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06 AUG 16

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant name			
OB-C Group, LLC			
2. Address <input type="checkbox"/> Check if different than previously reported			
1350 Eye Street, NW		Suite 690	
Washington	DC	20005	USA
3. Principal place of business (if different than line 2)			
City		State/Zip or Country	
4a. Contact Name		b. Telephone number	c. E-mail
Ms. Celeste Dembowski		202-898-4746	cdembowski@ob-cgroup.com
7. Client Name <input type="checkbox"/> Self		5. Senate ID #	
Securities Industry Association		29802-241	
		6. House ID #	
		30108015	

TYPE OF REPORT 8. Year 2006 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____ 11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>140,000</u>	\$10,000 or more <input type="checkbox"/> ⇨ \$ _____
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options: <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Revenue Code

 [Edit Form >](#) [File with...](#)
Senate Password [File with...](#)

Signature Celeste M Dembowski Digitally signed by Celeste M Dembowski
DN: cn = Celeste M Dembowski, c = US, o = DST Access Unaffiliated
Individual
Date: 2006.08.10 08:20:02 -0400

Date 8/10/2006

Printed Name and Title Celeste Dembowski, Office Administrator

0000210823



Registrant Name OB-C Group, LLC

Client Name Securities Industry Association

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cod** information as requested. Attach additional page(s) as needed.

15. General issue area code BAN - Banking (one per page)

16. Specific lobbying issues

HR1185, Federal Deposit Insurance Reform Act of 2005, entire bill.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Michael S. Johnson	
Thomas J. Keating	
Robert H. Marsh	
Charles J. Mellody	
Patricia A. Nelson	
Lawrence F. O'Brien, III	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Document digitally signed on Page 1.

Date 8/10/2006

Printed Name and Title Celeste Dembowski, Office Administrator



Registrant Name OB-C Group, LLC

Client Name Securities Industry Association

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cod** information as requested. Attach additional page(s) as needed.

15. General issue area code BNK - Bankruptcy (one per page)

16. Specific lobbying issues

HR 685, Bankruptcy Abuse Prevention and Consumer Protection Act of 2005, entire bill.
H.RES.211, Providing for consideration of the bill (S.256) to amend title 11 of the USCode, entire resolution.
S.256, Bankruptcy Abuse Prevention and Consumer Protection Act of 2005, entire bill.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Michael S.	Johnson	
Thomas J.	Keating	
Robert H.	Marsh	
Charles J.	Mellody	
Patricia A.	Nelson	
Lawrence F.	O'Brien, III	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

0000210825



Registrant Name OB-C Group, LLC

Client Name Securities Industry Association

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cod** information as requested. Attach additional page(s) as needed.

15. General issue area code BUD - Budget/Appropriations (one per page)

16. Specific lobbying issues

HR 2862, Science, State, Justice, Commerce, and Related Agencies Appropriations Act, 2006, establishment of the SEC Office of Global Security Risk; H.RES.314, Providing for consideration of the bill (HR 2862) making appropriations for Science, State, Justice, Commerce, and Related Agencies for fiscal year ending Sept. 30, 2006, establishment of the SEC Office of Global Security Risk; HR 4297, to provide for reconciliation pursuant to section 201(b) of the concurrent resolution on the budget for fiscal year 2006.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Michael S.	Johnson	
Thomas J.	Keating	
Robert H.	Marsh	
Charles J.	Mellody	
Patricia A.	Nelson	
Lawrence F.	O'Brien, III	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None



Registrant Name OB-C Group, LLC

Client Name Securities Industry Association

ADDENDUM for General Lobbying Issue Area BUD

16. Specific lobbying issues (continued from previous page)

H.RES.588 to provide for reconciliation pursuant to section 201(b) of the concurrent resolution on the budget fiscal year 2006; S.2020, a bill to provide for reconciliation pursuant to section 202(b) of the concurrent resolution on the budget for fiscal year 2006.

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Registrant Name OB-C Group, LLC

Client Name Securities Industry Association

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** information as requested. Attach additional page(s) as needed.

15. General issue area code CIV - Civil Rights/Civil Liberties (one per page)

16. Specific lobbying issues

HR 1080, Information Protection and Security Act, entire bill; HR 1263, Consumer Privacy Protection Act of 2005, entire bill; HR 220, Identity Theft Protection Act of 2005, entire bill; S.1169, Federal Agency Data-Mining Reporting Act of 2005, entire bill; S.1326, Notification of Risk to Personal Data Act, entire bill; S.1332, a bill to prevent and mitigate identity theft, to ensure privacy, and to enhance criminal penalties, law enforcement assistance, and other protections against security breaches, fraudulent access and misuse of personally identifiable information, entire bill.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Michael S. Johnson	
Thomas J. Keating	
Robert H. Marsh	
Charles J. Mellody	
Patricia A. Nelson	
Lawrence F. O'Brien, III	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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Printed Name and Title Celeste Dembowski, Office Administrator

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Registrant Name OB-C Group, LLCClient Name Securities Industry Association**ADDENDUM for General Lobbying Issue Area CIV**

16. Specific lobbying issues (continued from previous page)

S.29, Social Security Number Misuse Prevention Act, entire bill; S.751, Notification of Risk to Personal Data / entire bill; S.768, Comprehensive Identity Theft Prevention Act, entire bill; S.1789, a bill to prevent and mitigate identity theft, to ensure privacy, to provide notice of security breaches, and to enhance criminal penalties, law enforcement assistance, and other protections against security breaches, fraudulent access and misuse of personally identifiable information; S.115, a bill to require Federal agencies and persons engaged in interstate commerce, in possession of electronic data containing personal information, to disclose any unauthorized acquisition of such information.

Add page to continue specific issues description for this is



Registrant Name OB-C Group, LLC

Client Name Securities Industry Association

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** information as requested. Attach additional page(s) as needed.

15. General issue area code RET - Retirement (one per page)

16. Specific lobbying issues

HR 1960, Pension Preservation and Savings Expansion Act of 2005, entire bill; HR 1961, Pension Preservation and Savings Expansion Act of 2005, entire bill; HR 2321, Pension Security Disclosure Act of 2005, entire bill; HR 2830, Pension Protection Act of 2005, entire bill; S.1783, a bill to amend the Employee Retirement Income Security Act of 1974 and the Internal Revenue Code of 1986 to reform the pension funding rules, entire bill;

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Michael S. Johnson	
Thomas J. Keating	
Robert H. Marsh	
Charles J. Mellody	
Patricia A. Nelson	
Lawrence F. O'Brien, III	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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Registrant Name OB-C Group, LLCClient Name Securities Industry Association**ADDENDUM for General Lobbying Issue Area** RET

16. Specific lobbying issues (continued from previous page)

S.1953, a bill to amend the Employee Retirement Income Security Act of 1974 and the Internal Revenue Code of 1986 to protect the retirement security of American workers by ensuring that pension benefits are funded and pension assets are adequately diversified and by providing workers with adequate access to, and information about, their pension plans, entire bill; H.RES.602, providing for consideration of the bill (HR 2830) to amend the Employee Retirement Income Security Act of 1974 and the Internal Revenue Code of 1986 to reform the pension funding rules, entire bill.

Add page to continue specific issues description for this item

0000210831



Registrant Name OB-C Group, LLC

Client Name Securities Industry Association

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** information as requested. Attach additional page(s) as needed.

15. General issue area code TAX - Taxation/Internal Revenue Code (one per page)

16. Specific lobbying issues

HR 1234, Corporate Accountability Tax Gap Act of 2005, entire bill; HR 1500, Investment Tax Simplification Act of 2005, tax reduction on capital gains and dividends; HR 809, to make permanent the individual income tax rates for capital gains and dividends, entire bill; S.7, Jobs and Growth Tax Relief Act of 2005, tax reduction on capital gains and dividends; HR 2911 to amend the Internal Revenue Code of 1986 to modify the active business definition under section 355, entire bill; S.1327, to amend the Internal Revenue Code of 1986 to modify the active business definition under section 355, entire bill;

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Michael S.	Johnson	
Thomas J.	Keating	
Robert H.	Marsh	
Charles J.	Mellody	
Patricia A.	Nelson	
Lawrence F.	O'Brien, III	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

0000210832

Printed Name and Title Celeste Dembowski, Office Administrator



Registrant Name OB-C Group, LLC Client Name Securities Industry Association

ADDENDUM for General Lobbying Issue Area TAX

16. Specific lobbying issues (continued from previous page)

HR 4323, to amend the Internal Revenue Code of 1986 to extend certain expiring provisions, to provide certain hurricane-related tax relief, entire bill; HR 4388, to amend the Internal Revenue Code of 1986 to extend certain expiring provisions, entire bill.

Add page to continue specific issues description for this item

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