GAYLE C.

Covered Official Position (if applicable): N/A

Name: LAZIO, RICK

Covered Official Position (if applicable): N/A

Name: RUHLEN, STEPHEN S

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: MORGAN CHASE & CO, J.P. Client Name: Self

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: URB (one per page)

16. Specific lobbying issues:

- Community Disaster Funding: S 1858 (Make funds available for community disaster loans for municipalities affected by Hurricane Katrina).

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: RUHLEN, STEPHEN S

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Mar 01, 2007

Printed Name and Title: DANIEL J. EKSETIN, VP -