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SECRETARY OF THE SENATE  
02 MAR 25 PM 4:03

# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) – All Filers Are Required To Complete This Page

|  |                                    |   |                     |
|--|------------------------------------|---|---------------------|
| 1. Registrant Name<br><b>Hecht, Spencer &amp; Associates</b>   |                                    |   |                     |
| 2. Address <input type="checkbox"/> Check if different than previously reported<br><b>499 South Capitol Street, SW, #507</b> |                                    |   |                     |
| 3. Principal Place of Business (if different from line 2)<br>City: <b>Washington</b>   |                                    | State/Zip (or Country)<br><b>D.C. 20003</b> |                     |
| 4. Contact Name<br><b>Nelle Grauel Flanders</b>  | Telephone<br><b>(202) 554-2881</b> | E-mail (optional)<br><b>N/A</b>             | 5. Sen<br><b>1'</b> |
| 7. Client Name <input type="checkbox"/> Self<br><b>J.C. Penney Company, Inc.</b>   |                                    |   | 6. Hou<br><b>3</b>  |

**TYPE OF REPORT** 8. Year 2001 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_ 11. No Lobbying Activities

## INCOME OR EXPENSES – Complete Either Line 12 OR Line 13

| 12. Lobbying Firms   | 13. Organization  |
|--|---|
| <b>INCOME</b> relating to lobbying activities for this reporting period was:<br>Less than \$10,000 <input type="checkbox"/><br>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>100,000</u><br>Income (nearest \$20,000)<br>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client). | <b>EXPENSES</b> relating to lobbying activities for the reporting period were:<br>Less than \$10,000 <input type="checkbox"/><br>\$10,000 or more <input type="checkbox"/> ⇒ Expenses (nearest \$20,000)<br><b>14. REPORTING METHOD.</b> Check box to indicate reporting method. See instructions for description.<br><input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA disclosure method<br><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 162(e)(2)(B) of the Internal Revenue Code<br><input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e)(2)(C) of the Internal Revenue Code |

Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

LD-2 (REV. 6/98)

Registrant Name Hecht, Spencer & Associates Client Name J.C. Penney Company, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for eac** information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

- H.R.1552, H.R.1675, S.288 & S.777 (P.L.107-75) – Internet Tax Nondiscrimination Act of 2001
- H.R.10 & S.631 (P.L.107-90) – Comprehensive Retirement Security and Pension Reform Act of  
– all provisions relating to pension reform
- H.R.1303 – To amend the Internal Revenue Code of 1986 to clarify the rules relating to lessee co  
allowances and to contributions to the capital of retailers – entire bill
- H.R.1410 & S.512 – Internet Tax Moratorium & Equity Act of 2001 – entire bill
- H.R.1836 – Economic Growth and Tax Relief Reconciliation Act of 2001, Title 6  
– all provisions relating to pension reform
- H.R.2526 – Internet Tax Fairness Act of 2001 – entire bill
- S.664 – New Economy Tax Fairness Act of 2001 – entire bill

17. House(s) of Congress and Federal agencies contacted  Check if None

- U.S. Senate
- U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

| Name                           | Covered Official Position (if applicable) |
|--------------------------------|---|
| <b>William H. Hecht</b>        |   |
| <b>Timothy P. Hecht</b>        |   |
| <b>Franklin C. Phifer, Jr.</b> |   |
|                                |   |
|                                |   |
|                                |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title



Registrant Name Hecht, Spencer & Associates Client Name J.C. Penney Company, Inc.

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15. General issue area code HCR (one per page)

16. Specific lobbying issues

- H.R.2563 & S.1052 – Bipartisan Patient Protection Act of 2001 – all provisions relating to ERISA
- H.R.2315 – Patient’s Bill of Rights Act of 2001 – all provisions relating to ERISA preemption
- H.R.2768 – Medicare Regulatory and Contracting Improvement Act of 2001
  - all provisions relating to ERISA preemption
- S.1738 – Medicare Appeals, Regulatory and Contracting Improvement Act of 2001
  - all provisions relating to ERISA preemption

17. House(s) of Congress and Federal agencies contacted  Check if None

- U.S. Senate
- U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

| Name                           | Covered Official Position (if applicable)   |
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| <b>William H. Hecht</b>        |   |
| <b>Timothy P. Hecht</b>        |   |
| <b>Franklin C. Phifer, Jr.</b> | <b>Minority Counsel, House Ways &amp; M</b> |
|                                |   |
|                                |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name Hecht, Spencer & Associates Client Name J.C. Penney Company, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

- S.525 – Andean Trade Preference Expansion Act – entire bill
- H.R.2149 – Trade Promotion Authority Act of 2001 – entire bill
- H.R.3005 – Bipartisan Trade Promotion Authority Act of 2001 – entire bill

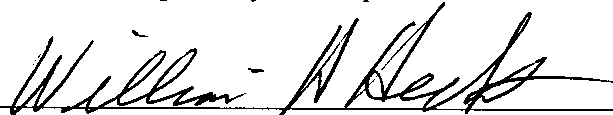
17. House(s) of Congress and Federal agencies contacted  Check if None

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|                                |   |
|                                |   |
|                                |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date February 14,

Printed Name and Title William H. Hecht, President

