

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY OF THE S  
02 FEB 28 PM 12

# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name New York Bankers Association			
2. Address <input type="checkbox"/> Check if different than previously reported 99 Park Avenue, 4th Floor, New York, New York 10016-1502			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name Roberta Kotkin	Telephone 212-297-1684	E-mail (optional) rkotkin@nyba.com	5. Senate ID # 29163-00
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 31432000

**TYPE OF REPORT** 8. Year \_\_\_\_\_ Midyear (January 1-June 30)  OR Year End (July 1-D

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_

11. No Lobbyi

## INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

**12. Lobbying Firms**

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000

\$10,000 or more  ⇨ \$ \_\_\_\_\_  
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

**13. Organizations**

EXPENSES relating to lobbying activities for this period were:

Less than \$10,000

\$10,000 or more  ⇨ \$ 60,000  
Expenses (nearest \$20,000)

**14. REPORTING METHOD.** Check box to indicate accounting method. See instructions for description

Method A. Reporting amounts using LDA definition

Method B. Reporting amounts under section 6 Internal Revenue Code

Method C. Reporting amounts under section 1 Internal Revenue Code

Signature \_\_\_\_\_

Printed Name and Title



Registrant Name New York Bankers Association Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which th engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each co information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues Implementing regulations under the Gramm-Leach-Bliley Act, esp privacy, merchant banking, real estate brokerage & management; Bankruptcy Reform (S.420, H.R.333); Fede and tax legislation (S.605, H.R.1220, H.R.1263, S.936, H.R.1836); high cost home loan legislation & regulat (H.R.4250, S.2415, S.2405); regulatory burden relief legislation (S.576, H.R.3611, H.R.5640); Deposit Insur; legislation (S.128, S.227, H.R.557, H.R.746, H.R.1293, H.R.1355, H.R.1899); Interest on Business Checking (S.229, S.601, H.R.974); Interest on Sterile Reserves legislation (H.R.974); Small Business Administration Reauthorization Legislation (H.R.4577); Regulations implementing the affiliatesharing provisions of the Fair Reporting Act; Securities Fee Legislation (H.R.1088,S.143); Pension Reform legislation, H.R.10; Regulation interstate trust activities, trust taxation and Farm Credit System lending. (CONT'D)

17. House(s) of Congress and Federal agencies contacted  Check if None  
U.S. Senate Office of Thrift Supervision  
U.S. House of Representatives Office of the Comptroller of the Currency  
Federal Reserve Board Farm Credit System  
Federal Deposit Insurance Corporation  
Internal Revenue Service  
U.S. Treasury Department

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Michael P. Smith	
William J. Bosies, Jr.	
Roberta Kotkin	
Karen Jannetty	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Roberta Kotkin Date 2/13/02

Printed Name and Title Roberta Kotkin, General Counsel & Chief Administrative Officer



New York Bankers Association  
Federal Lobbying Report  
Year-End (July 1- December 31, 2001)

Question 16. (continued)

Privacy legislation (H.R.2036, S.848, H.R.718, H.R.1017, S.30), Predatory Lending Legislation (H.R.2531) a Regulation (implementing HOEPA), Legislation Prohibiting Real Estate Brokerage and Management (H.R.3- CRA Regulations, anti-terrorism and anti-money laundering legislation (H.R.3162, the USA PATRIOT ACT regulation; Economic Stimulus legislation (H.R.3090), Terrorism Insurance Legislation (H.R.3210, S.1743, S S.1751), Small Business Disaster Assistance Legislation (H.R.3230, S.1499).

